

Distributed OLTP Systems



Lecture #23



Database Systems

15-445/15-645

Fall 2017



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ADMINISTRIVIA

Homework #6: TODAY @ 11:59pm

Project #4: Wednesday December 6th @ 11:59am

ADMINISTRIVIA

Monday Dec 4th – NuoDB

→ Barry Morris (Co-Founder, Exec. Chairman)



Wednesday Dec 6th – Potpourri + Final Review

→ Vote for what system you want me to talk about.

→ <http://cmudb.io/f17-systems>



PARALLEL VS. DISTRIBUTED

Parallel DBMSs:

- Nodes are physically close to each other.
- Nodes connected with high-speed LAN.
- Communication cost is assumed to be small.

Distributed DBMSs:

- Nodes can be far from each other.
- Nodes connected using public network.
- Communication cost and problems cannot be ignored.



DISTRIBUTED DBMSs

Use the building blocks that we covered in single-node DBMSs to now support transaction processing & query execution in distributed environments.

- Optimization & Planning
- Concurrency Control
- Logging & Recovery



OLTP VS. OLAP

On-line Transaction Processing (OLTP):

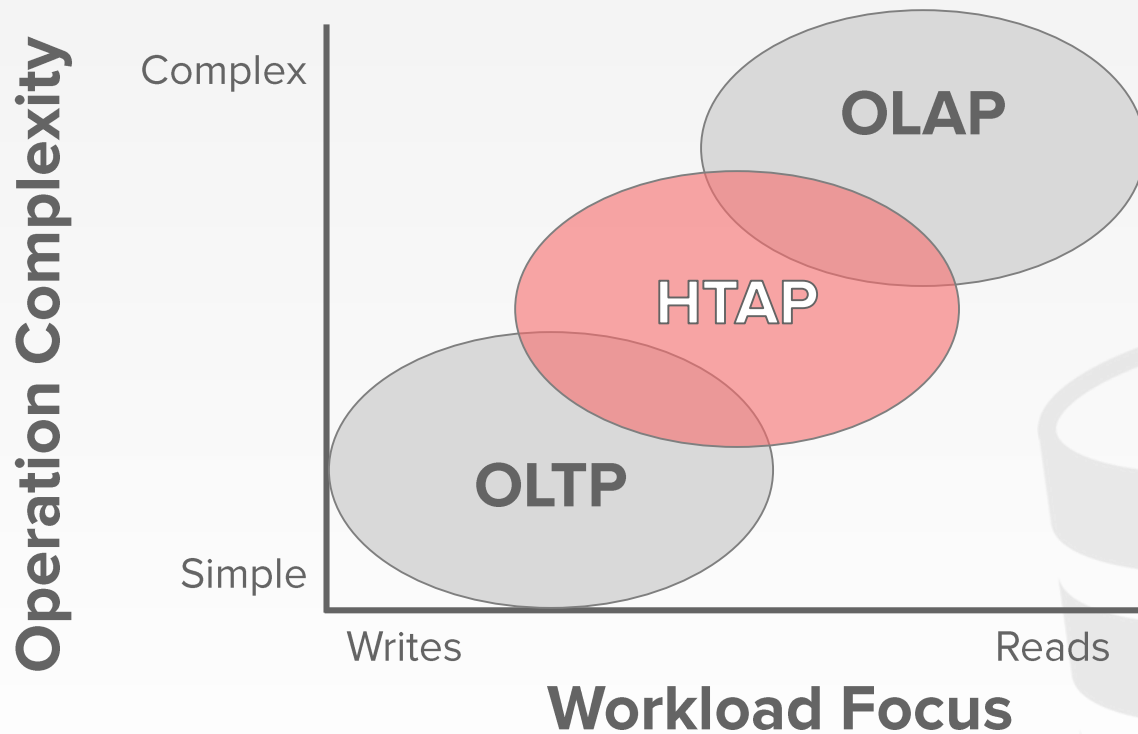
- Short-lived txns.
- Small footprint.
- Repetitive operations.

On-line Analytical Processing (OLAP):

- Long running queries.
- Complex joins.
- Exploratory queries.



WORKLOAD CHARACTERIZATION



Source: [Michael Stonebraker](#)

TODAY'S AGENDA

System Architectures

Design Issues

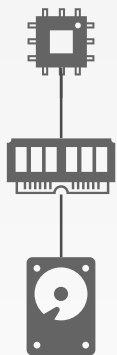
Distributed Concurrency Control

Replication

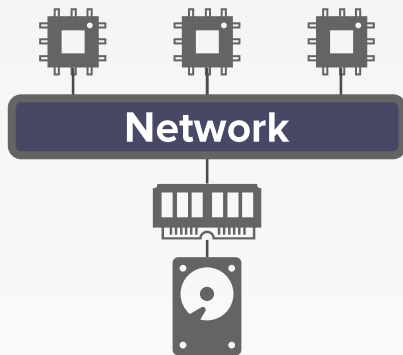
CAP Theorem



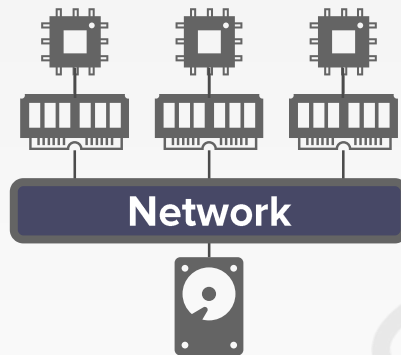
SYSTEM ARCHITECTURES



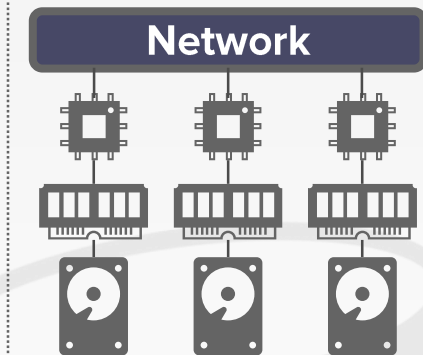
Shared
Everything



Shared
Memory



Shared
Disk

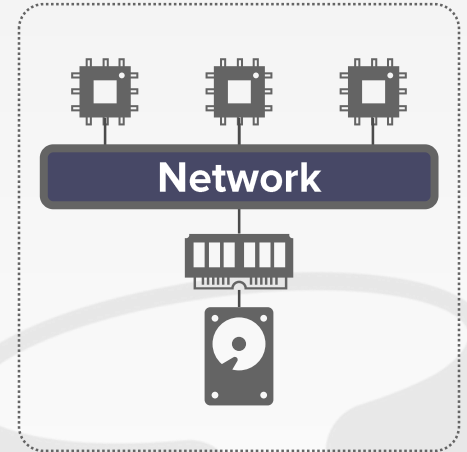


Shared
Nothing

SHARED MEMORY

CPU's have access to common memory address space via a fast interconnect.

- Each processor has a global view of all the in-memory data structures.
- Each DBMS instance on a processor has to "know" about the other instances.

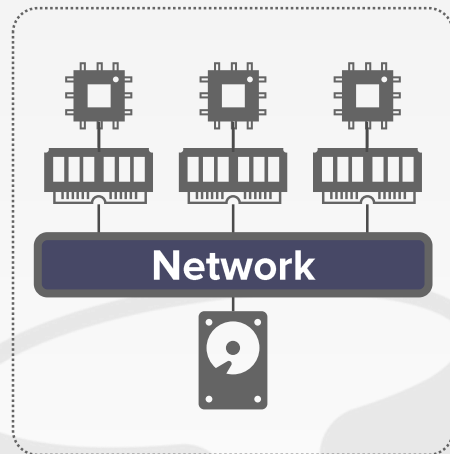


**ORACLE
RAC**

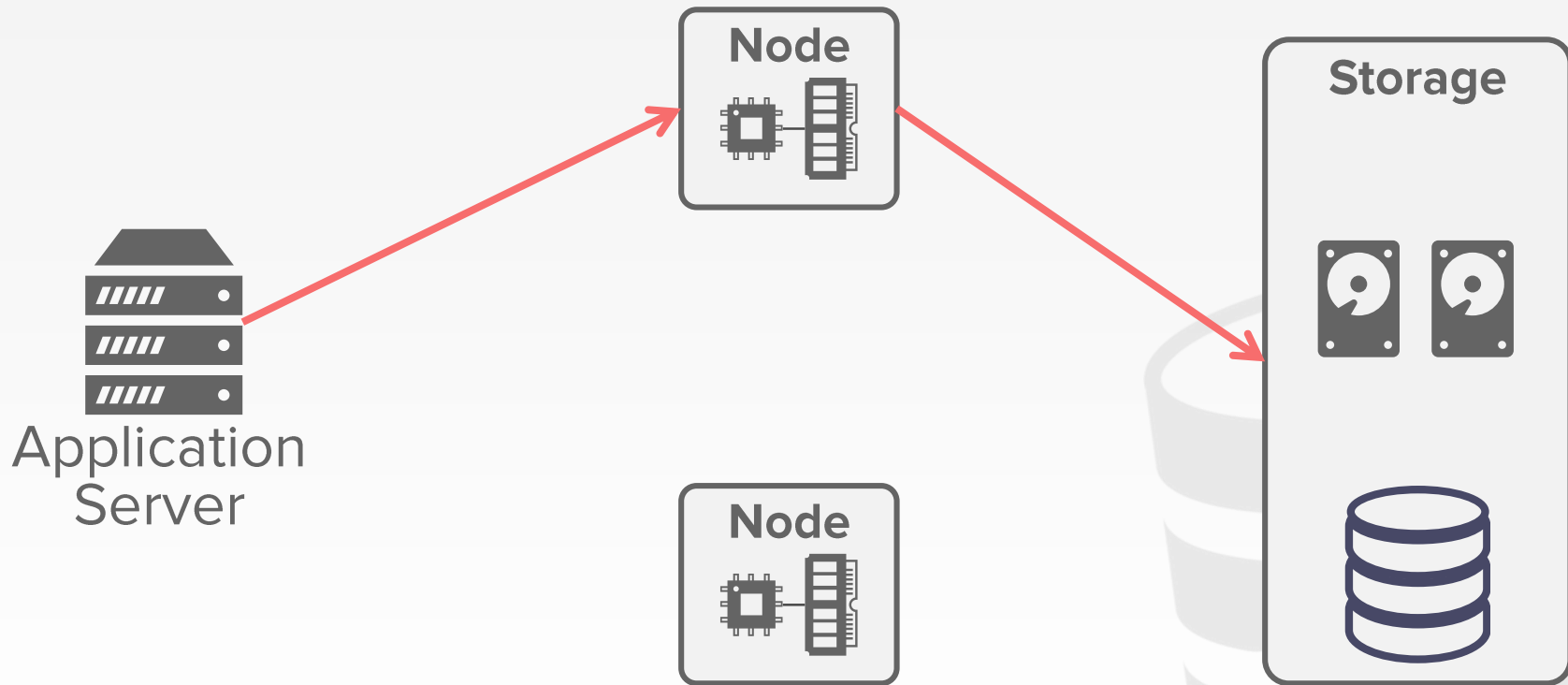
SHARED DISK

All CPUs can access a single logical disk directly via an interconnect but each have their own private memories.

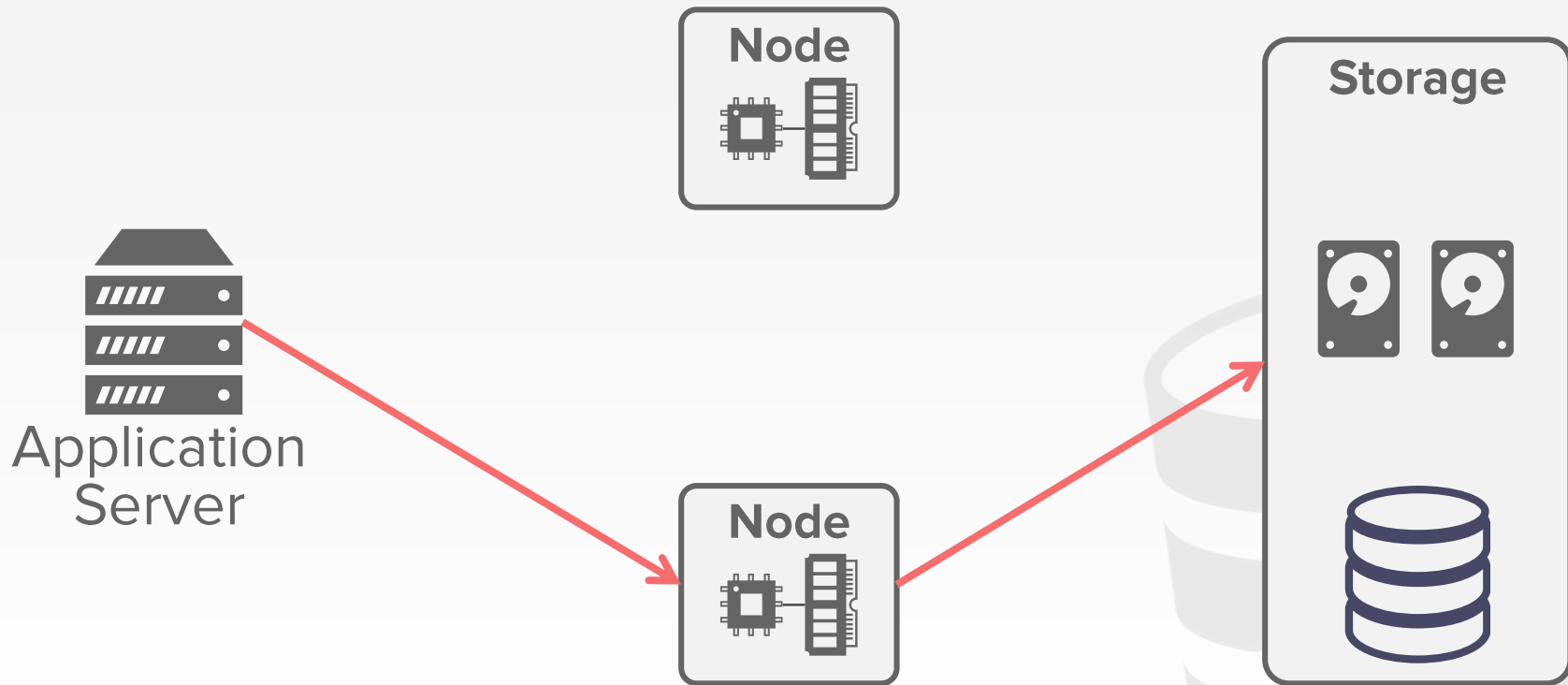
- Can scale execution layer independently from the storage layer.
- Have to send messages between CPUs to learn about their current state.



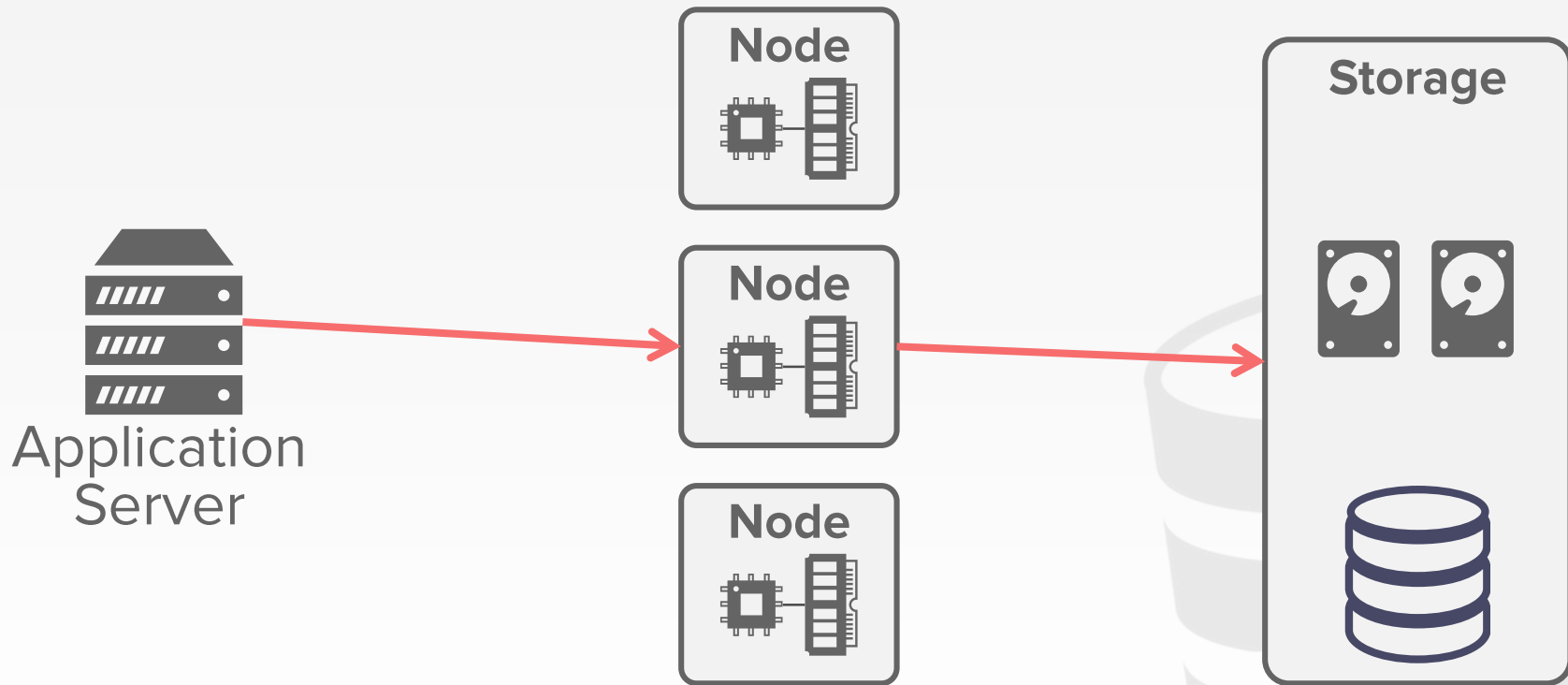
SHARED DISK EXAMPLE



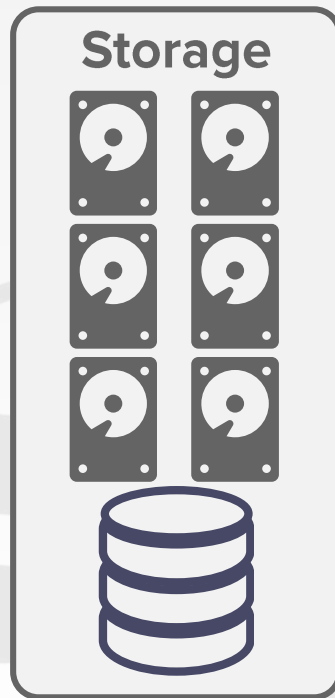
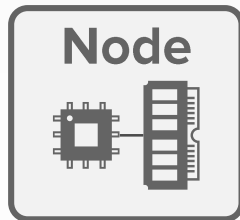
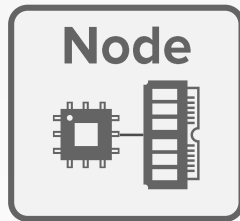
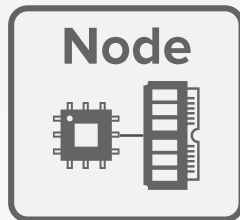
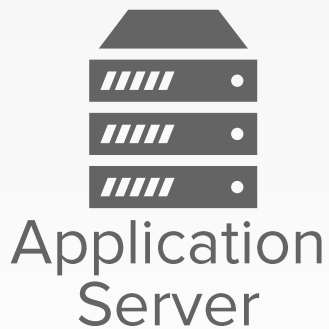
SHARED DISK EXAMPLE



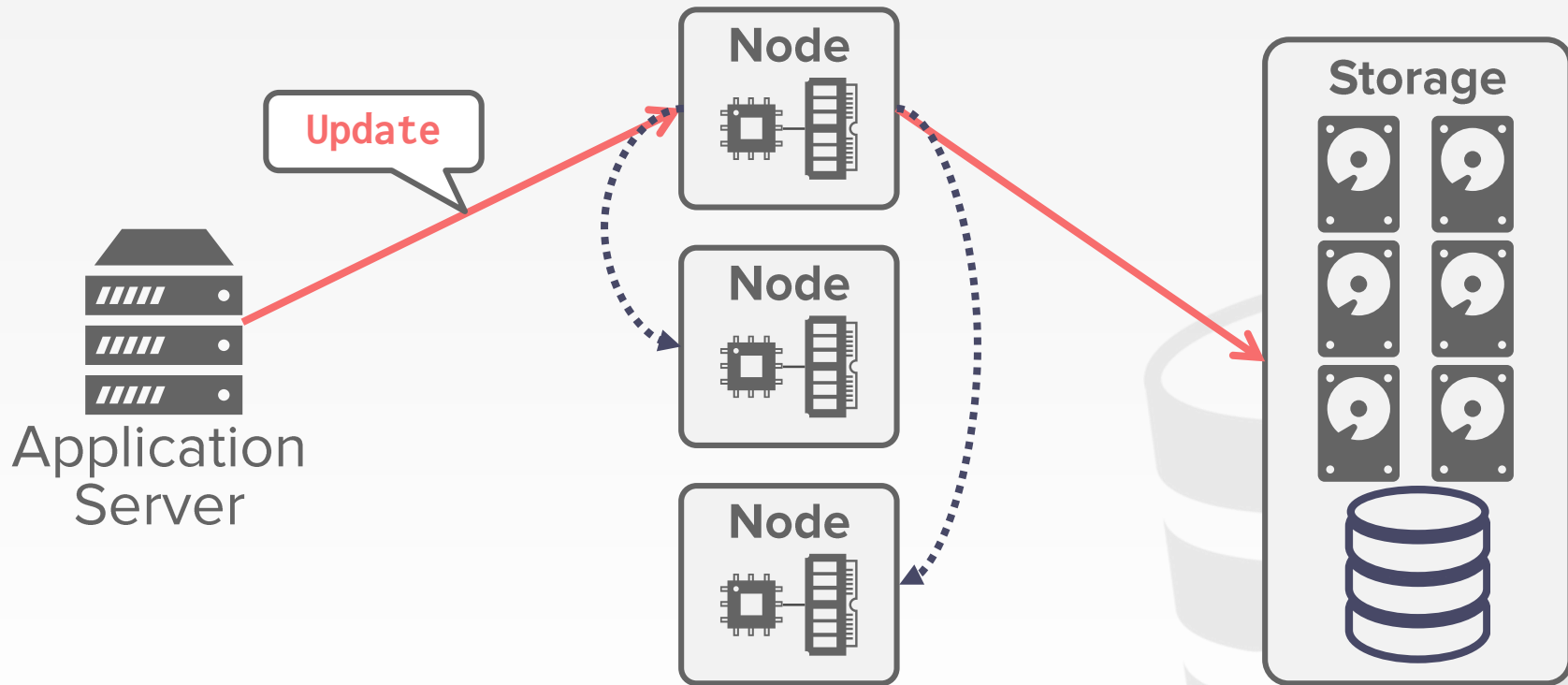
SHARED DISK EXAMPLE



SHARED DISK EXAMPLE



SHARED DISK EXAMPLE

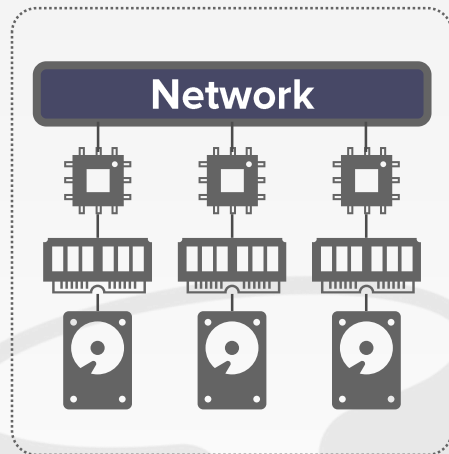


SHARED NOTHING

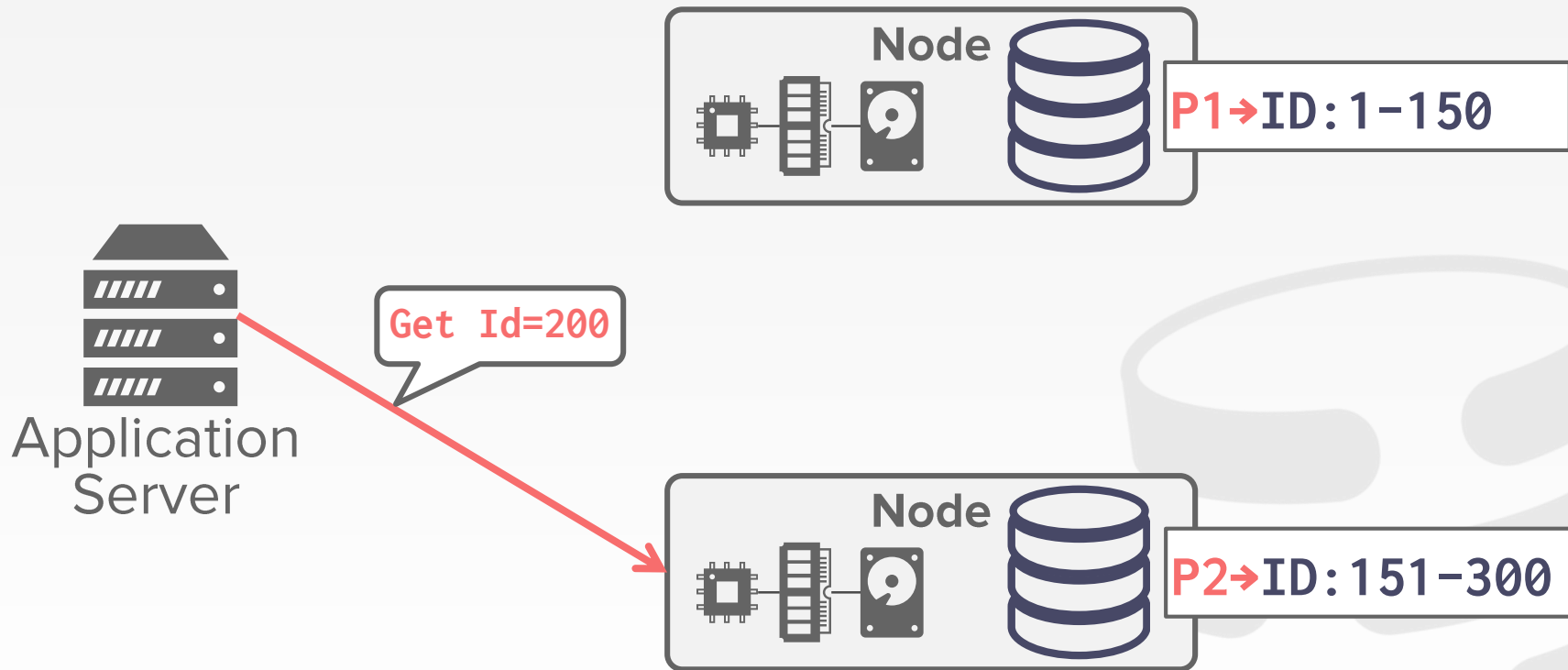
Each DBMS instance has its own CPU, memory, and disk.

Nodes only communicate with each other via network.

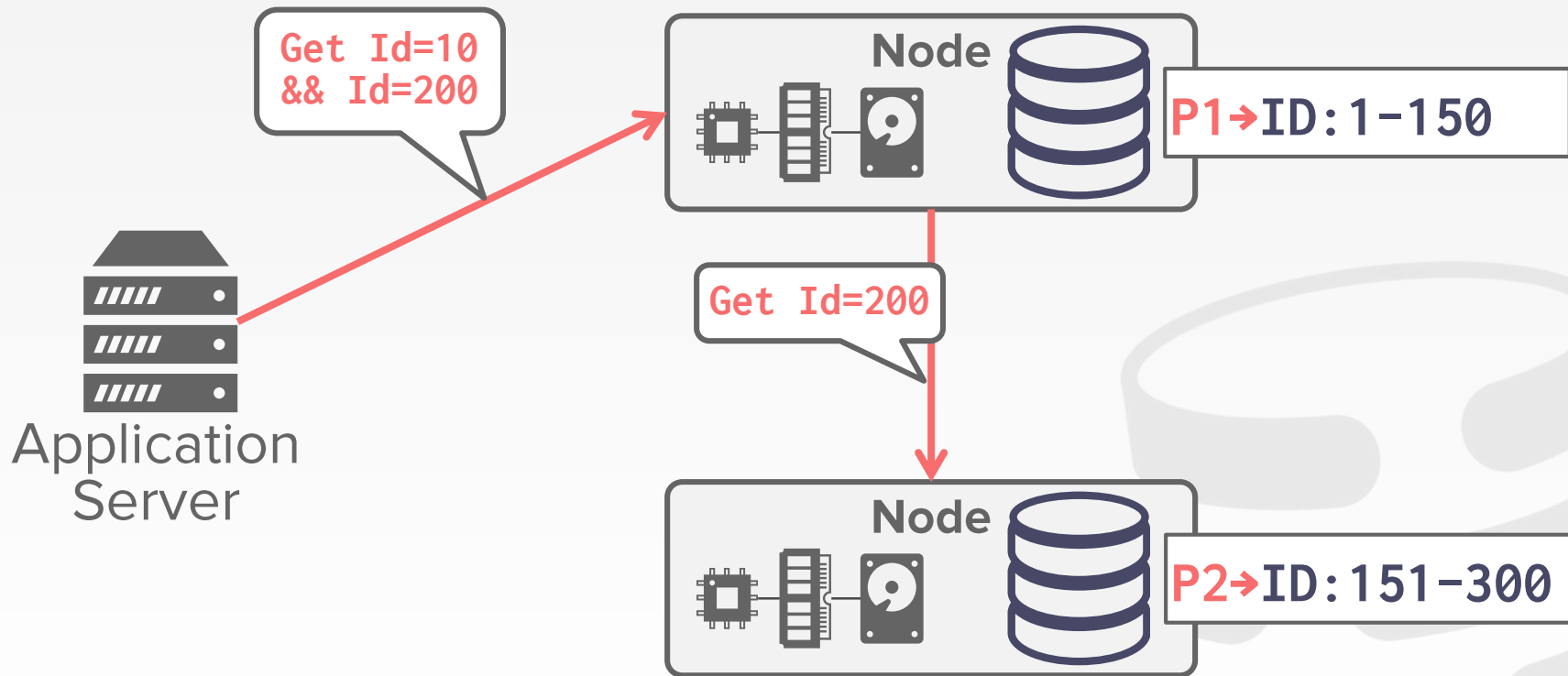
- Easy to increase capacity.
- Hard to ensure consistency.



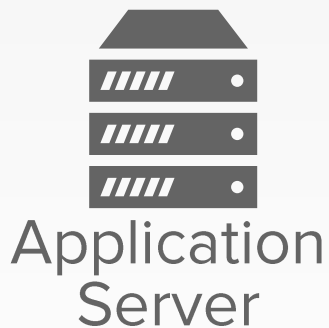
SHARED NOTHING EXAMPLE



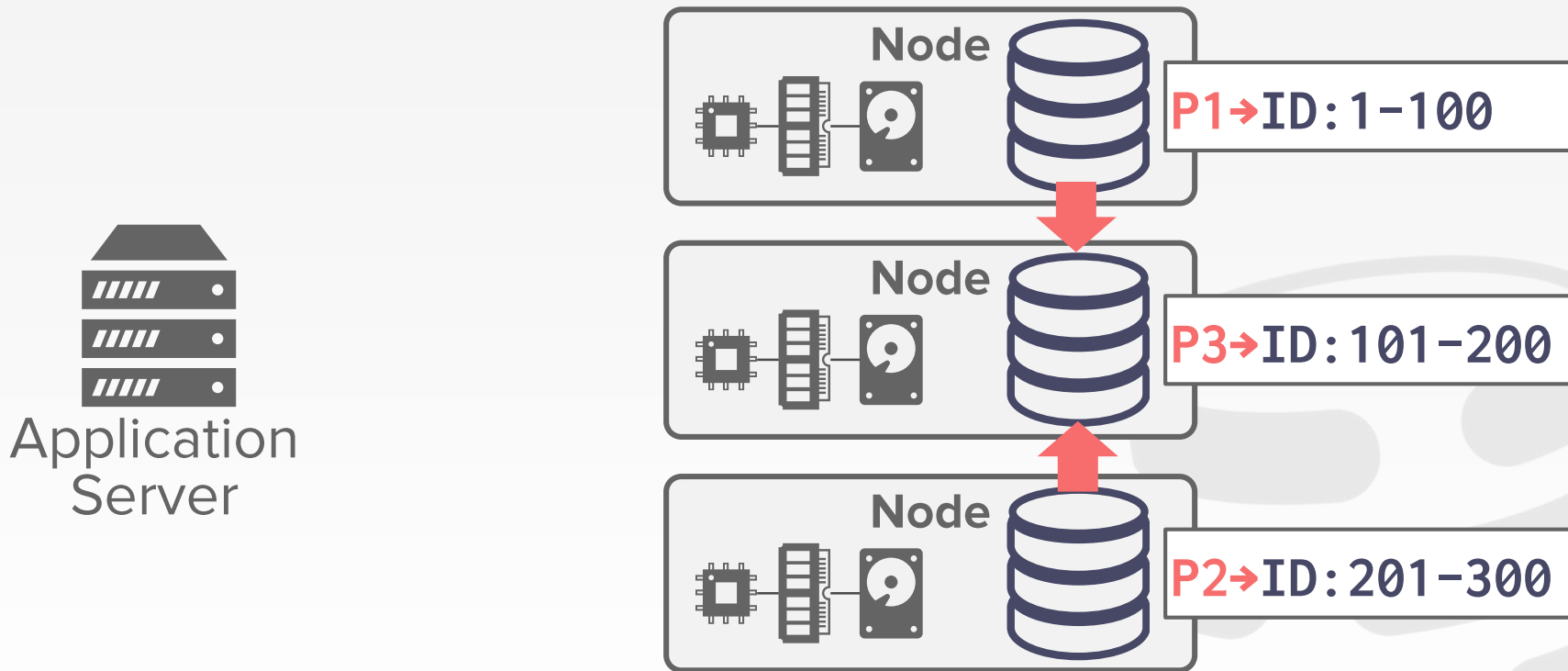
SHARED NOTHING EXAMPLE



SHARED NOTHING EXAMPLE



SHARED NOTHING EXAMPLE



EARLY DISTRIBUTED DATABASE SYSTEMS

MUFFIN – UC Berkeley (1979)

SDD-1 – CCA (1979)

System R* – IBM Research (1984)

Gamma – Univ. of Wisconsin (1986)

NonStop SQL – Tandem (1987)



Stonebraker



Bernstein



Mohan



DeWitt



Gray

DESIGN ISSUES

How do we store data across nodes?

How does the application find data?

How to execute queries on distributed data?

→ Push query to data.

→ Pull data to query.

How does the DBMS ensure correctness?



DATA TRANSPARENCY

Users should not be required to know where data is physically located, how tables are **partitioned** or **replicated**.

A SQL query that works on a single-node DBMS should work the same on a distributed DBMS.



DATABASE PARTITIONING

Split database across multiple resources:

- Disks, nodes, processors.
- Sometimes called "sharding"

The DBMS executes query fragments on each partition and then combines the results to produce a single answer.



HORIZONTAL PARTITIONING

Split a table's tuples into disjoint subsets.

- Choose column(s) that divides the database equally in terms of size, load, or usage.
- Each tuple contains all of its columns.

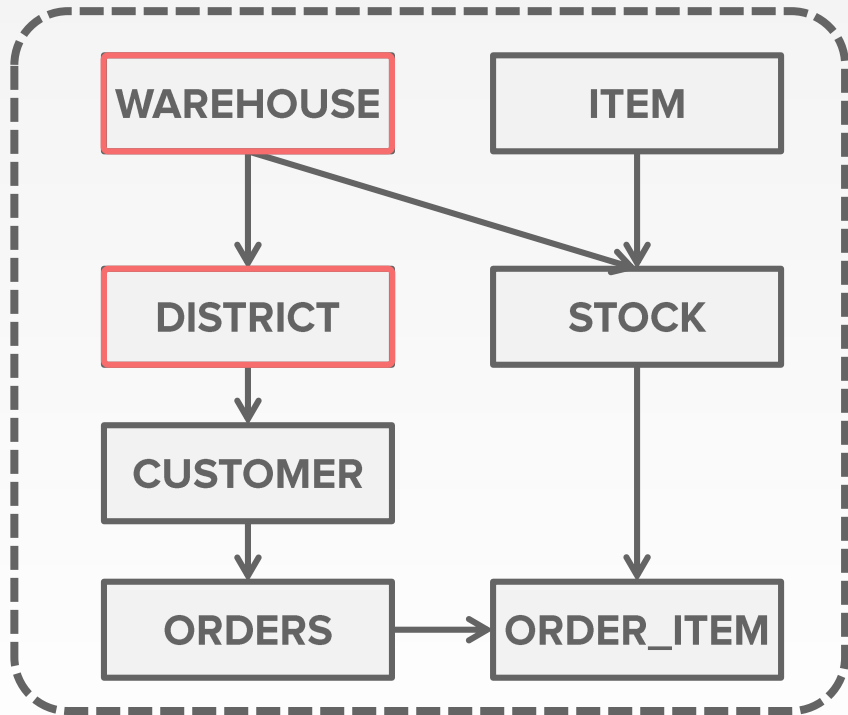
Three main approaches:

- Round-robin Partitioning.
- Hash Partitioning.
- Range Partitioning.



DATABASE PARTITIONING

Schema

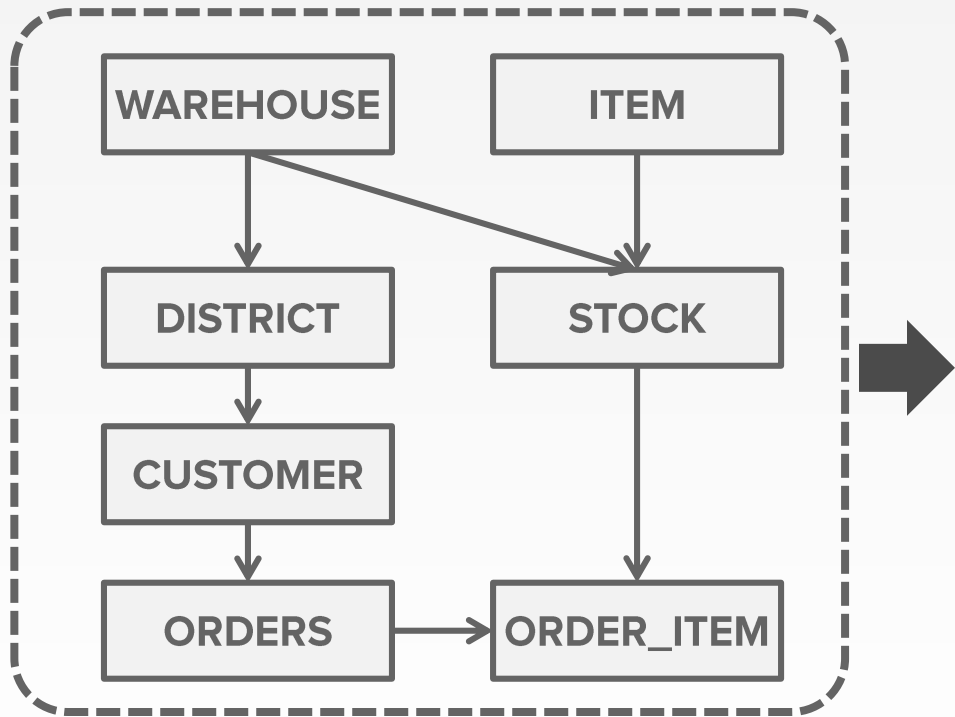


```
CREATE TABLE WAREHOUSE (  
  w_id INT PRIMARY KEY,  
  w_name VARCHAR UNIQUE,  
  :  
);
```

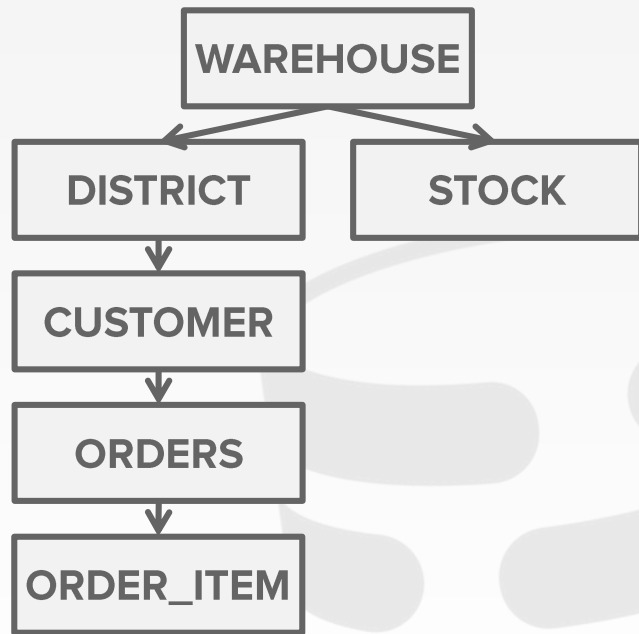
```
CREATE TABLE DISTRICT (  
  d_id INT,  
  d_w_id INT REFERENCES  
    ↪ WAREHOUSE (w_id),  
  :  
  PRIMARY KEY (d_w_id, d_id)  
);
```

DATABASE PARTITIONING

Schema

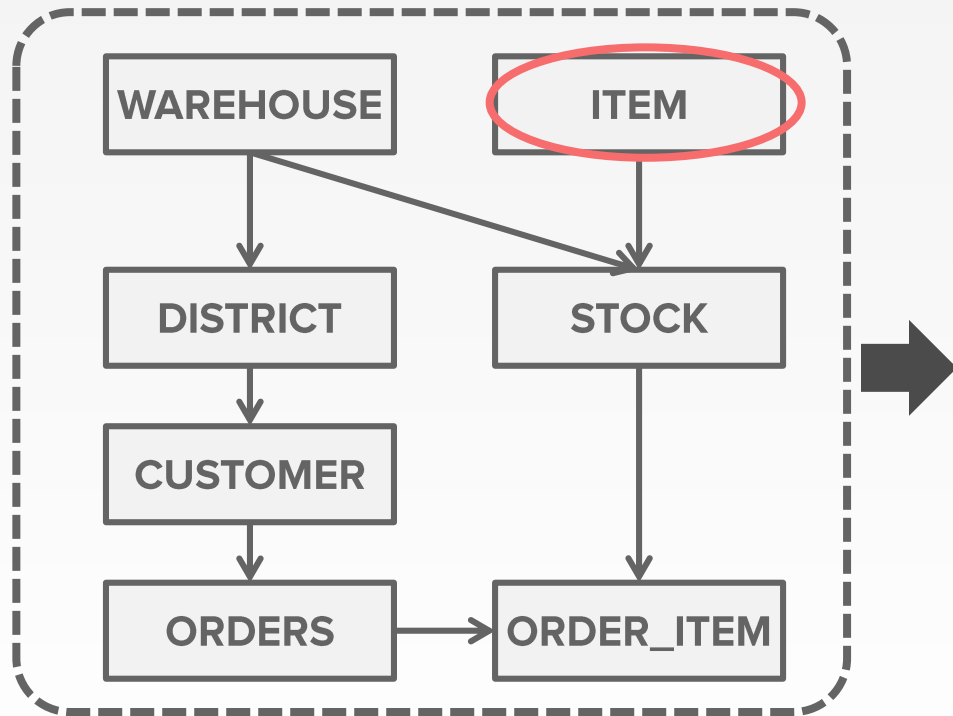


Schema Tree

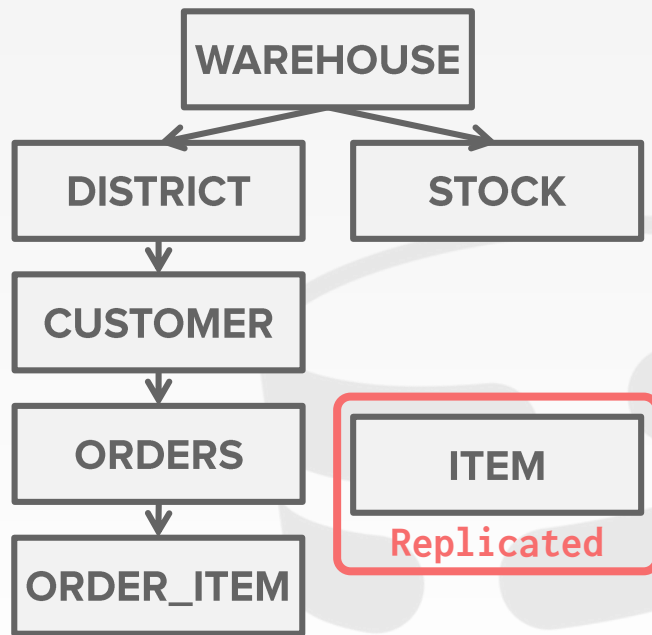


DATABASE PARTITIONING

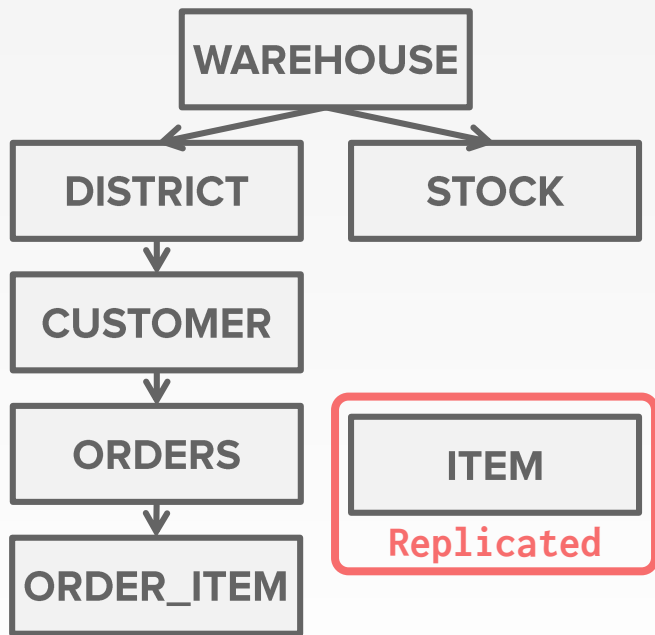
Schema



Schema Tree



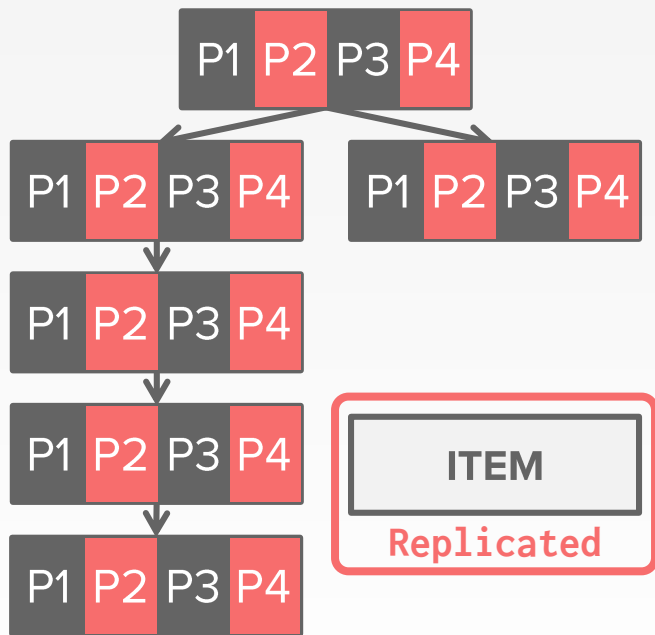
DATABASE PARTITIONING



Partitions



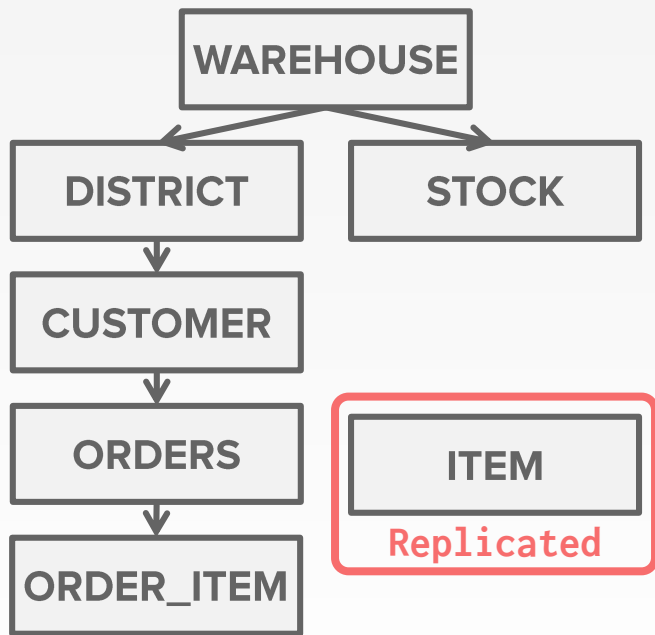
DATABASE PARTITIONING



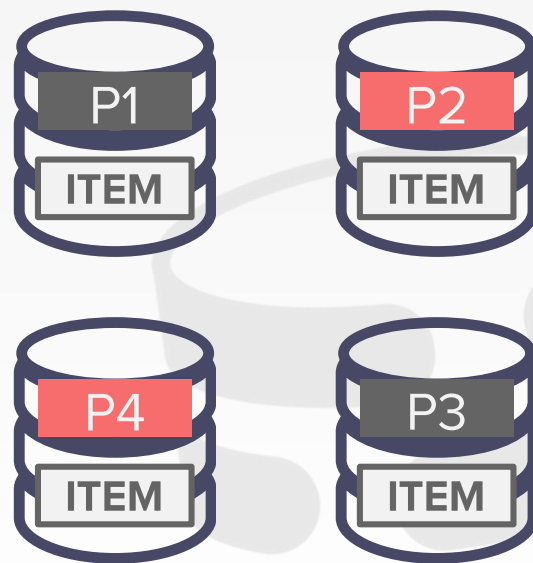
Partitions



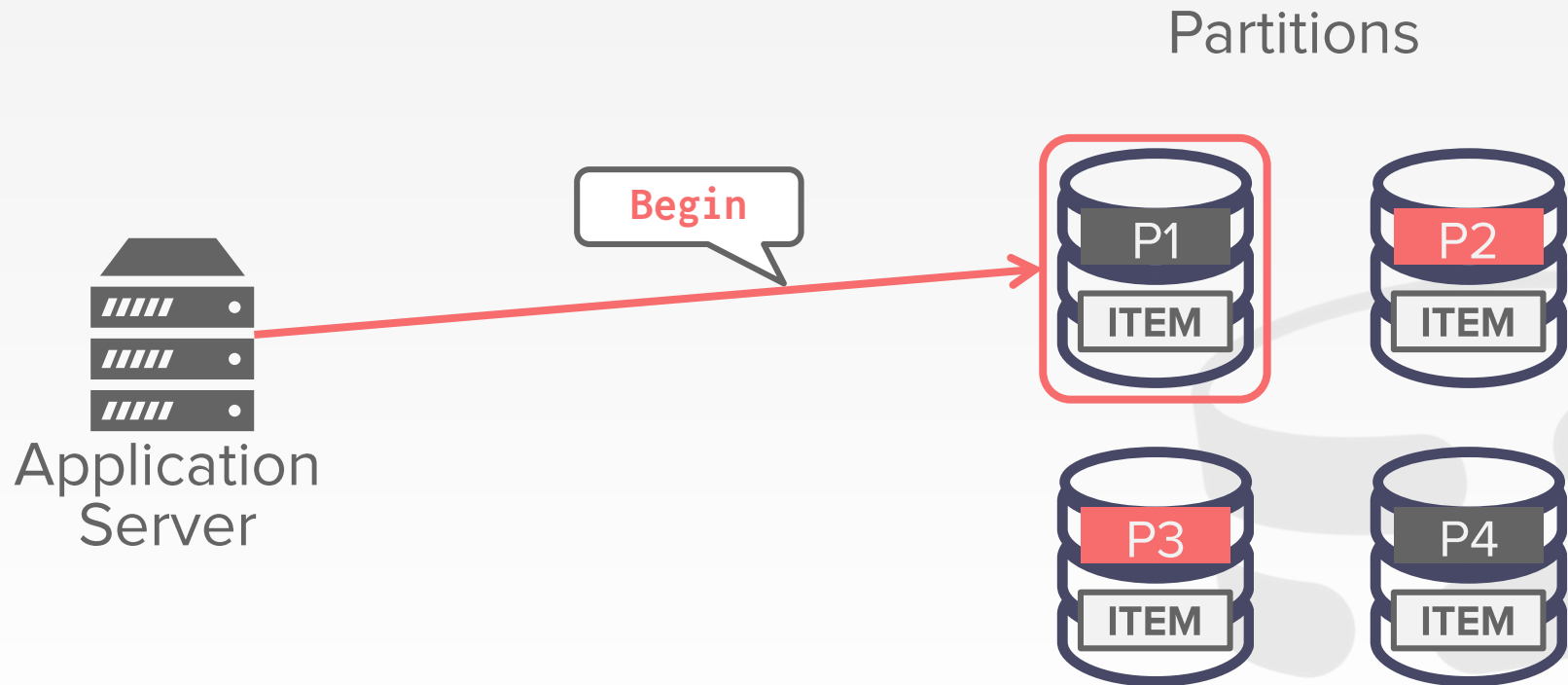
DATABASE PARTITIONING



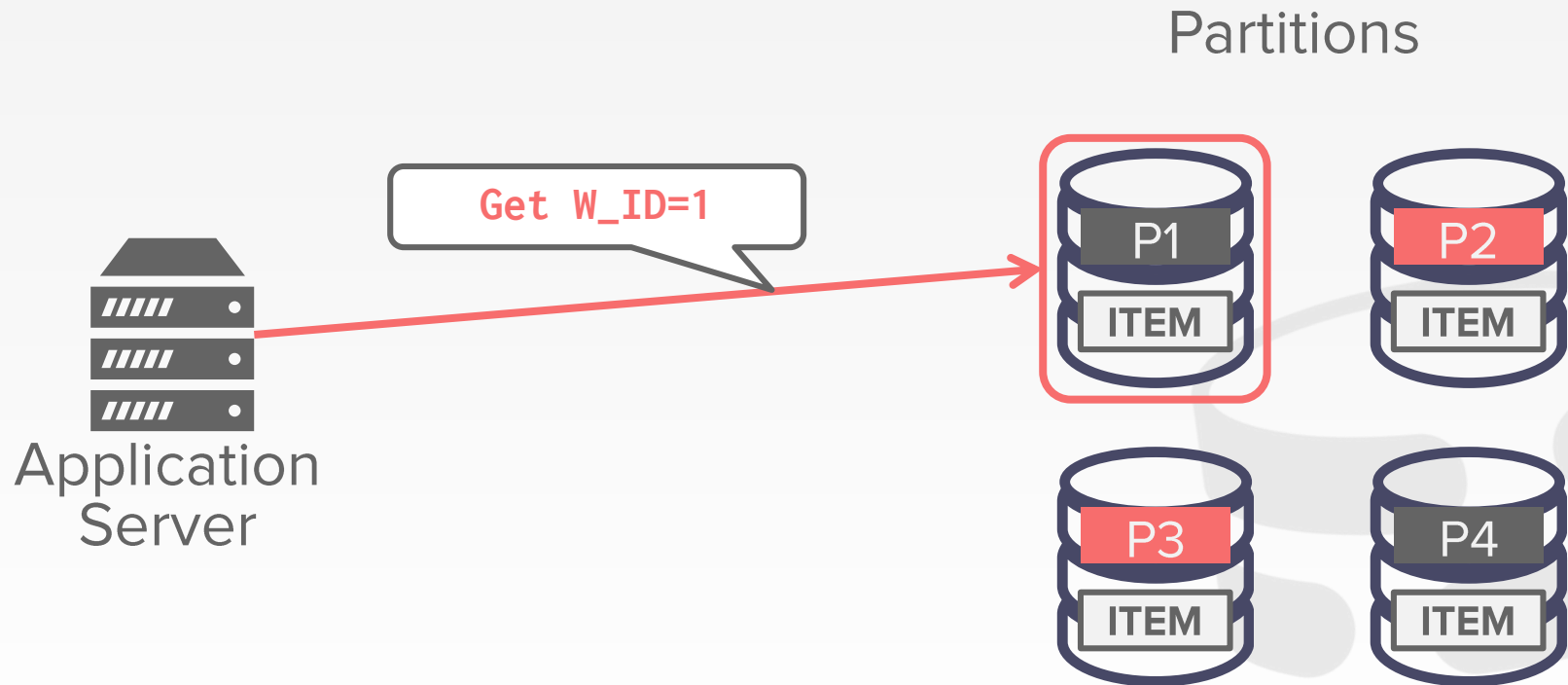
Partitions



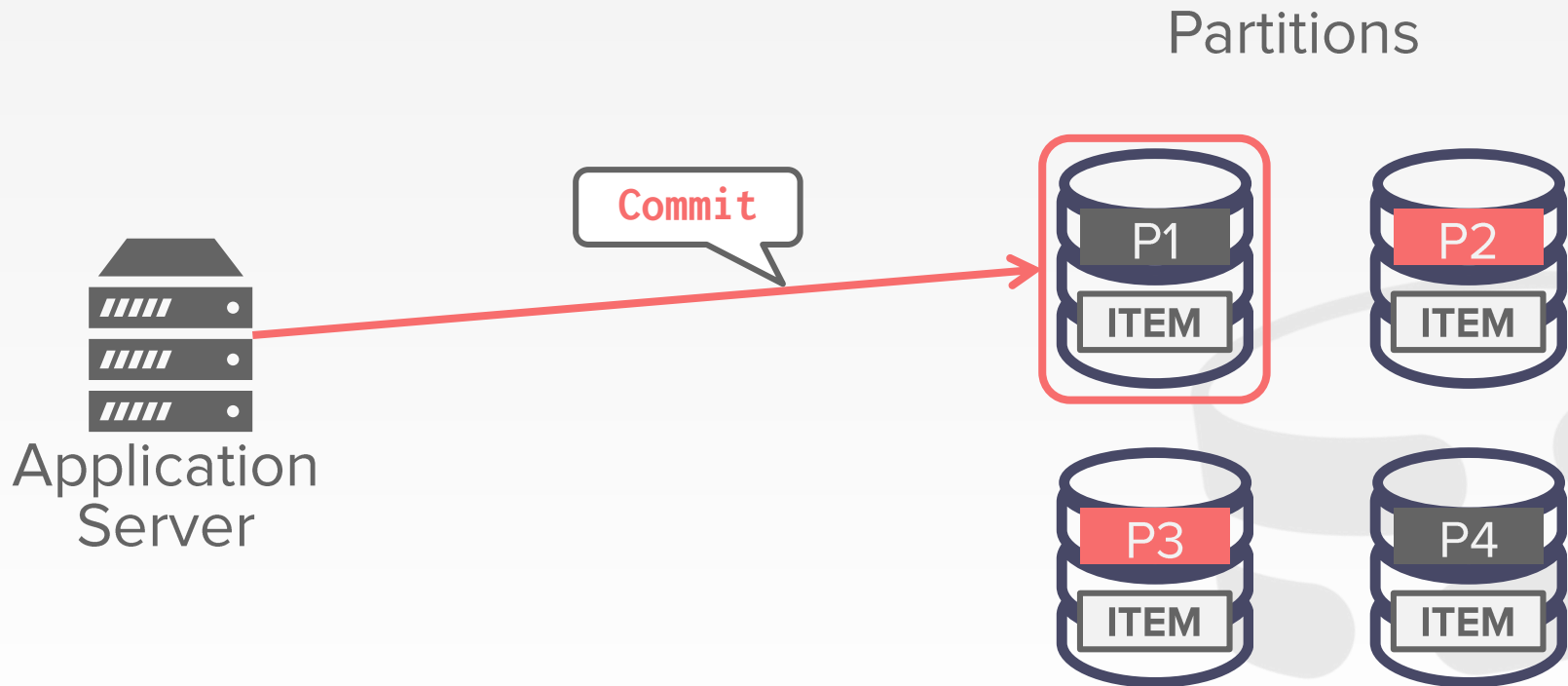
SIMPLE EXAMPLE



SIMPLE EXAMPLE



SIMPLE EXAMPLE



SINGLE-NODE VS. DISTRIBUTED TRANSACTIONS

A single-node txn only accesses data that is contained on one partition.

→ The DBMS does not need coordinate the behavior concurrent txns running on other nodes.

A distributed txn accesses data at one or more partitions.

→ Requires expensive coordination.



TRANSACTION COORDINATION

If our DBMS supports multi-operation and distributed txns, we need a way to coordinate their execution in the system.

Two different approaches:

- **Centralized**: Global "traffic cop".
- **Decentralized**: Nodes organize themselves.



TP MONITORS

Example of a centralized coordinator.

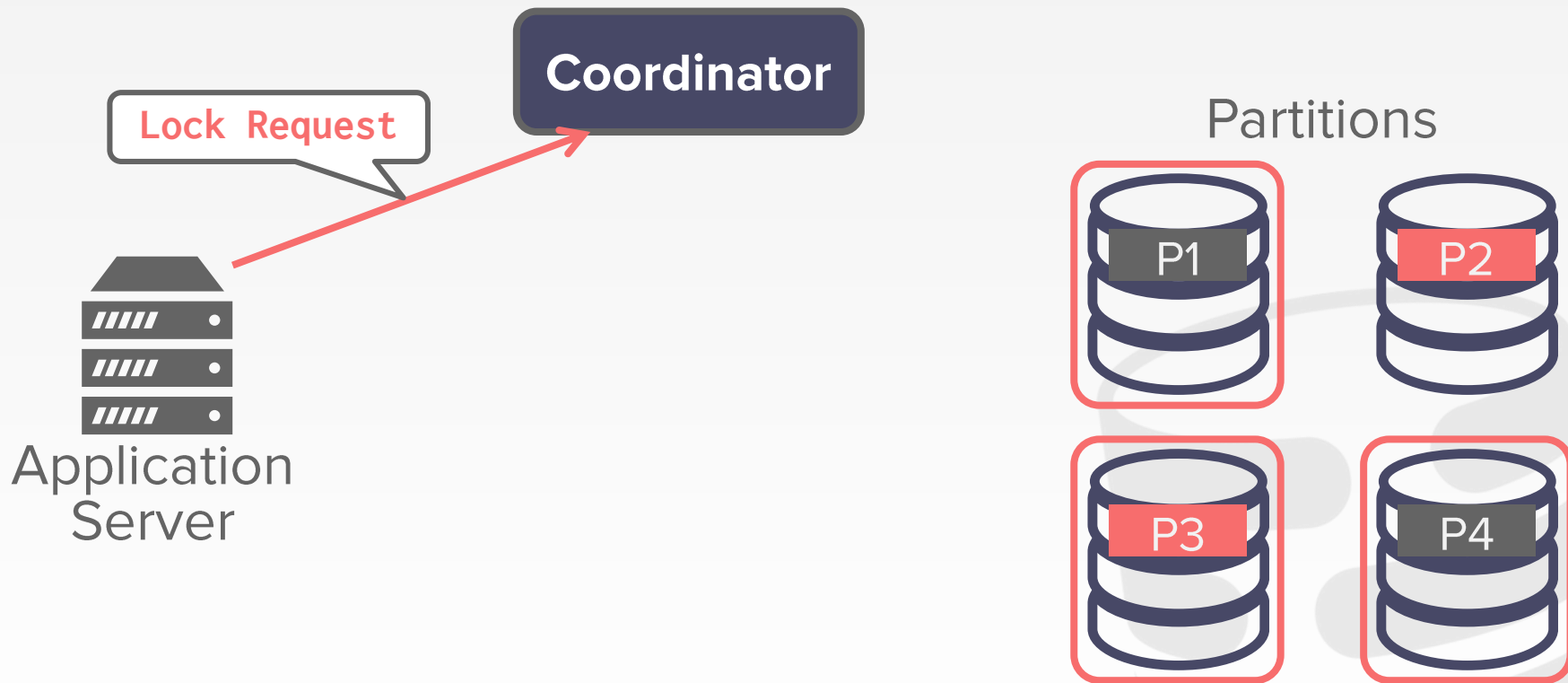
Originally developed in the 1970-80s to provide txns between terminals and mainframe databases.

→ Examples: ATMs, Airline Reservations.

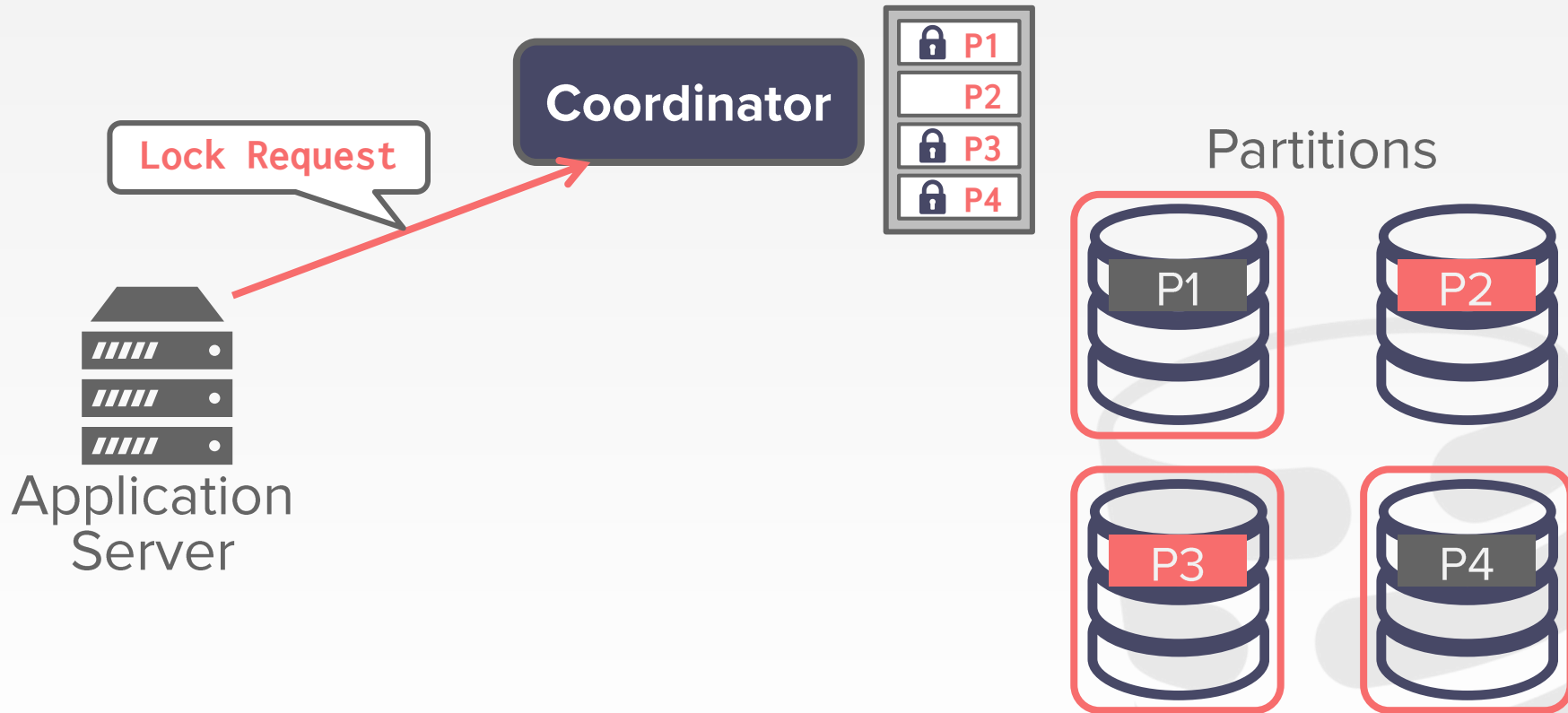
Many DBMSs now support the same functionality internally.



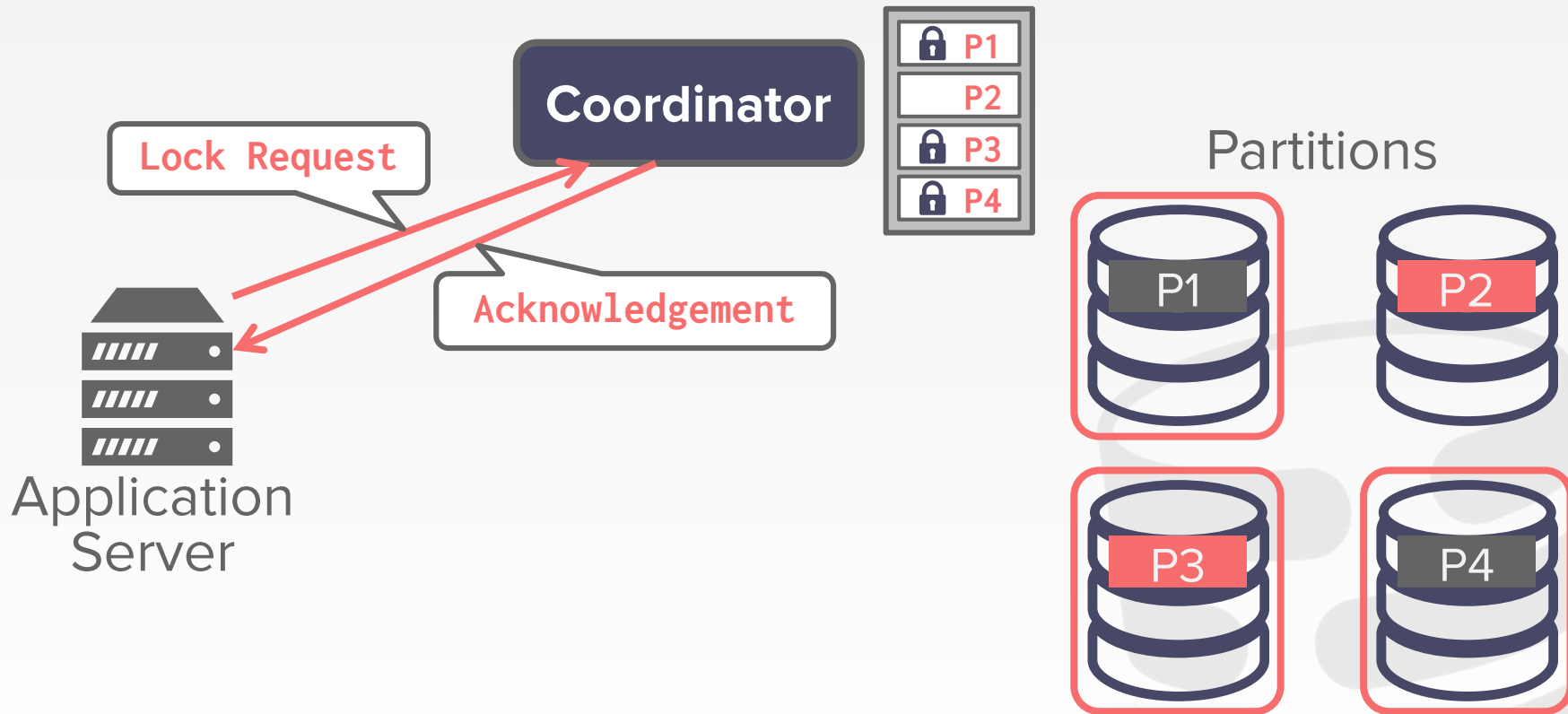
CENTRALIZED COORDINATOR



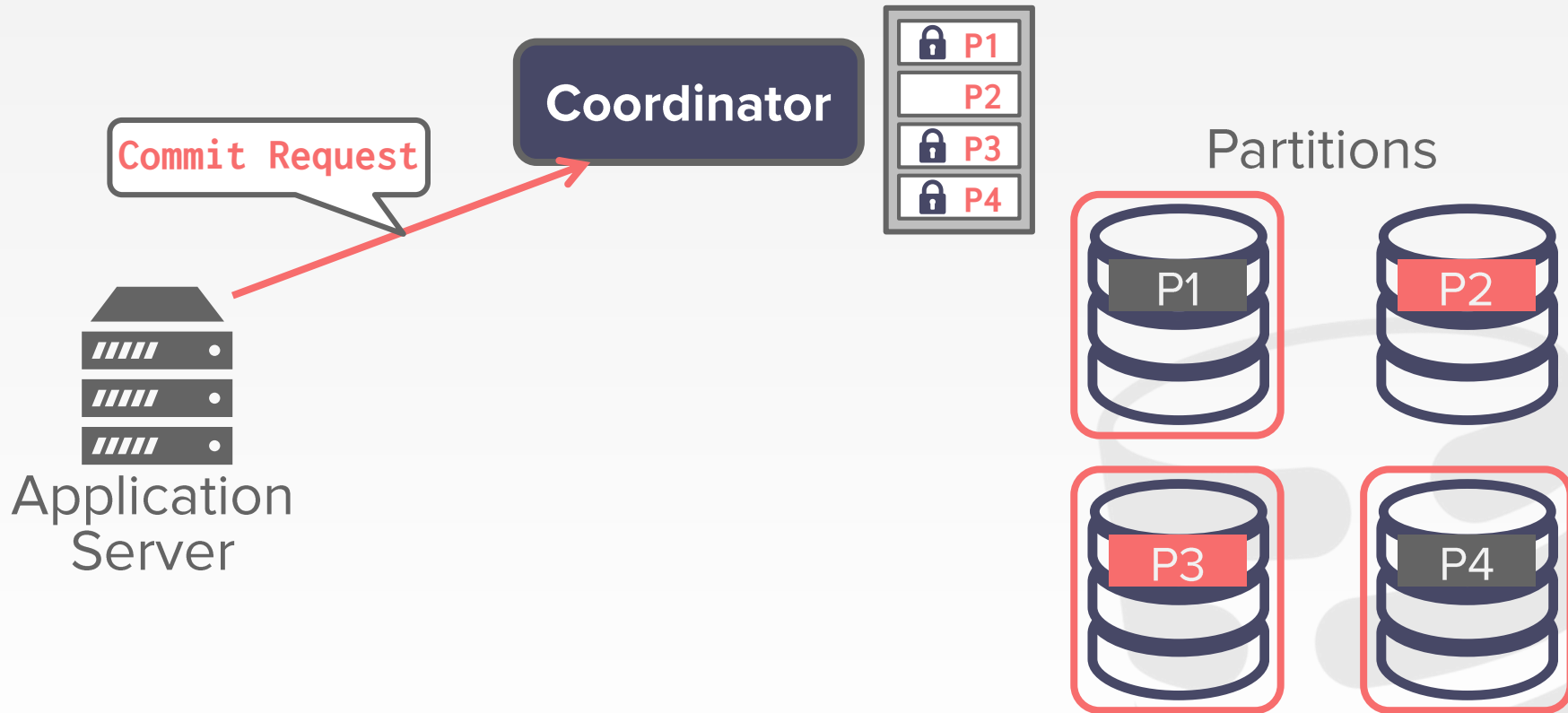
CENTRALIZED COORDINATOR



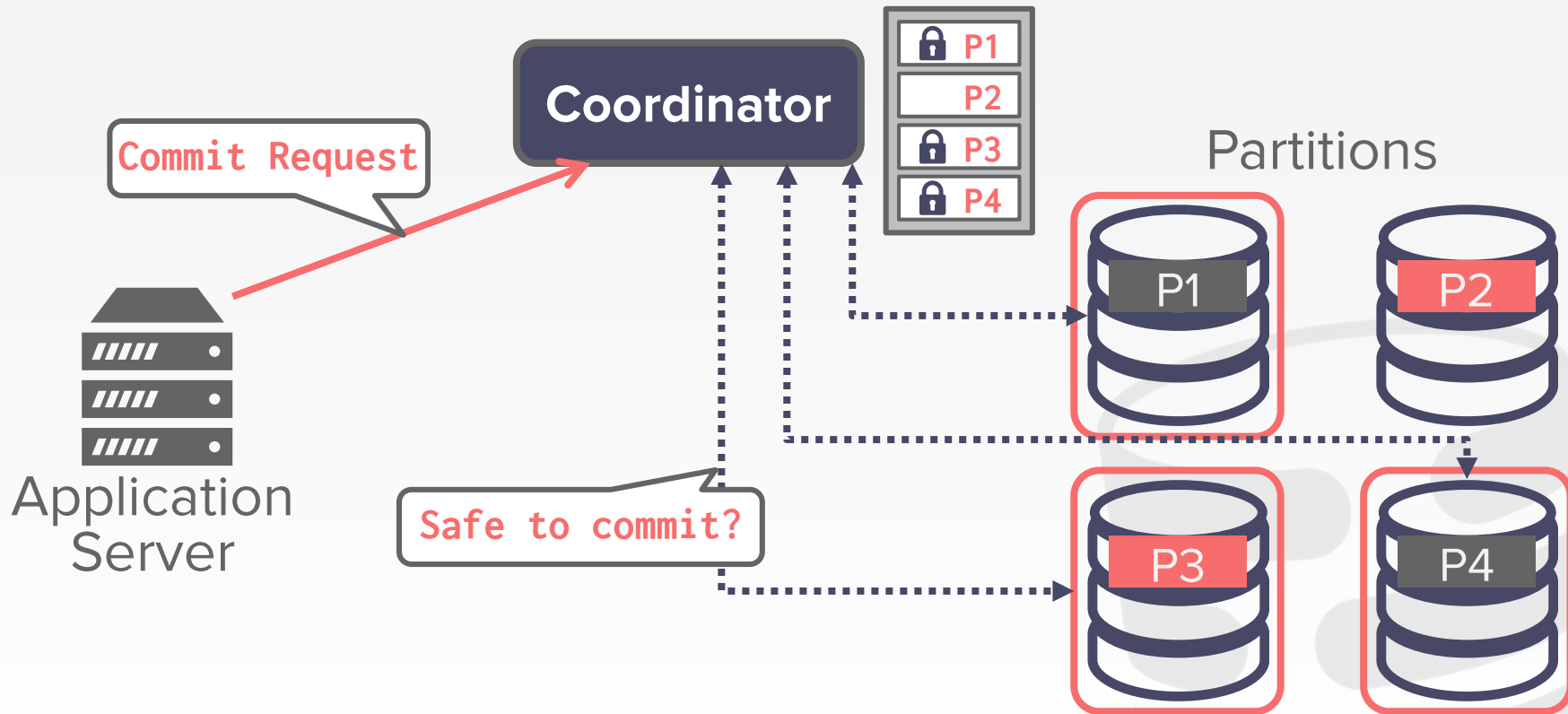
CENTRALIZED COORDINATOR



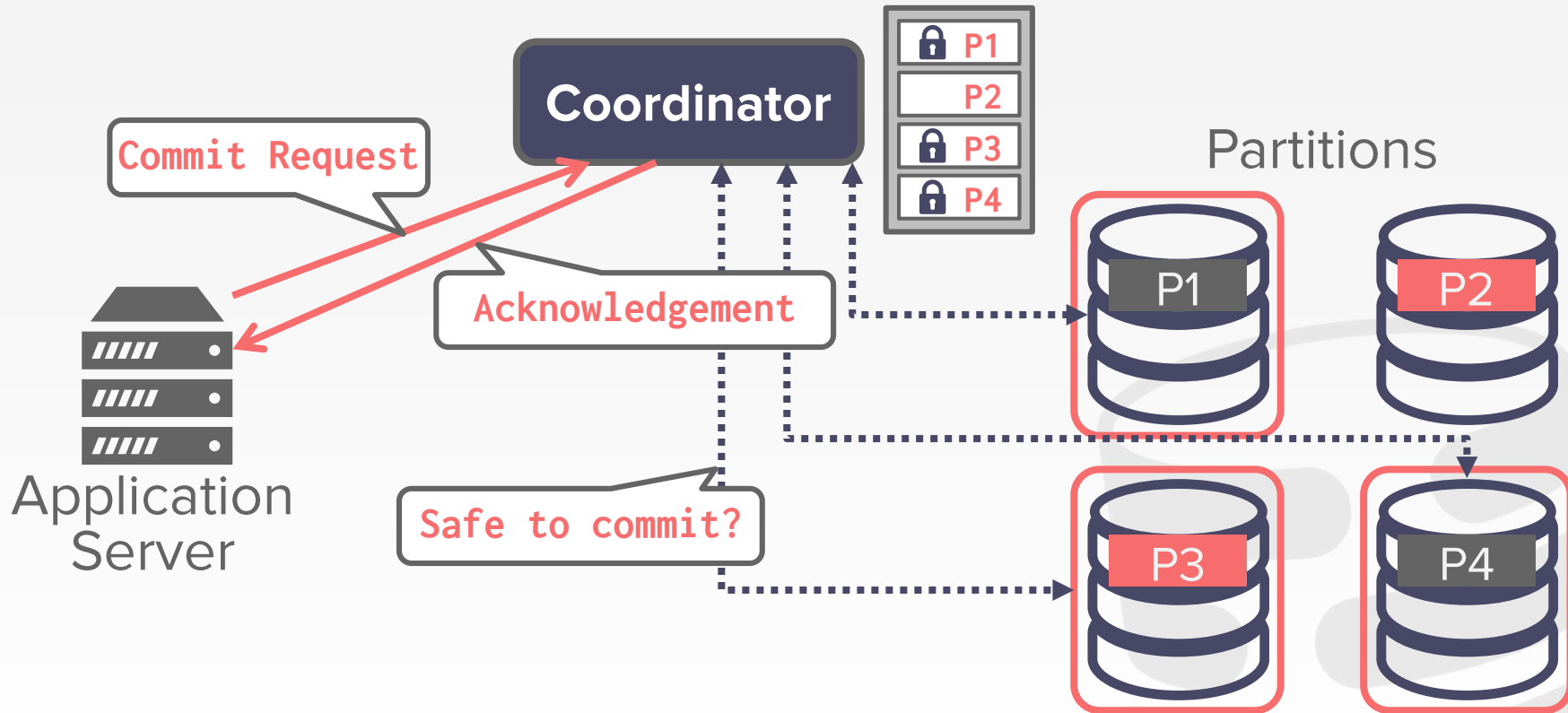
CENTRALIZED COORDINATOR



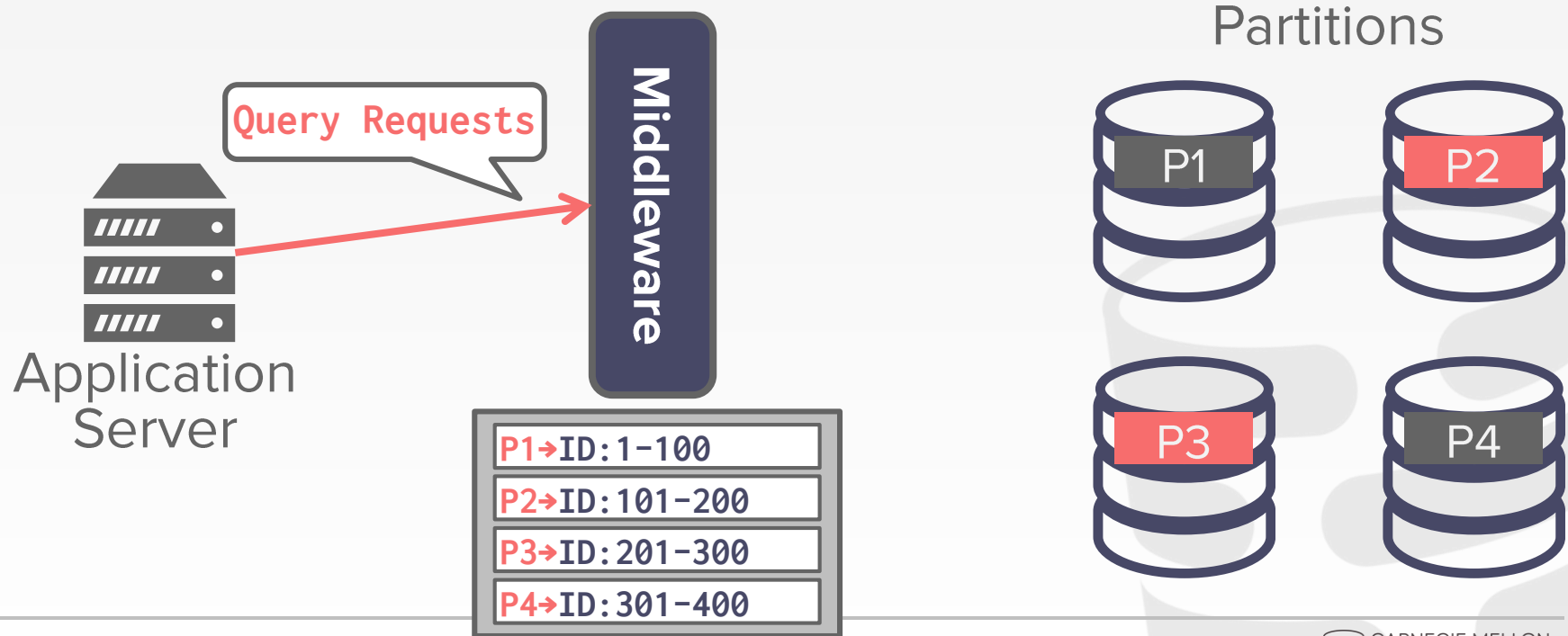
CENTRALIZED COORDINATOR



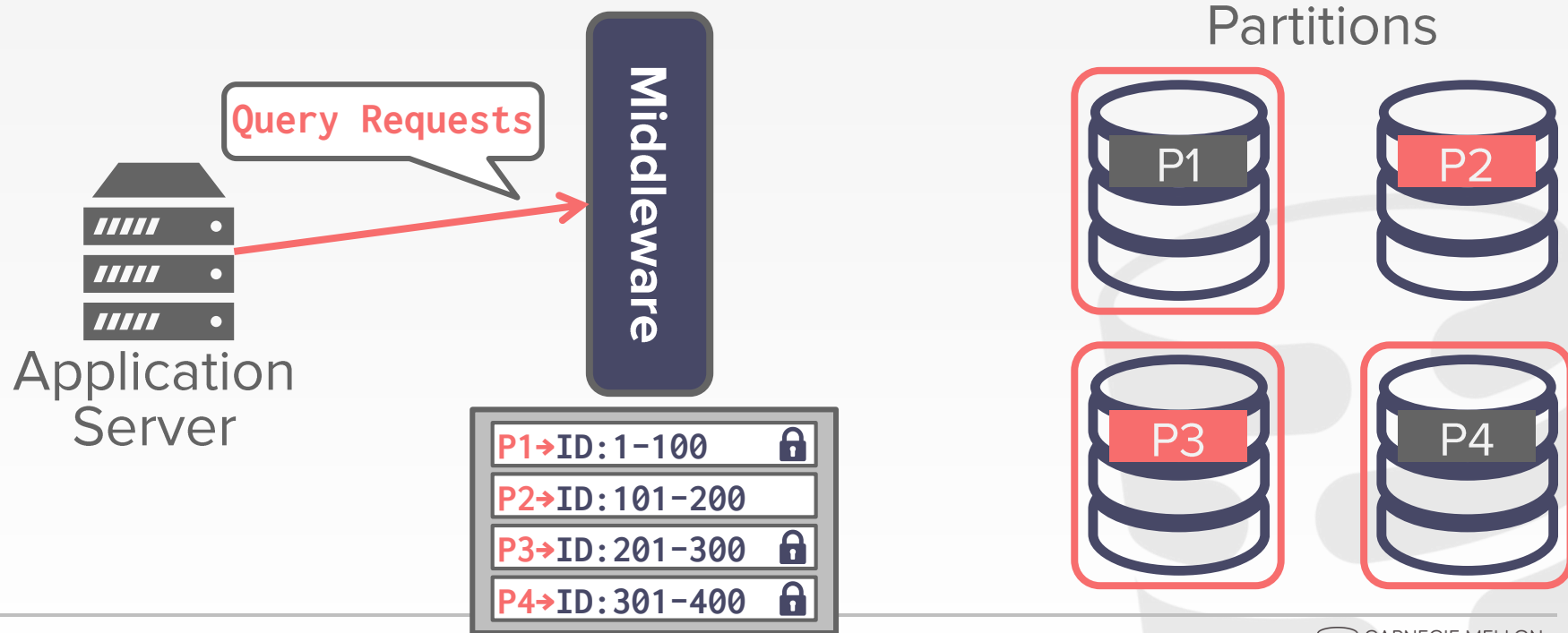
CENTRALIZED COORDINATOR



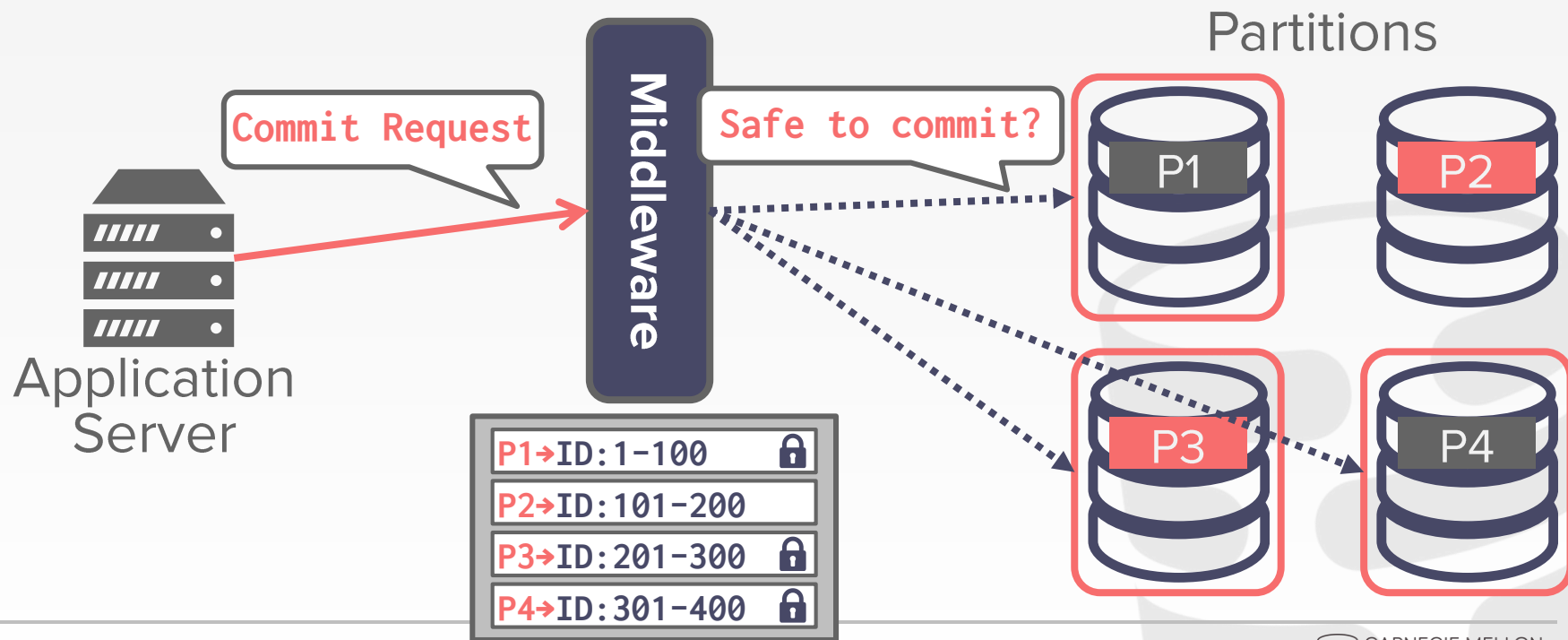
CENTRALIZED COORDINATOR



CENTRALIZED COORDINATOR



CENTRALIZED COORDINATOR

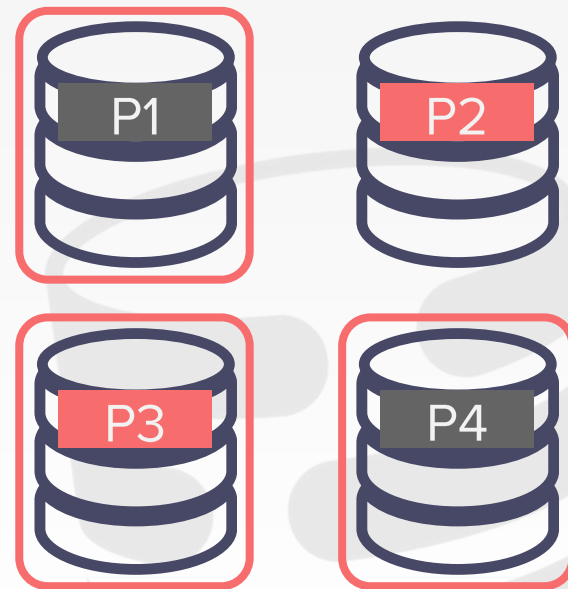


DECENTRALIZED COORDINATOR

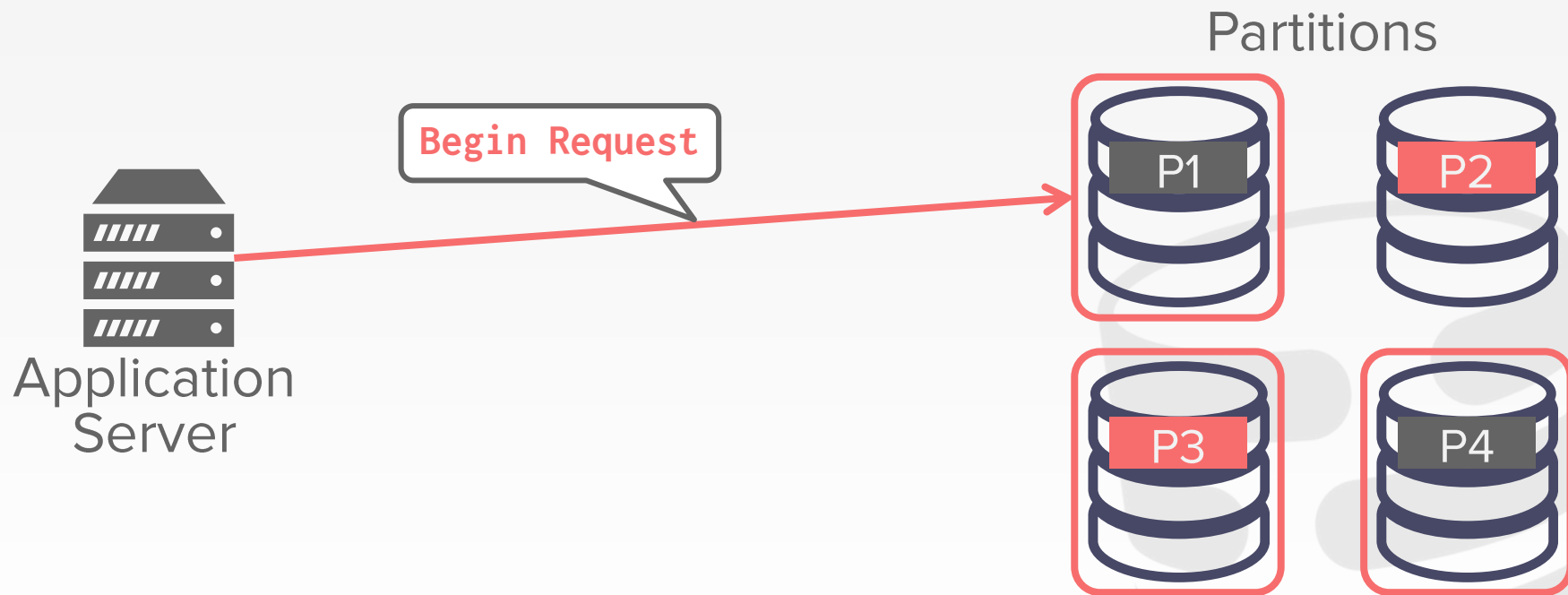


Application
Server

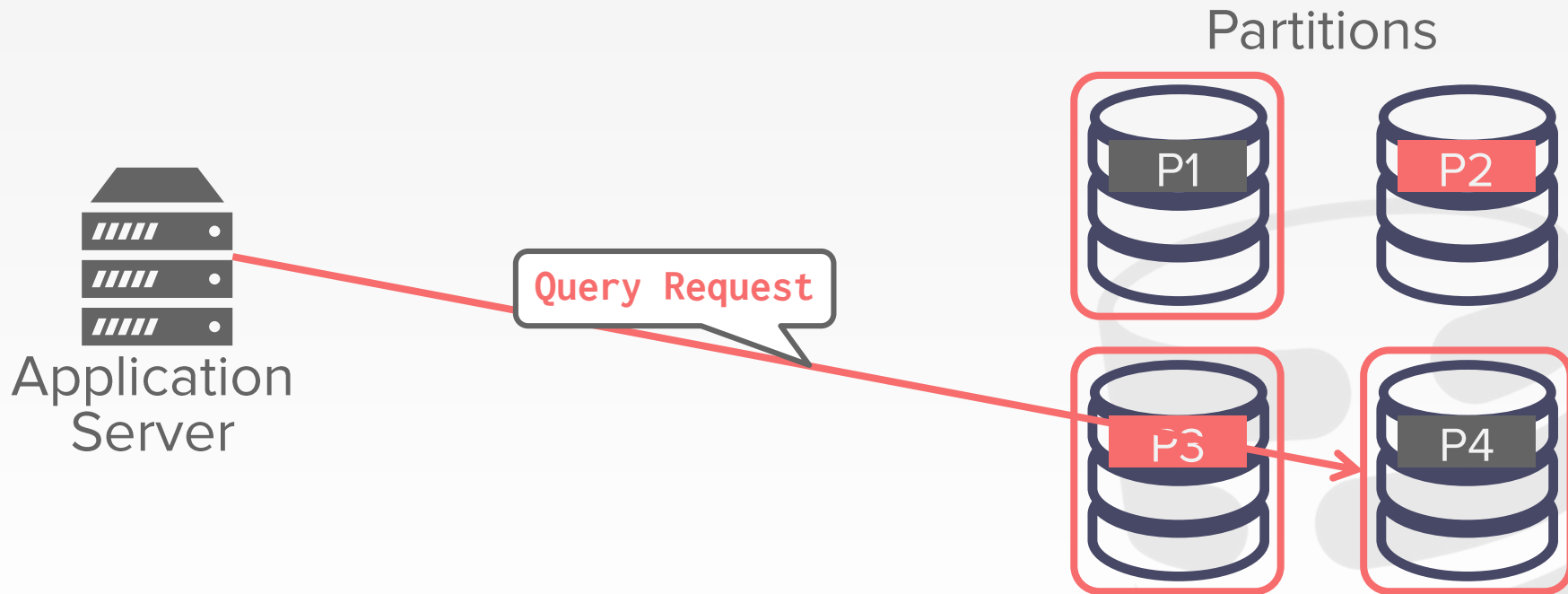
Partitions



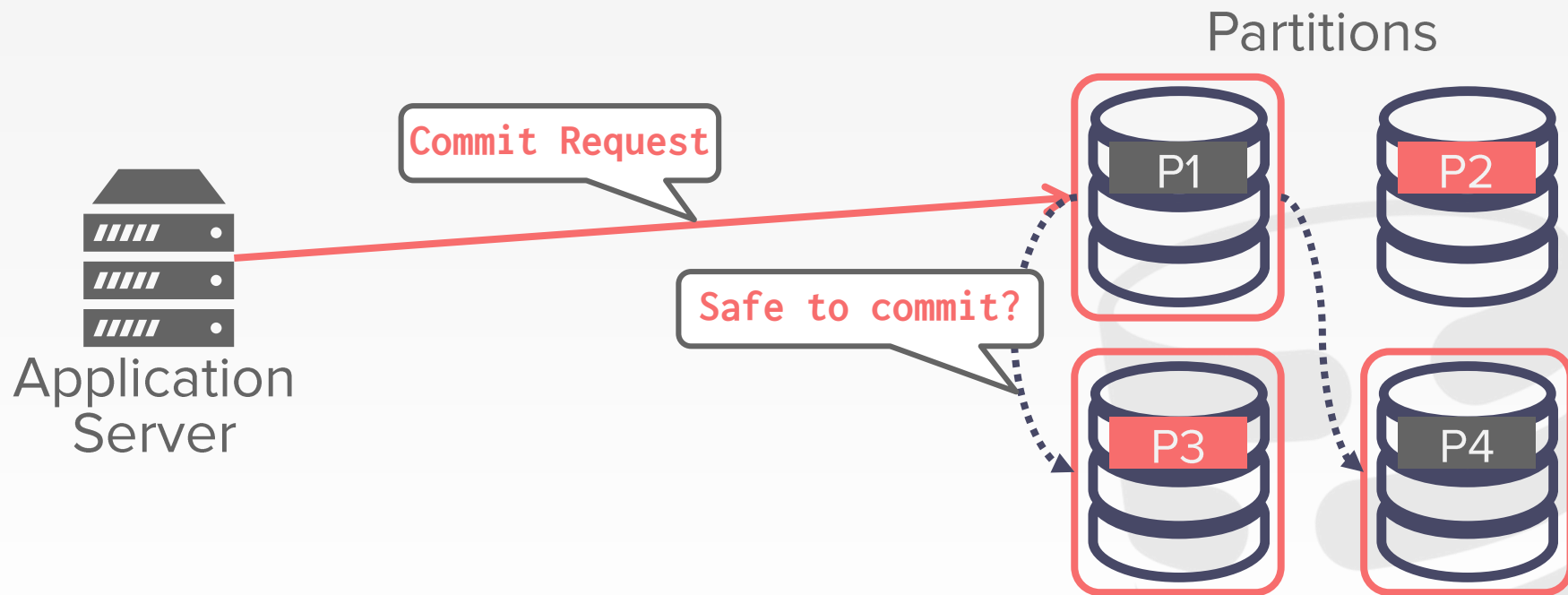
DECENTRALIZED COORDINATOR



DECENTRALIZED COORDINATOR



DECENTRALIZED COORDINATOR



DISTRIBUTED CONCURRENCY CONTROL

Need to allow multiple txns to execute simultaneously across multiple nodes.

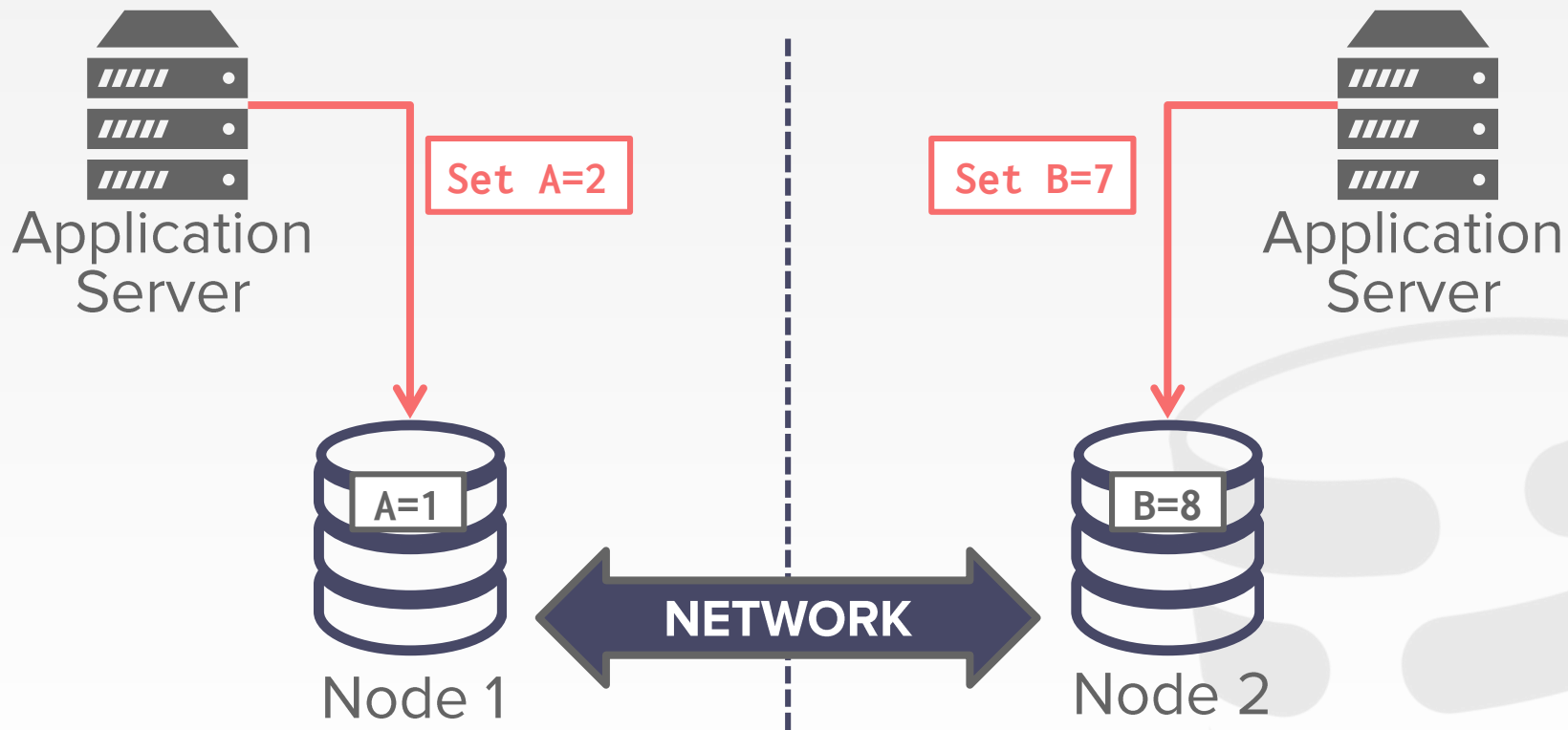
→ Many of the same protocols from single-node DBMSs can be adapted.

This is harder because of:

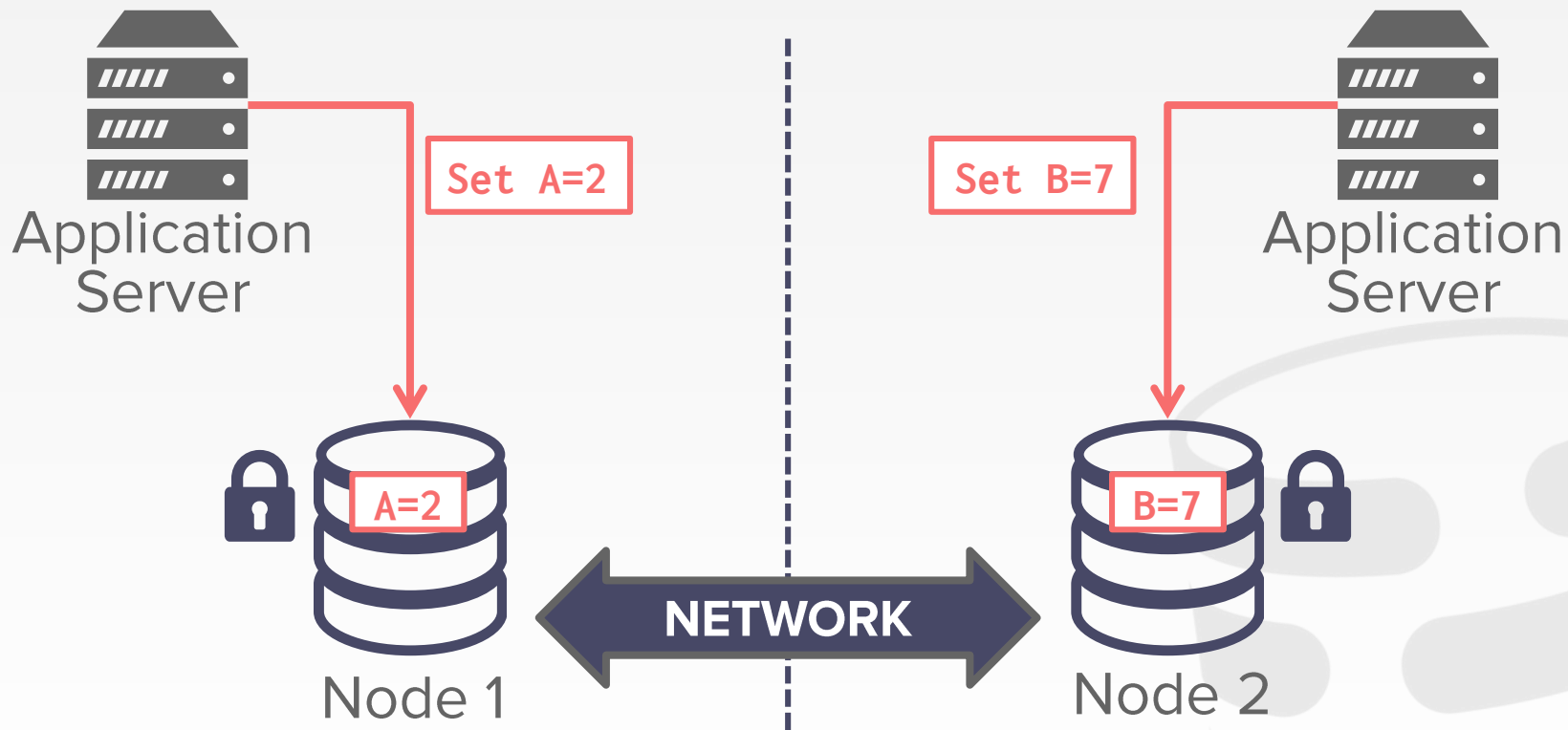
- Replication.
- Network Communication Overhead.
- Node Failures.
- Clock Skew.



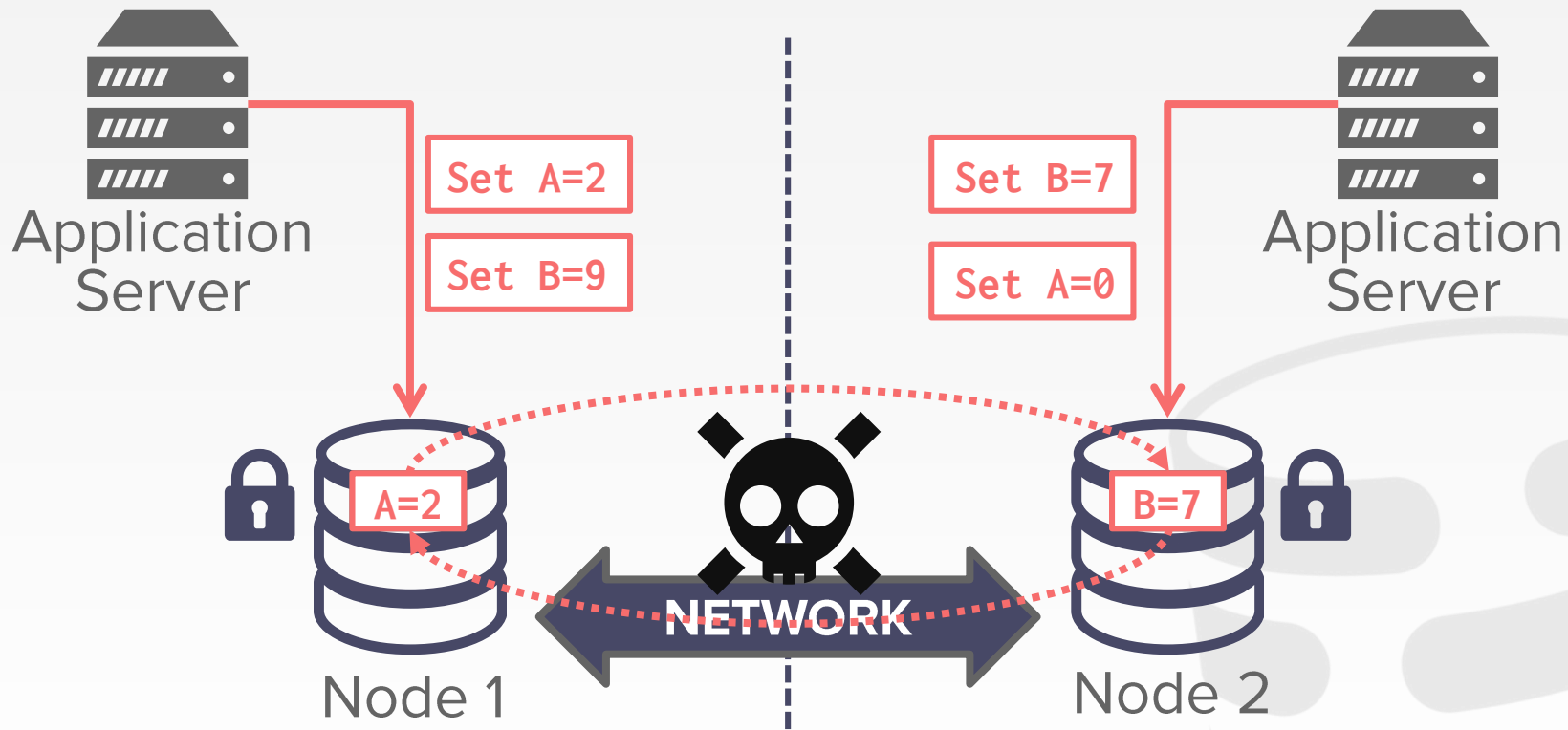
DISTRIBUTED 2PL



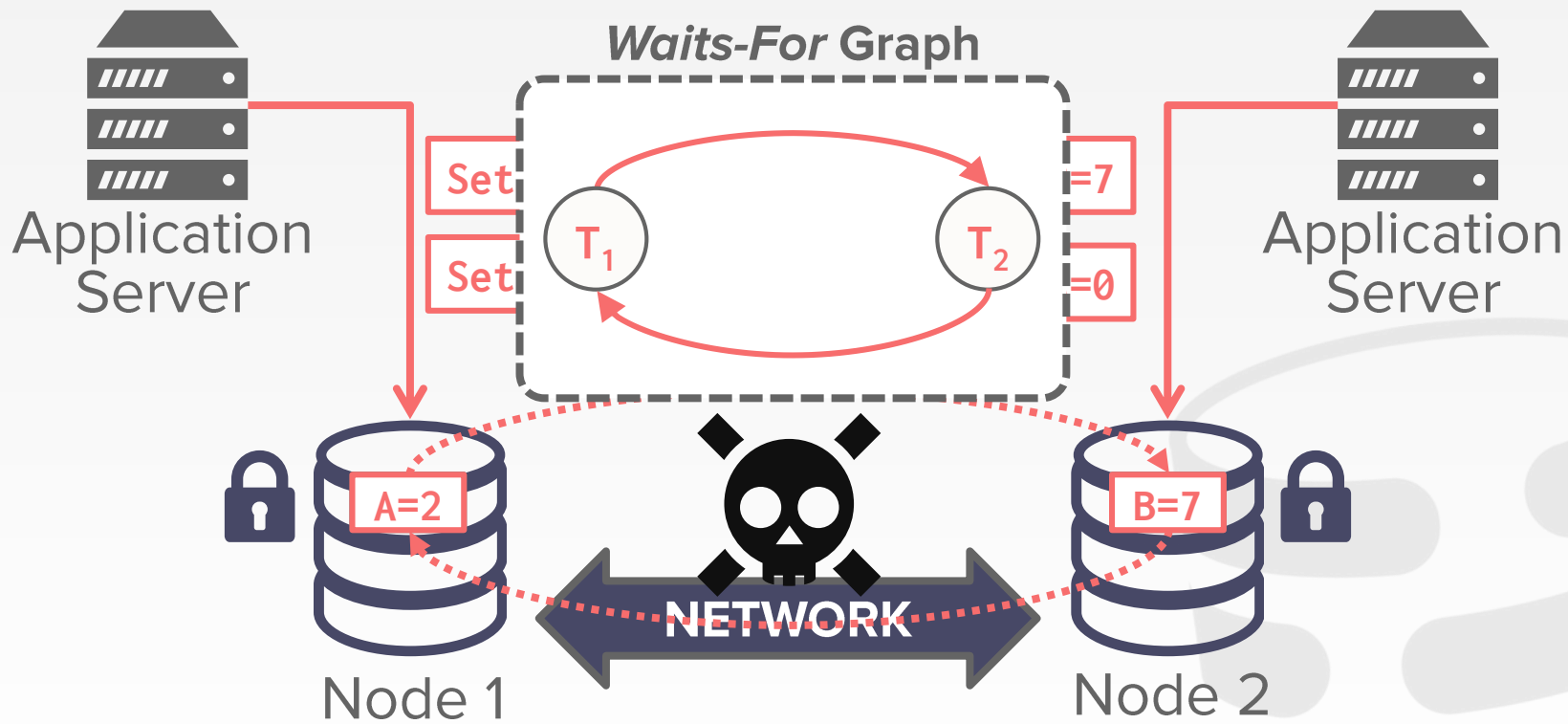
DISTRIBUTED 2PL



DISTRIBUTED 2PL



DISTRIBUTED 2PL



OBSERVATION

We have not discussed how to ensure that all nodes agree to commit a txn and then to make sure it does commit if we decide that it should.

- What happens if a node fails?
- What happens if our messages show up late?



ATOMIC COMMIT PROTOCOL

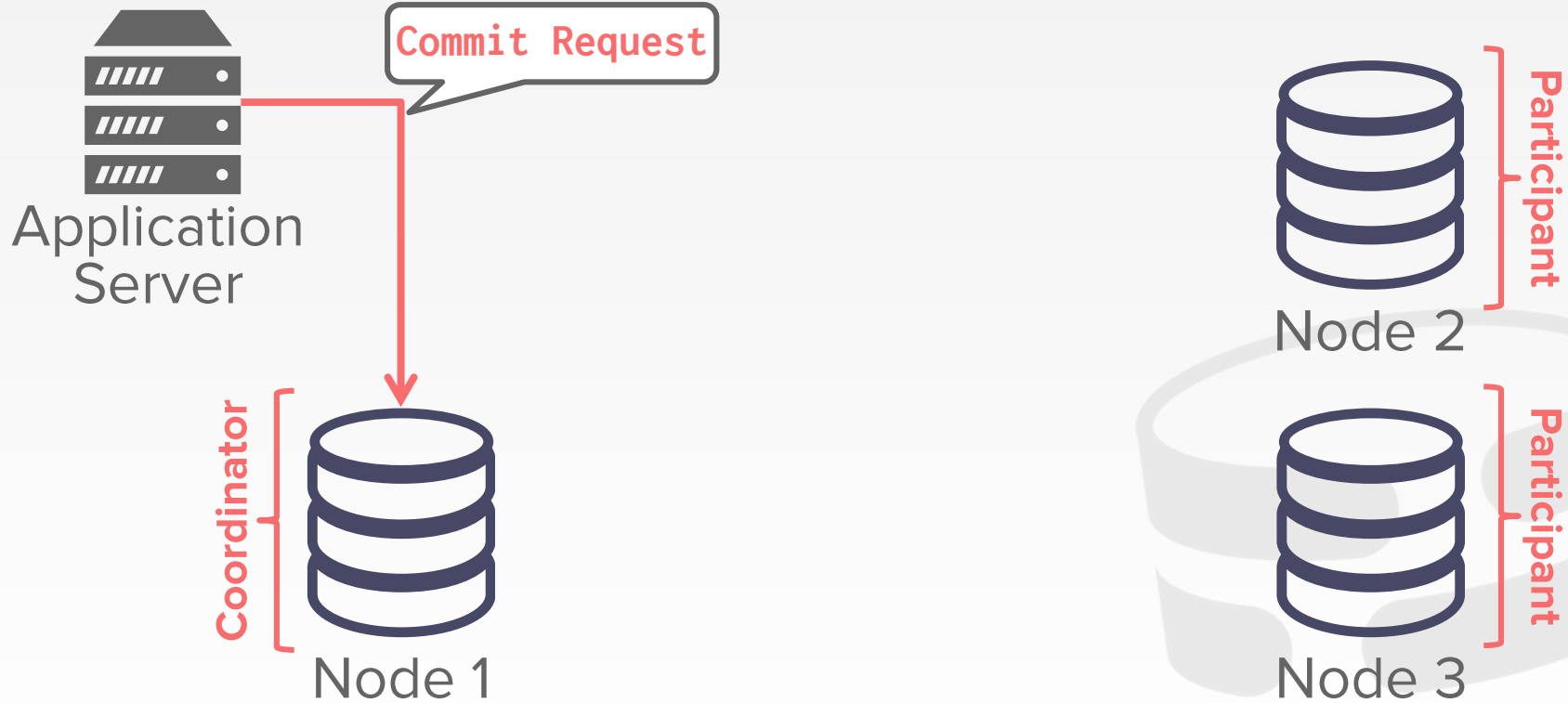
When a multi-node txn finishes, the DBMS needs to ask all of the nodes involved whether it is safe to commit.

→ All nodes must agree on the outcome

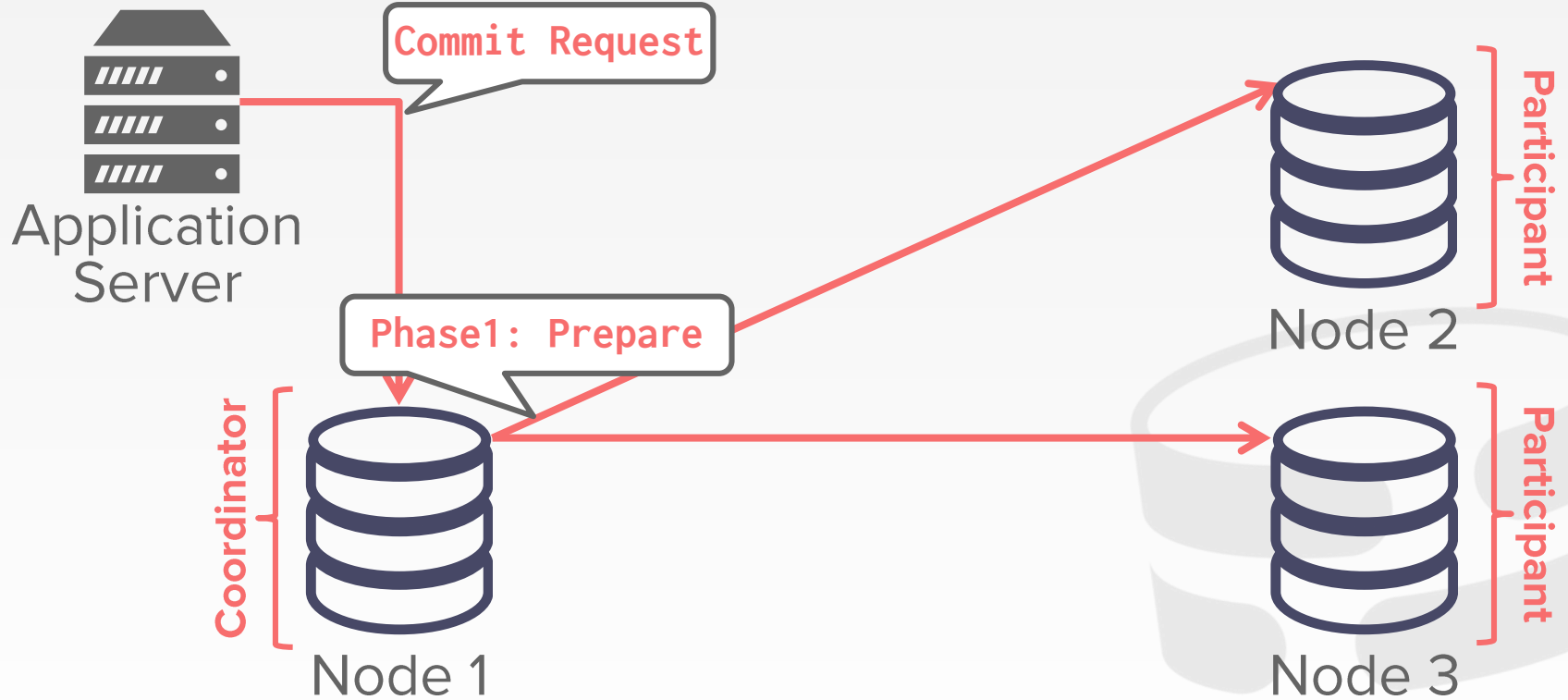
Examples:

- Two-Phase Commit
- Three-Phase Commit (not used)
- Paxos
- Raft
- ZAB (Apache Zookeeper)

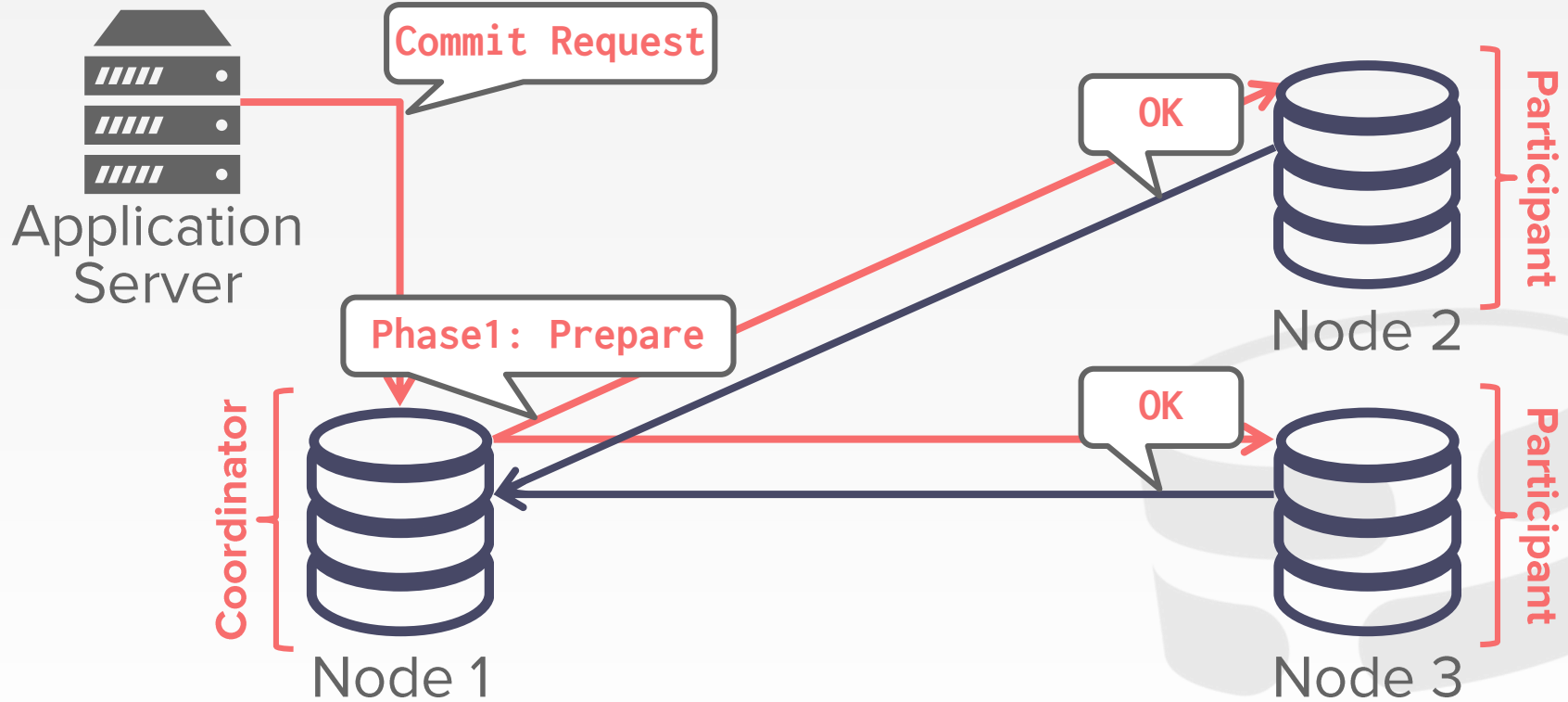
TWO-PHASE COMMIT (SUCCESS)



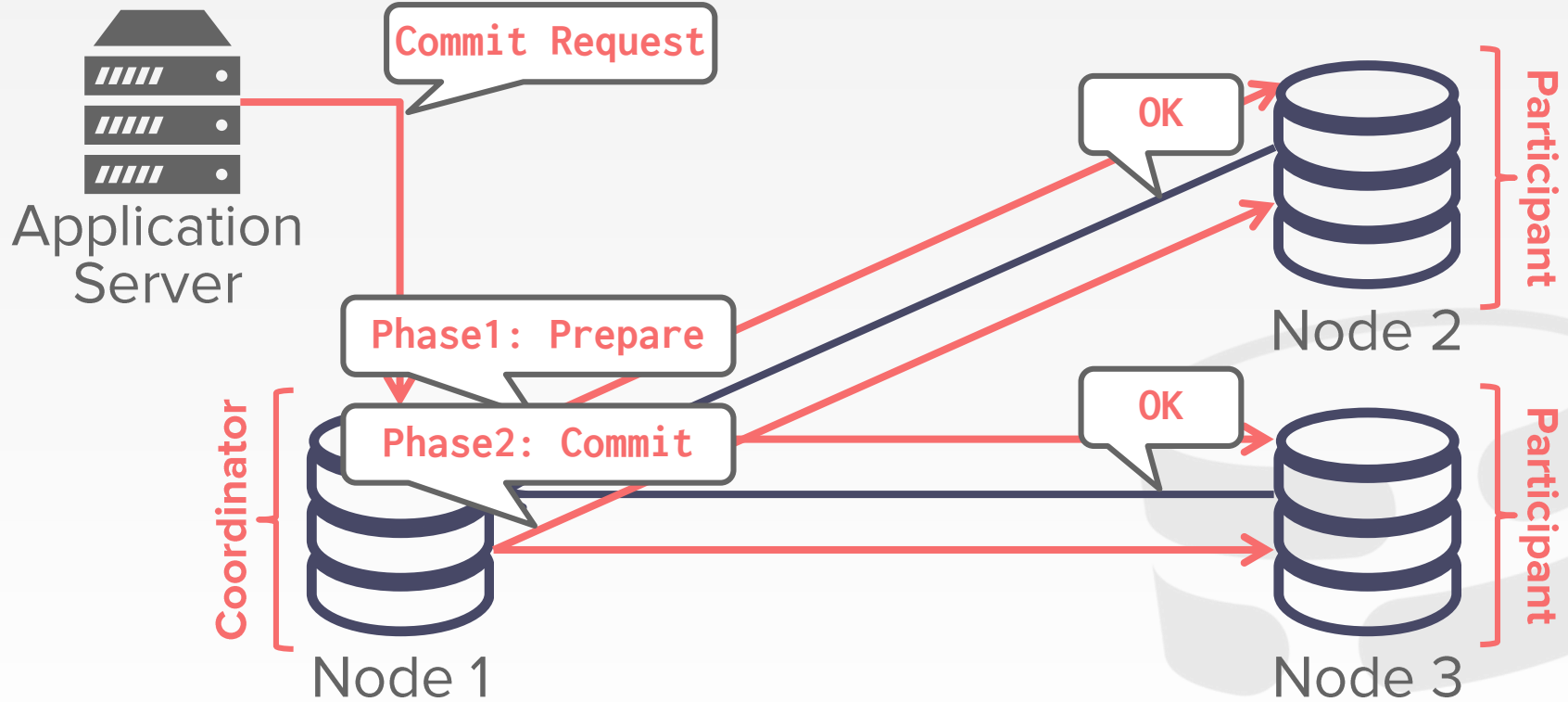
TWO-PHASE COMMIT (SUCCESS)



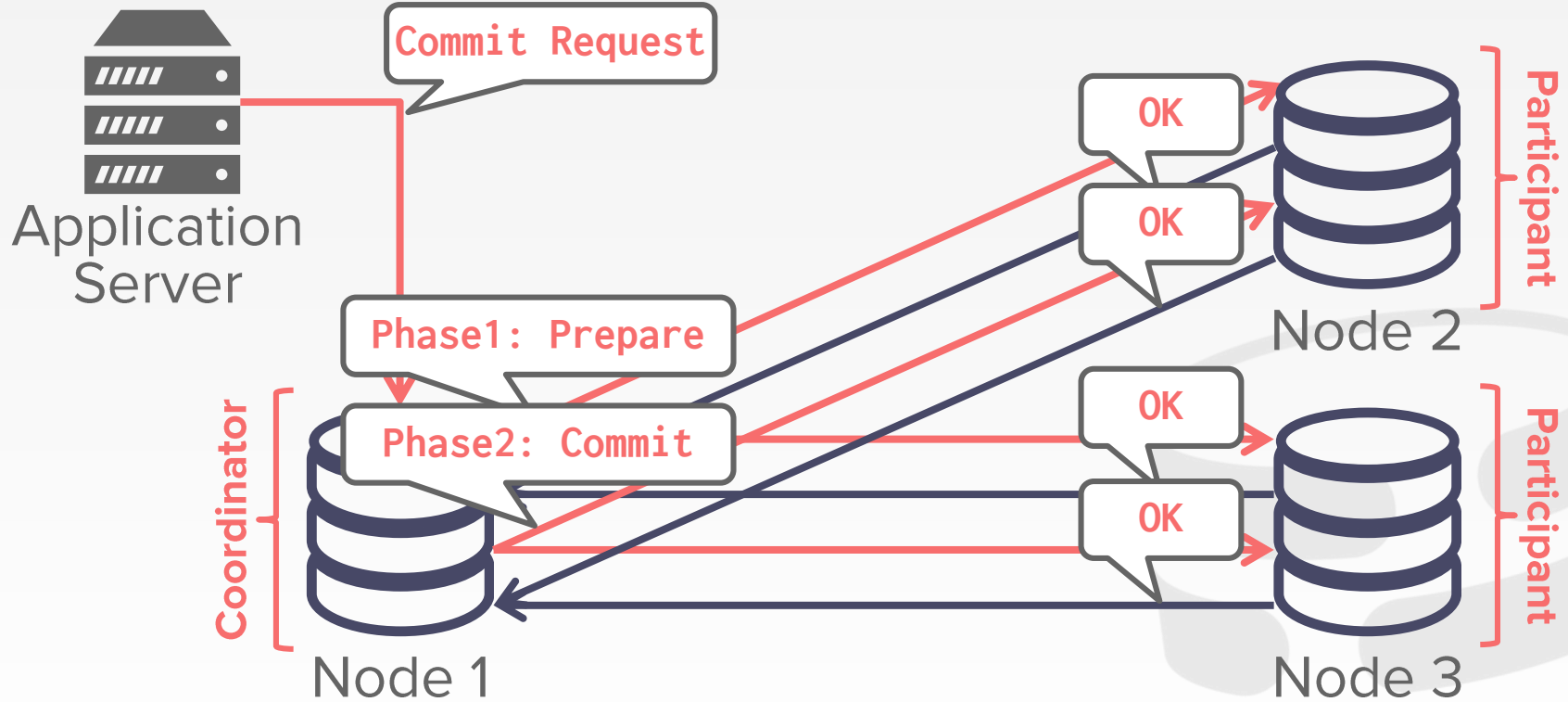
TWO-PHASE COMMIT (SUCCESS)



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TWO-PHASE COMMIT (SUCCESS)



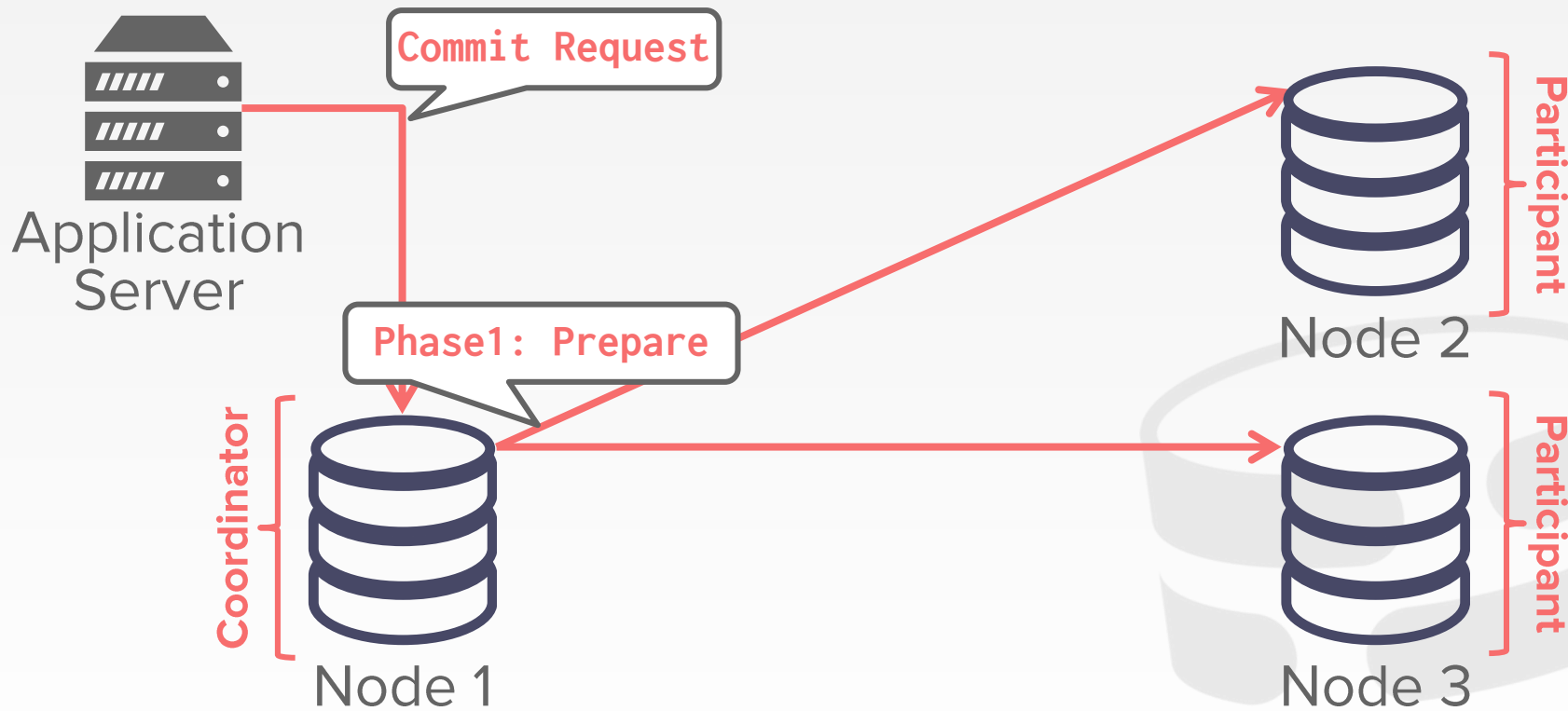
TWO-PHASE COMMIT (SUCCESS)



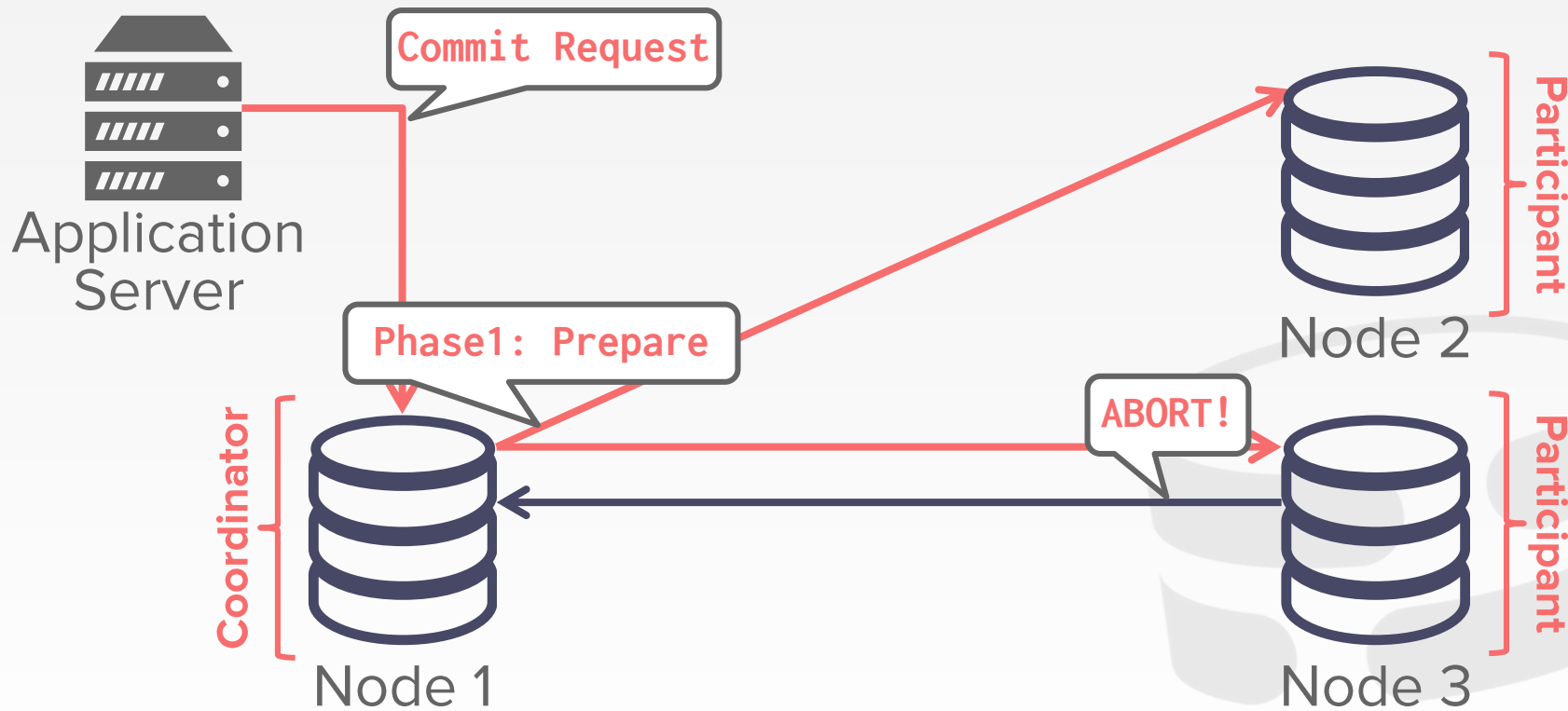
TWO-PHASE COMMIT (ABORT)



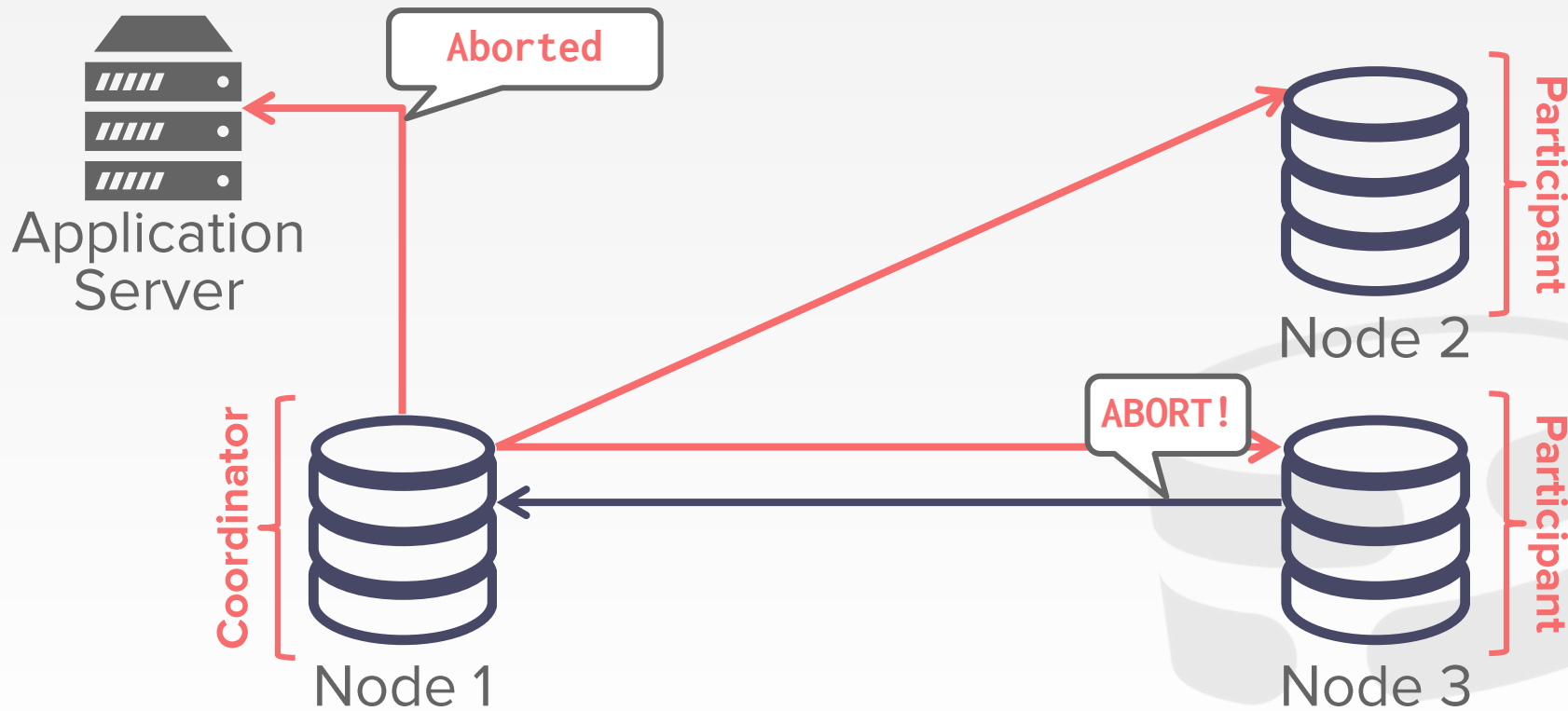
TWO-PHASE COMMIT (ABORT)



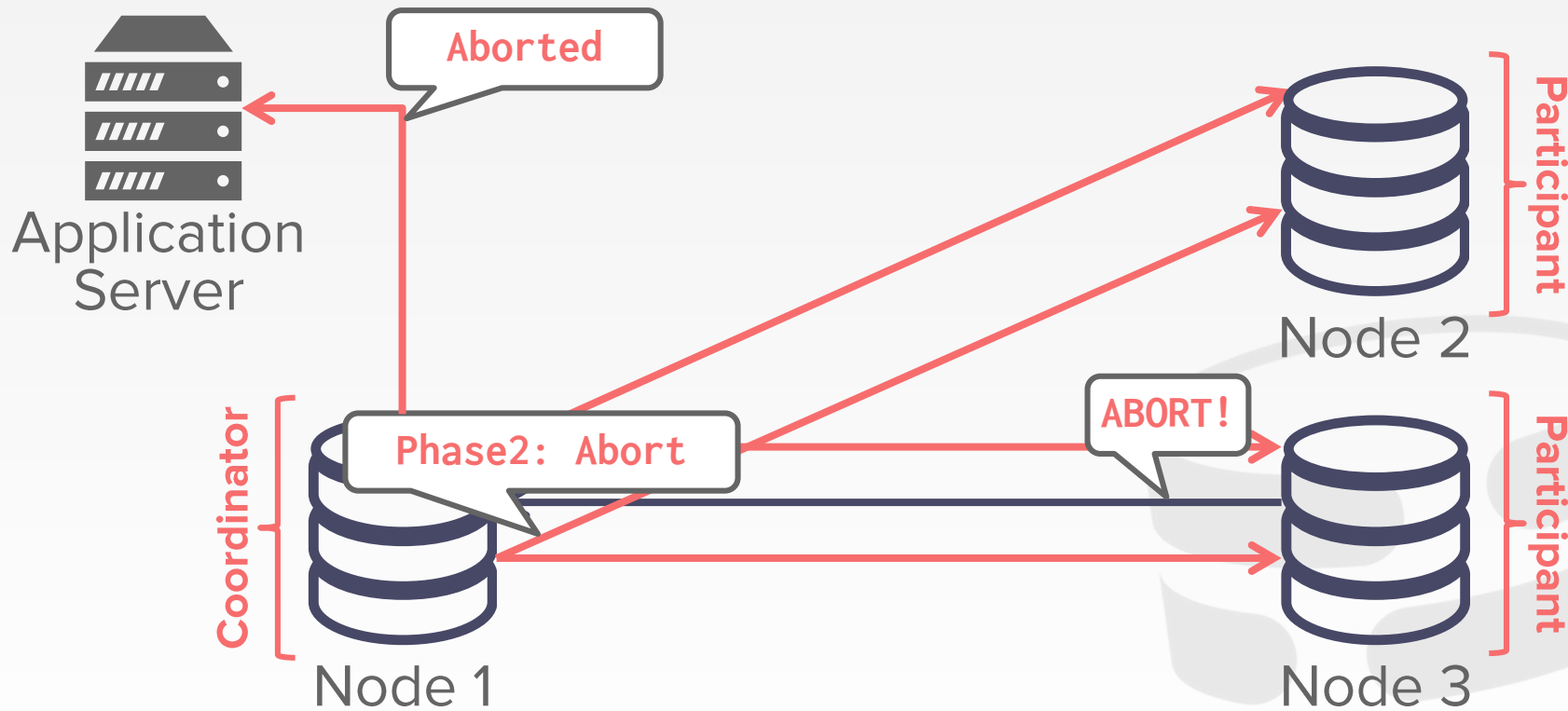
TWO-PHASE COMMIT (ABORT)



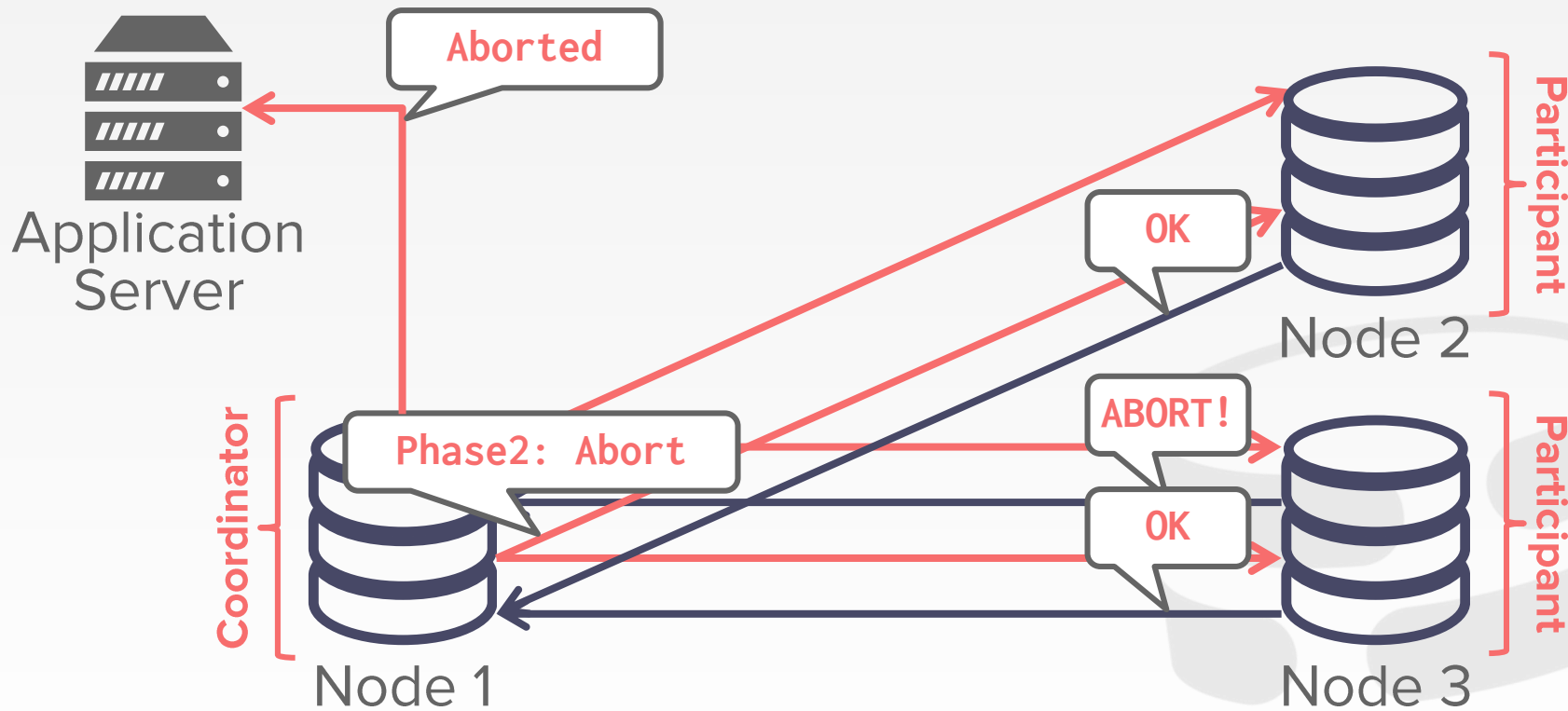
TWO-PHASE COMMIT (ABORT)



TWO-PHASE COMMIT (ABORT)



TWO-PHASE COMMIT (ABORT)



2PC OPTIMIZATIONS

Early Prepare Voting

- If you send a query to a remote node that you know will be the last one you execute there, then that node will also return their vote for the prepare phase with the query result.

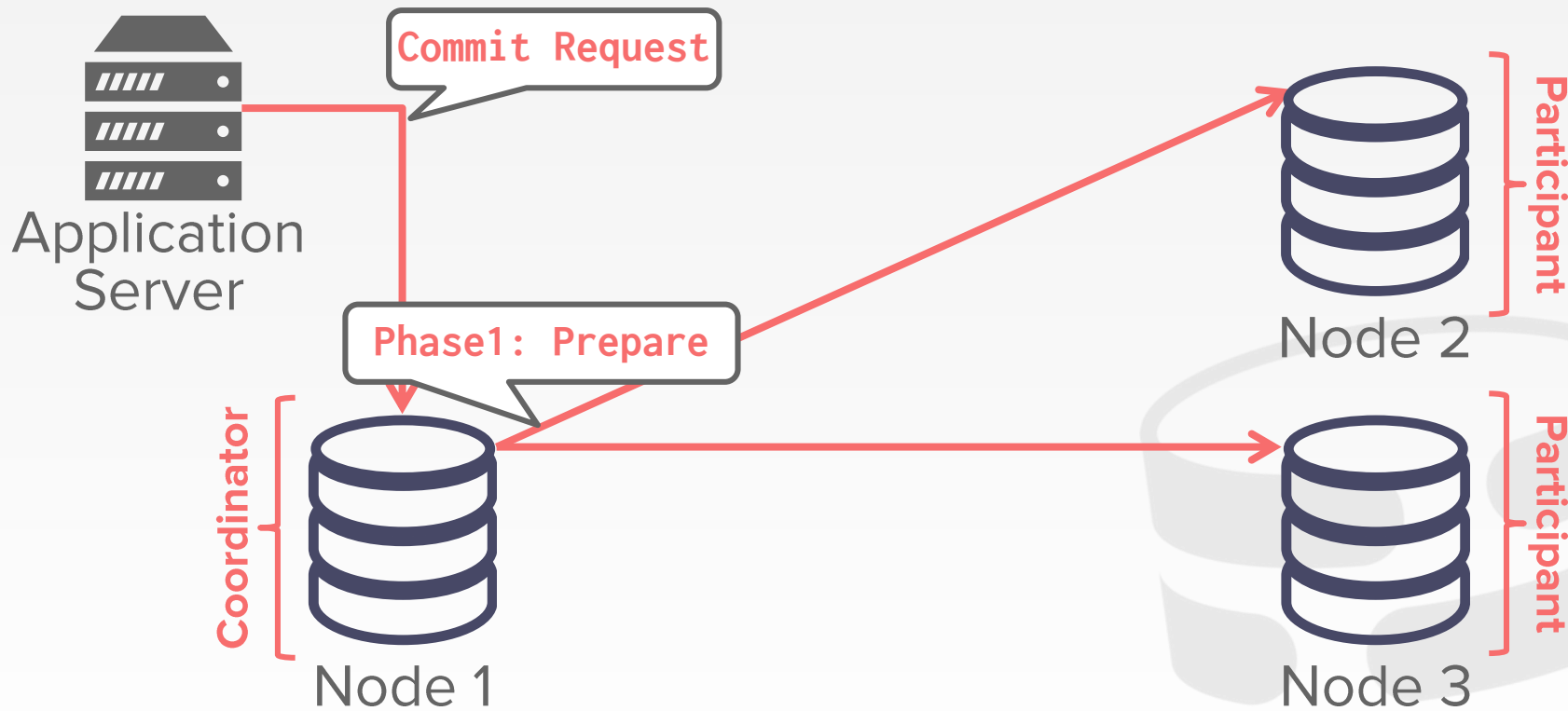
Early Acknowledgement After Prepare

- If all nodes vote to commit a txn, the coordinator can send the client an acknowledgement that their txn was successful before the commit phase finishes.

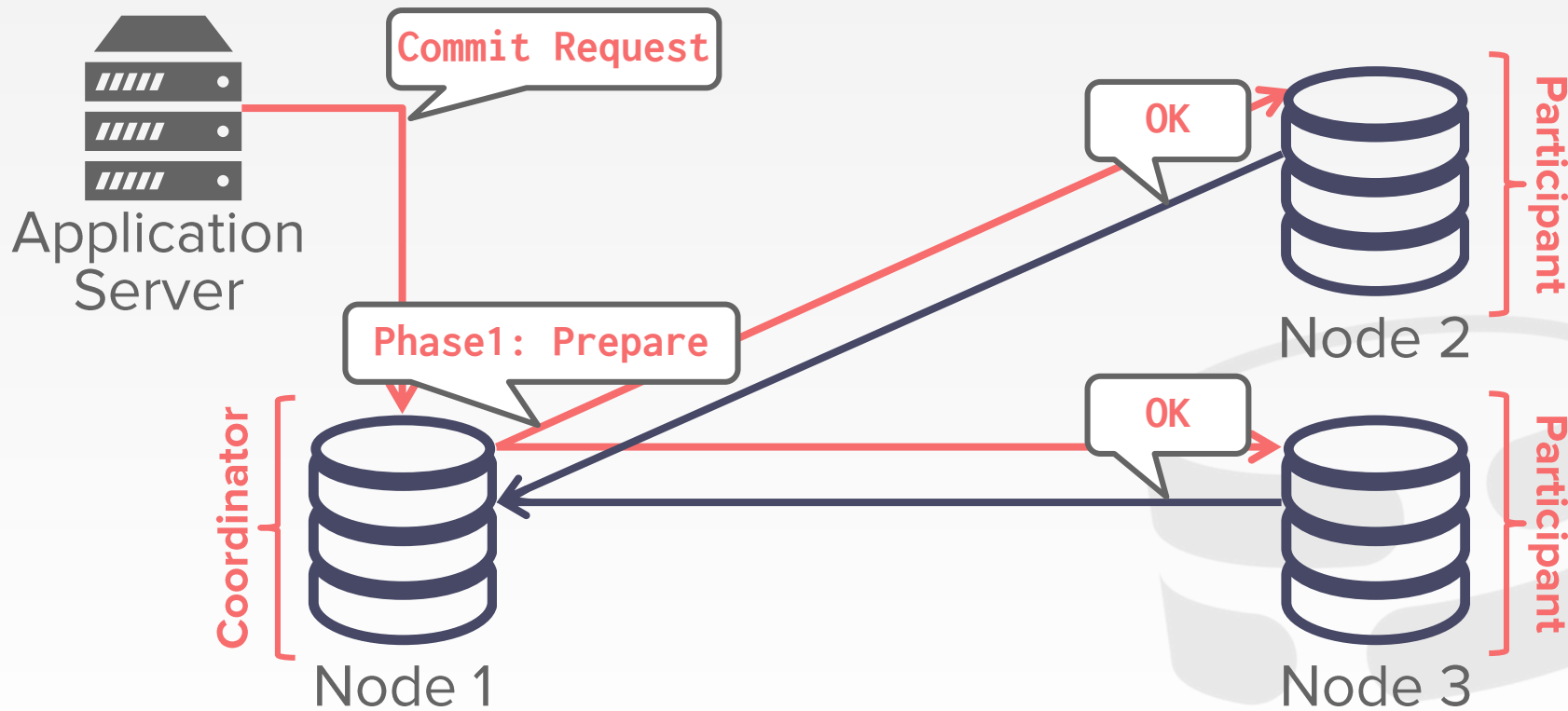
EARLY ACKNOWLEDGEMENT



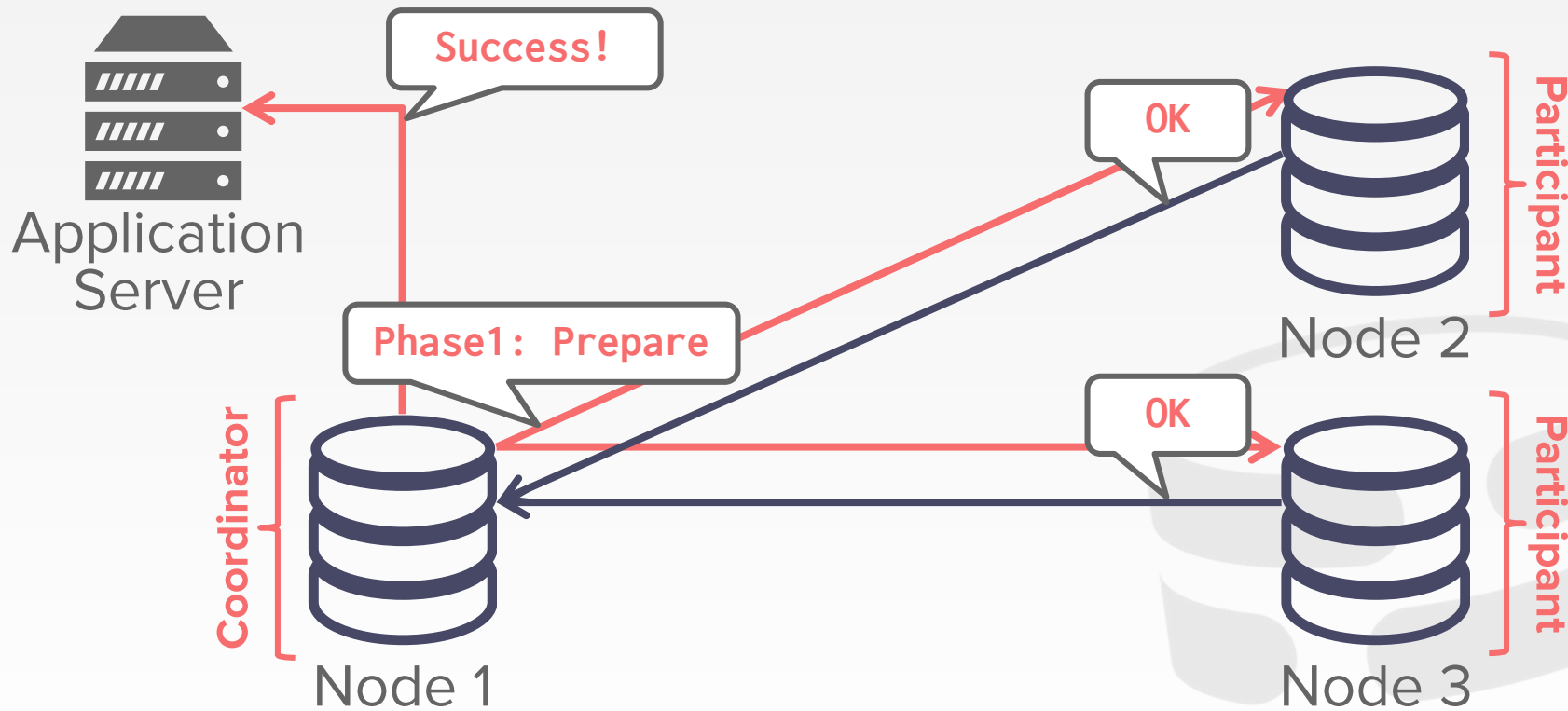
EARLY ACKNOWLEDGEMENT



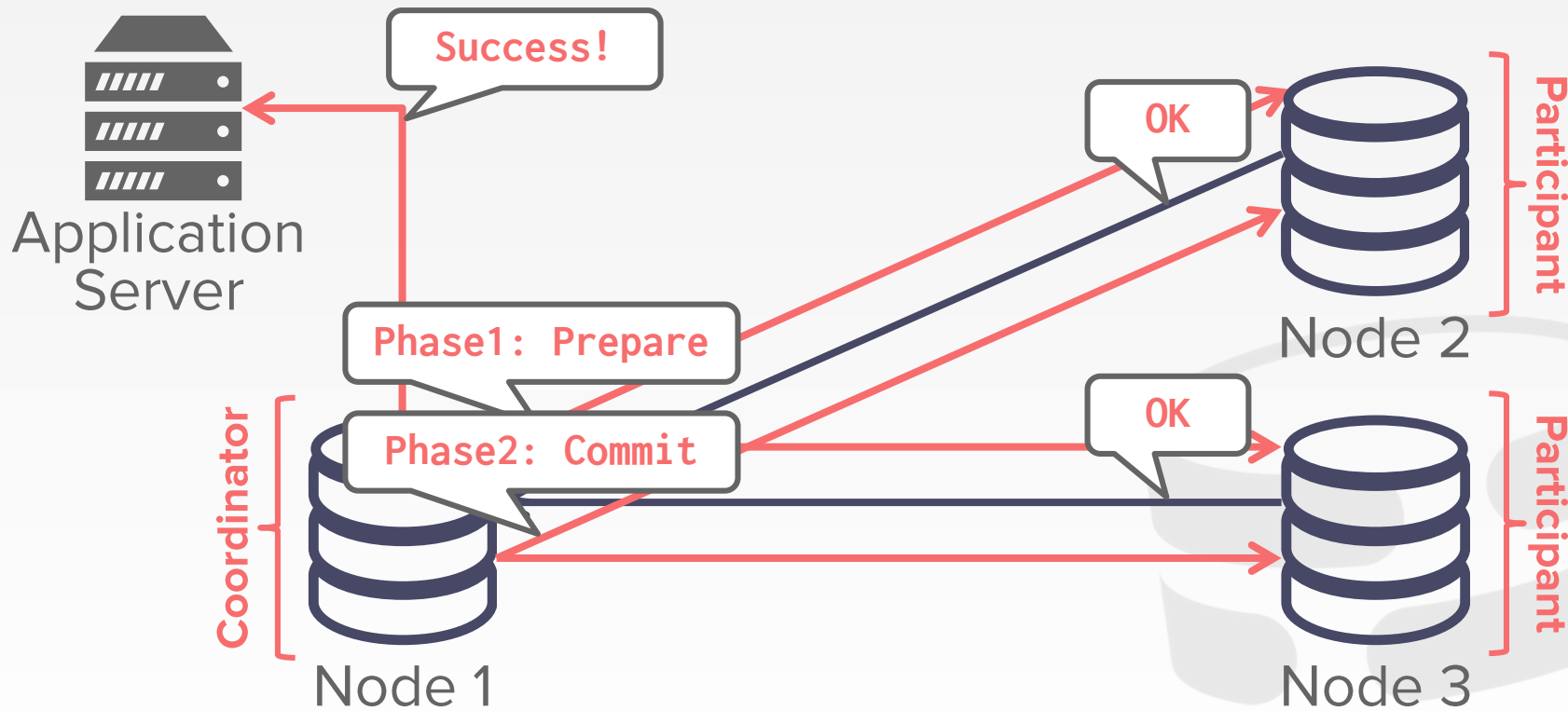
EARLY ACKNOWLEDGEMENT



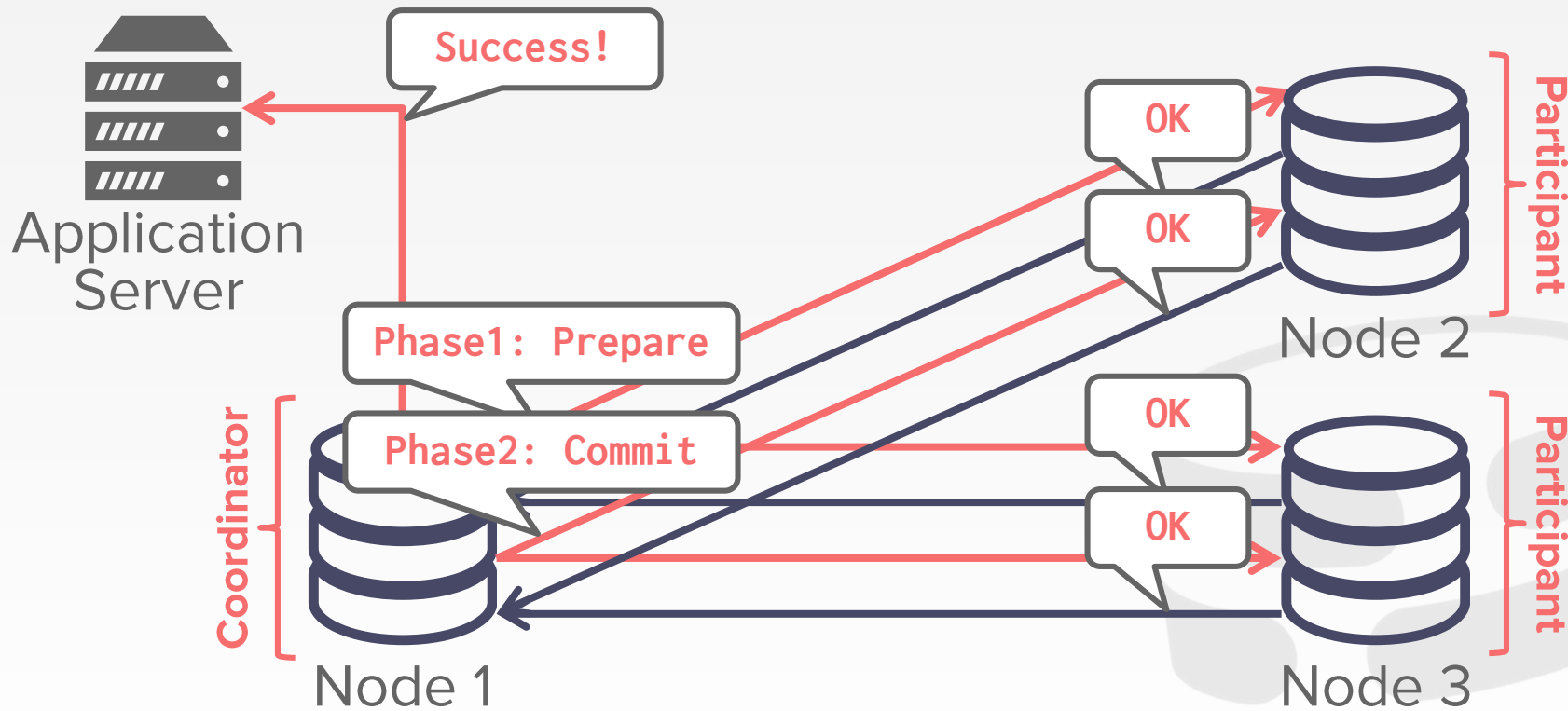
EARLY ACKNOWLEDGEMENT



EARLY ACKNOWLEDGEMENT



EARLY ACKNOWLEDGEMENT



TWO-PHASE COMMIT

Each node has to record the outcome of each phase in a stable storage log.

What happens if coordinator crashes?

→ Participants have to decide what to do.

What happens if participant crashes?

→ Coordinator assumes that it responded with an abort if it hasn't sent an acknowledgement yet.

The nodes have to block until they can figure out the correct action to take.



PAXOS

Consensus protocol where a coordinator proposes an outcome (e.g., commit or abort) and then the participants vote on whether that outcome should succeed.

Does not block if a majority of participants are available and has provably minimal message delays in the best case.

→ First correct protocol that was provably resilient in the face asynchronous networks



2PC VS. PAXOS

Two-Phase Commit

- Blocks if coordinator fails after the prepare message is sent, until coordinator recovers.

Paxos

- Non-blocking as long as a majority participants are alive, provided there is a sufficiently long period without further failures.



REPLICATION

The DBMS can replicate data across redundant nodes to increase availability.

System Configurations:

- **Master/Slave** (aka Leader-Follower)
- **Multi-Master** (aka Multi-Home)

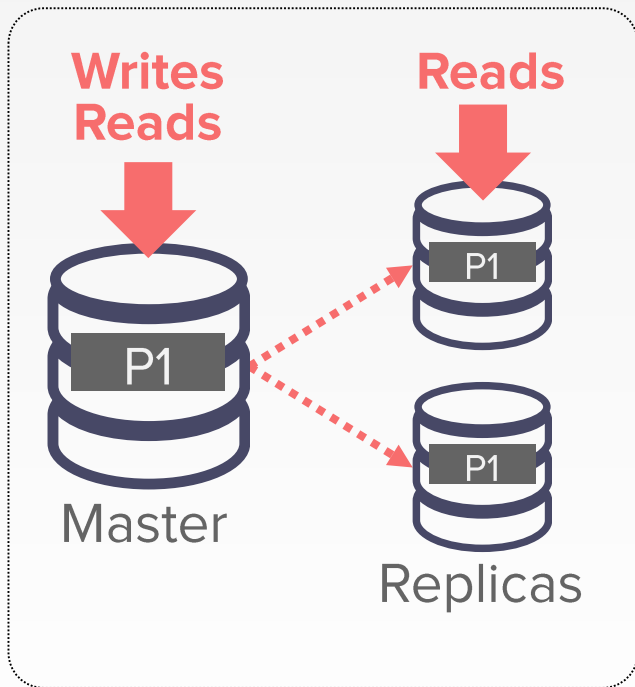
Propagation Protocols:

- **Physical Logging**
- **Logical Logging**

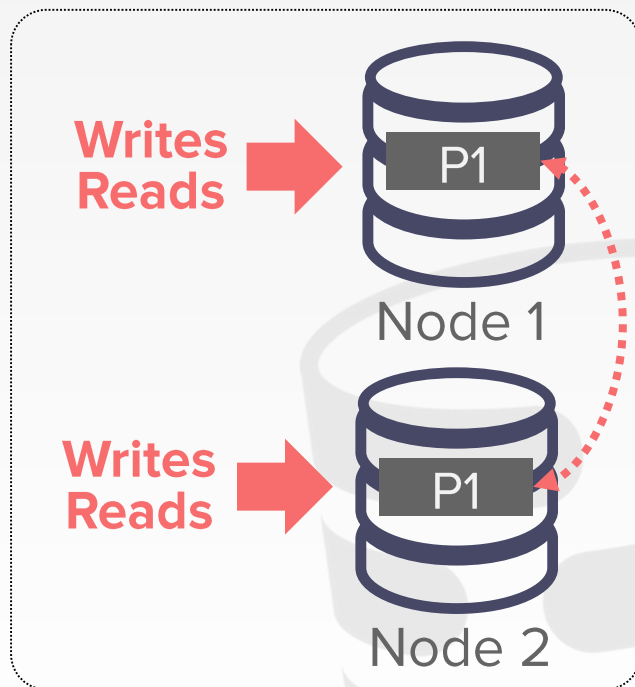


REPLICATION CONFIGURATIONS

Master-Slave



Multi-Master



REPLICATION PROPAGATION

Synchronous

- The master sends updates to replicas and then waits for them to acknowledge that they fully applied (i.e., logged) the changes.

Asynchronous

- The master immediately returns the acknowledgement to the client without waiting for replicas to apply the changes.

Semi-Synchronous

- Replicas immediately send acknowledgements without logging them.



Recovery

What do we do if a node crashes in CA/CP DBMS?

If node is replicated, use Paxos to elect a new primary.

→ If node is last replica, halt the DBMS.

Node can recover from checkpoints + logs and then catch up with primary.



CAP THEOREM

Proposed by Eric Brewer that it is impossible for a distributed system to always be:

- Consistent
- Always Available
- Network Partition Tolerant

Proved in 2002.

Pick Two!
Sort of...



Brewer

CAP THEOREM

Linearizability

All up nodes can satisfy all requests.

C

A



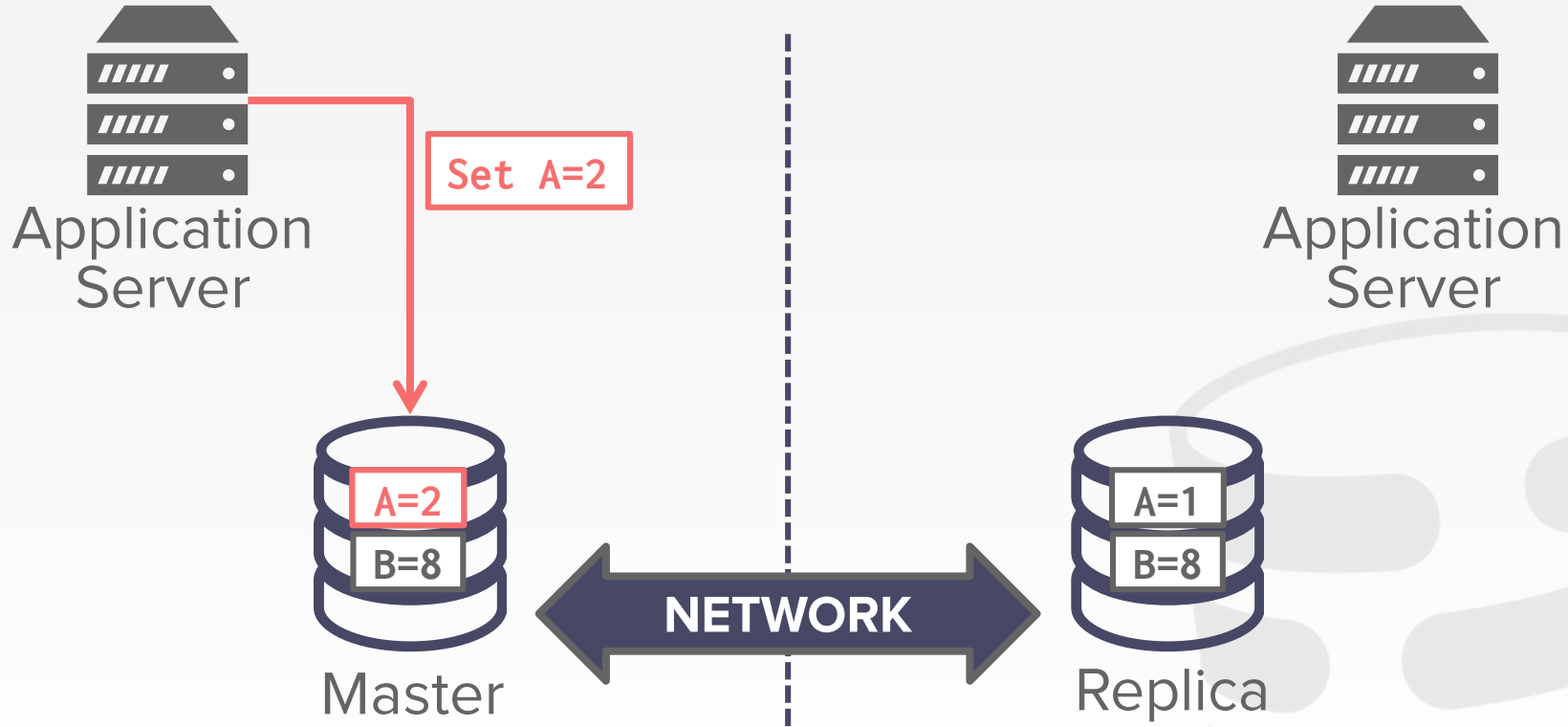
Impossible

P

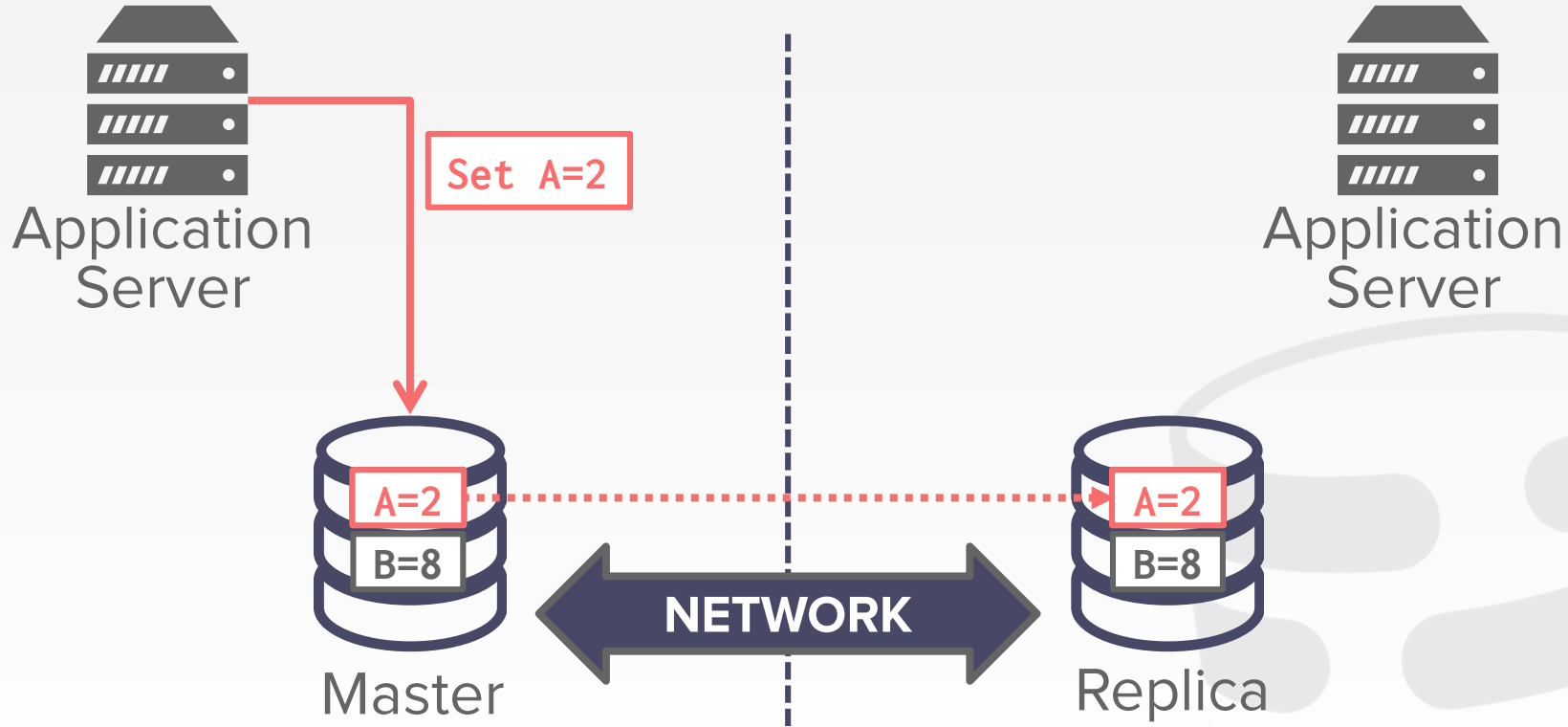
Still operate correctly despite message loss.

Consistency
Availability
Partition Tolerant

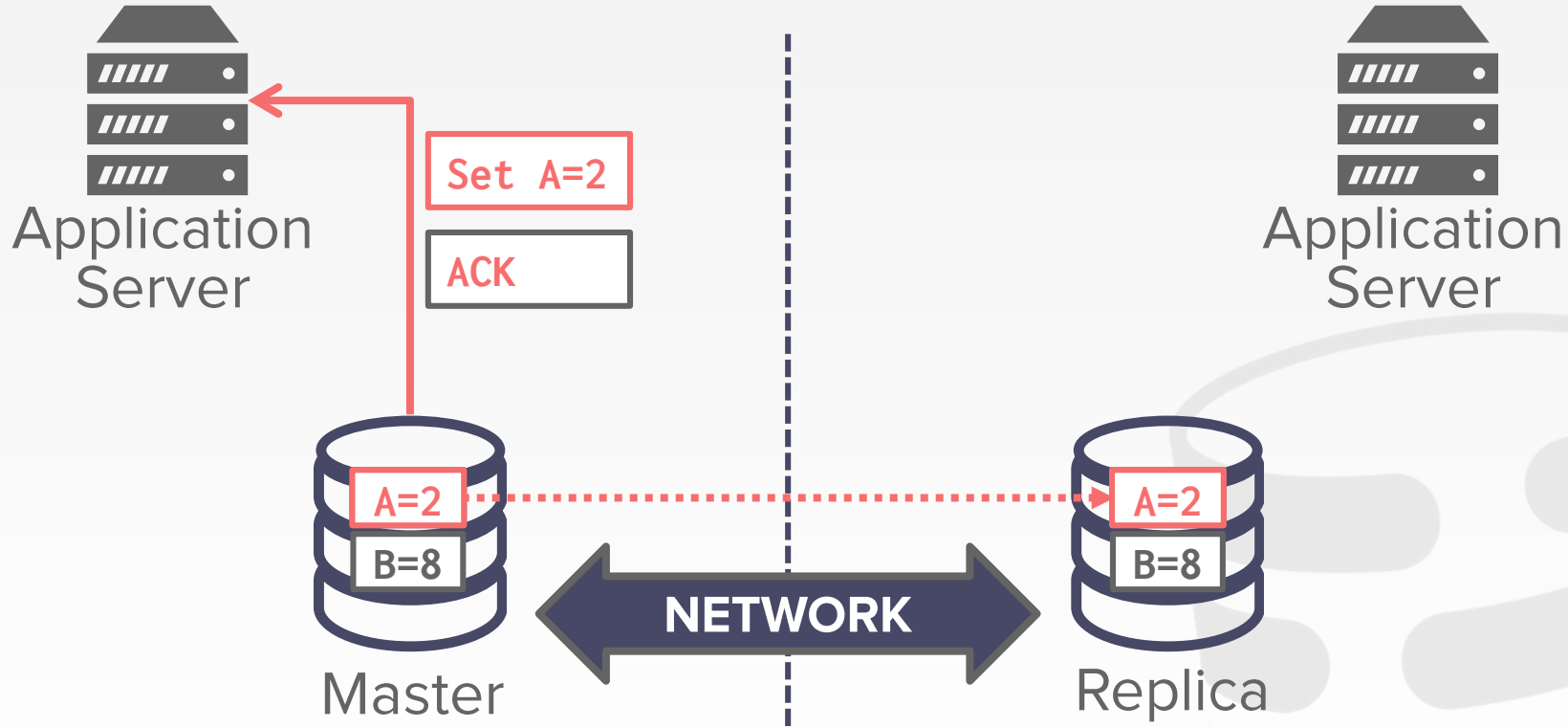
CAP – CONSISTENCY



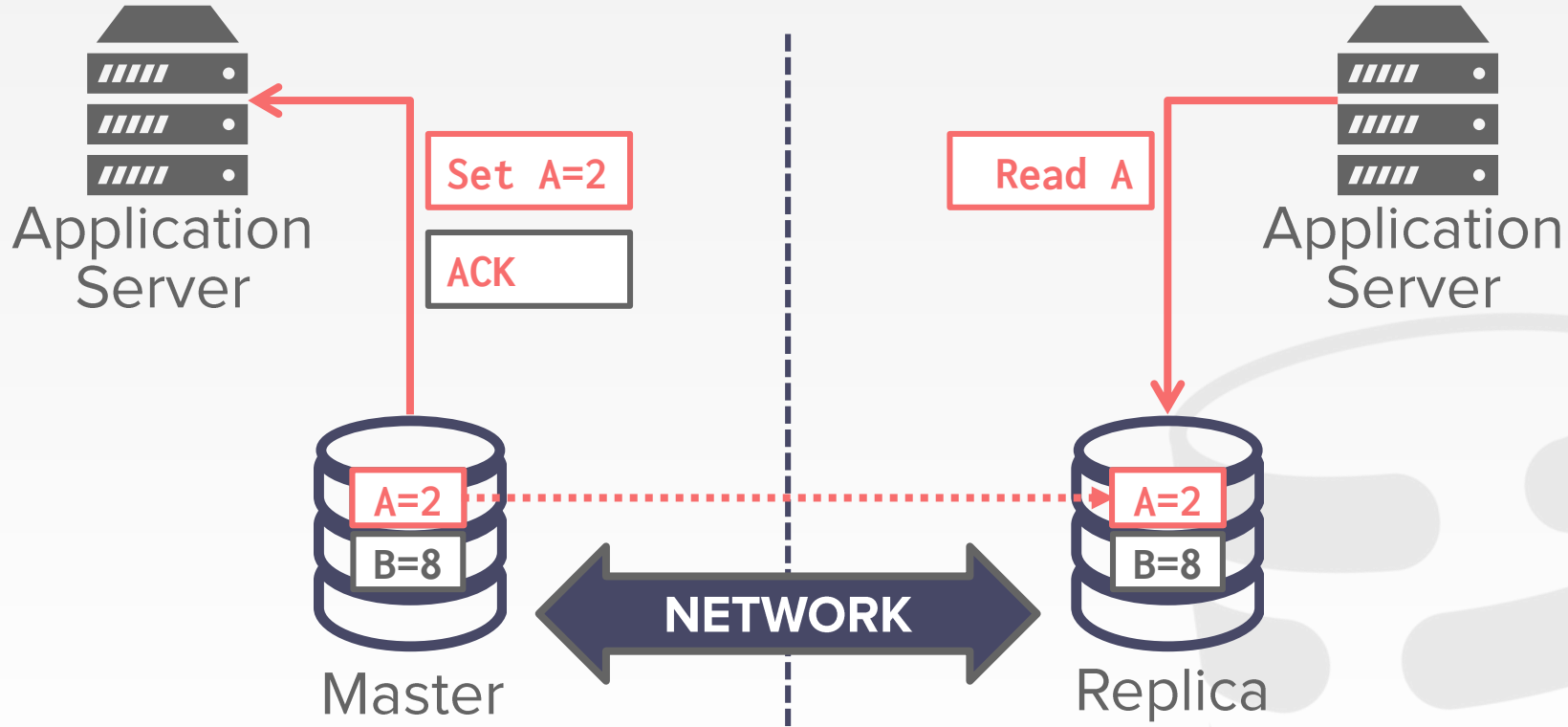
CAP – CONSISTENCY



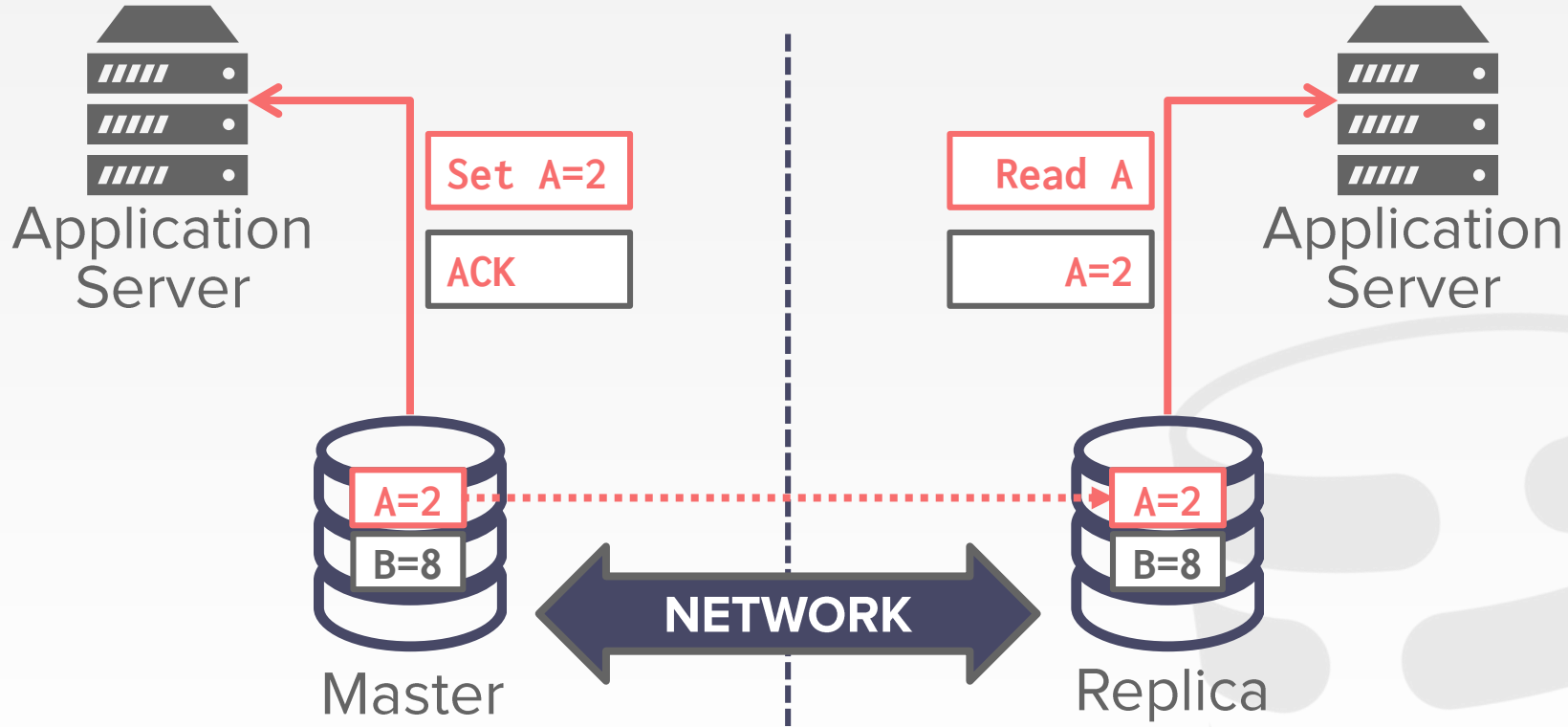
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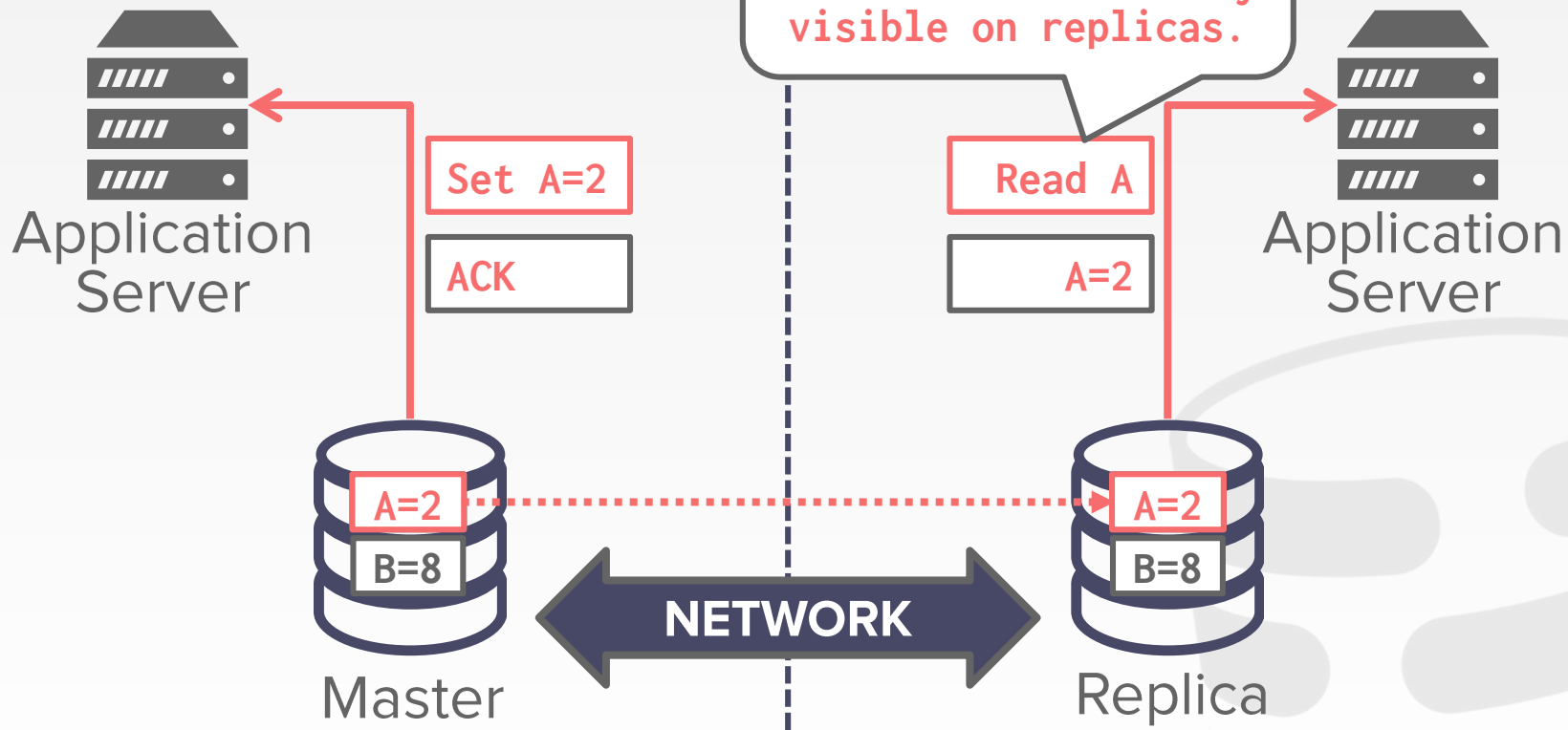
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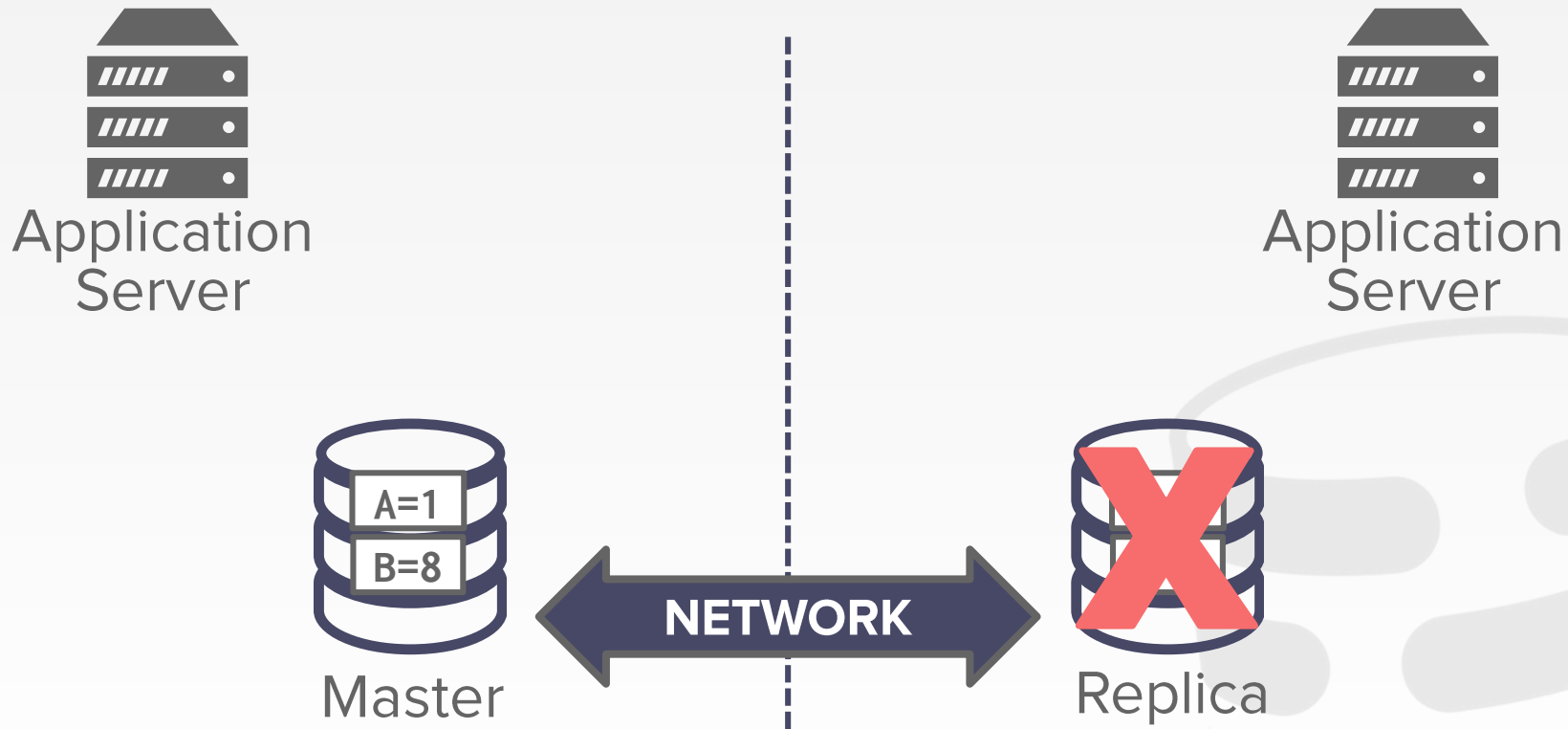
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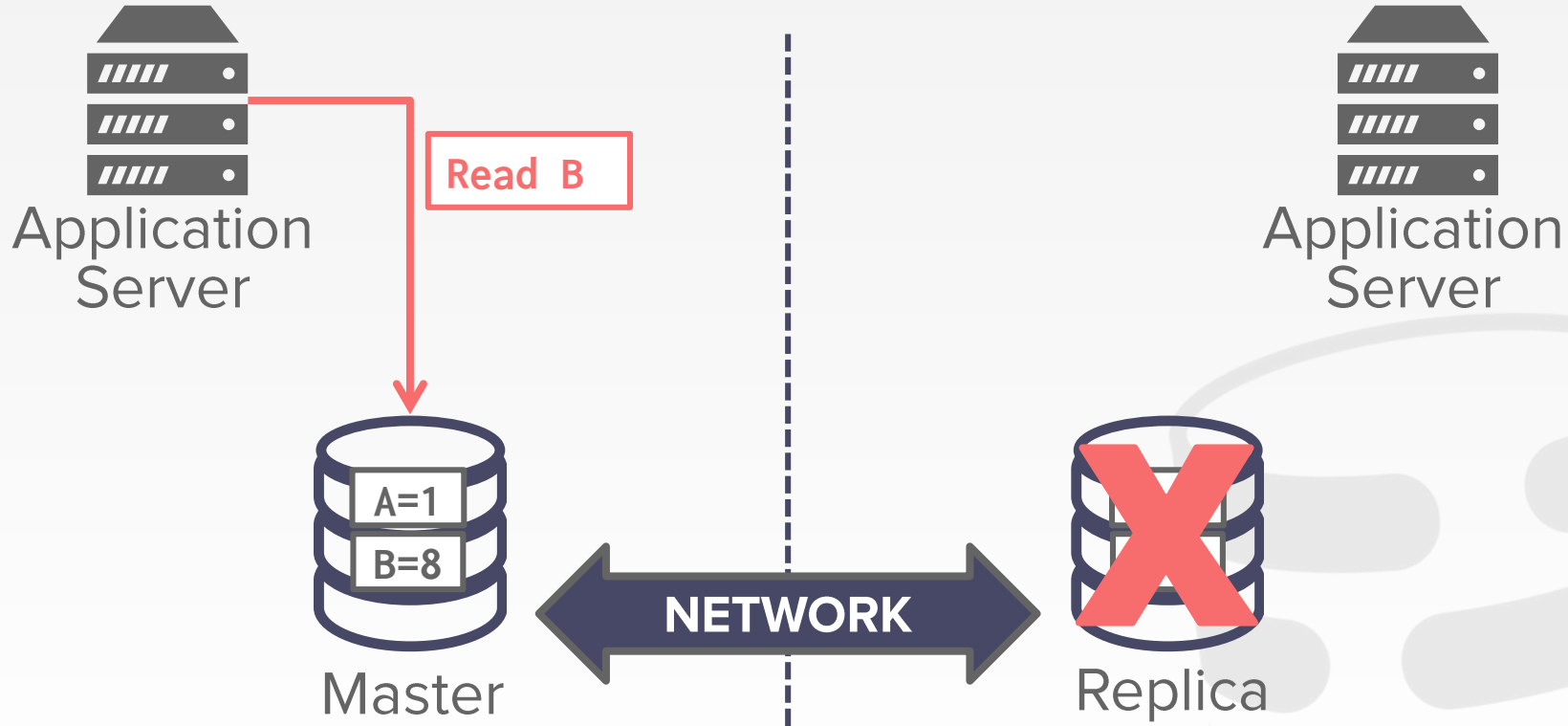
CAP – CONSISTENCY



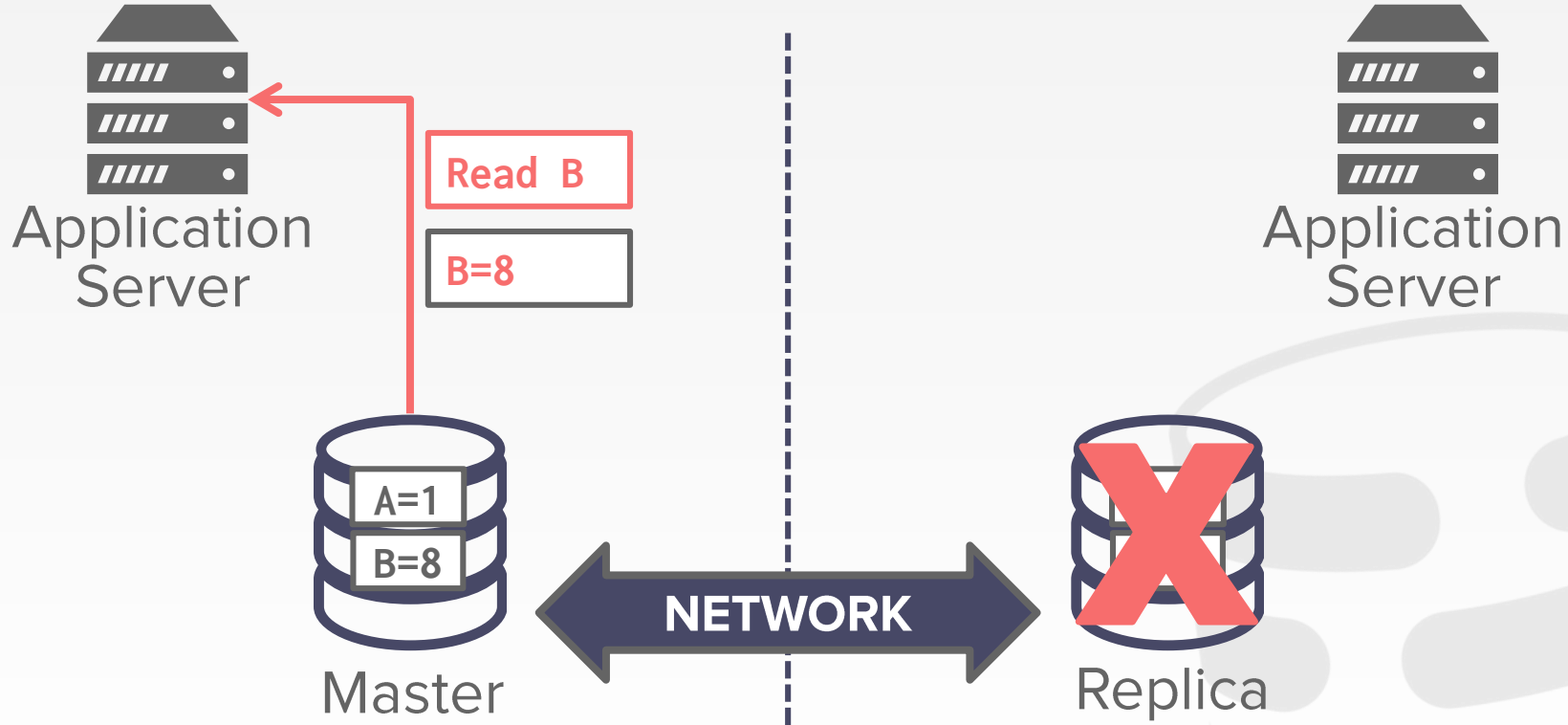
CAP – AVAILABILITY



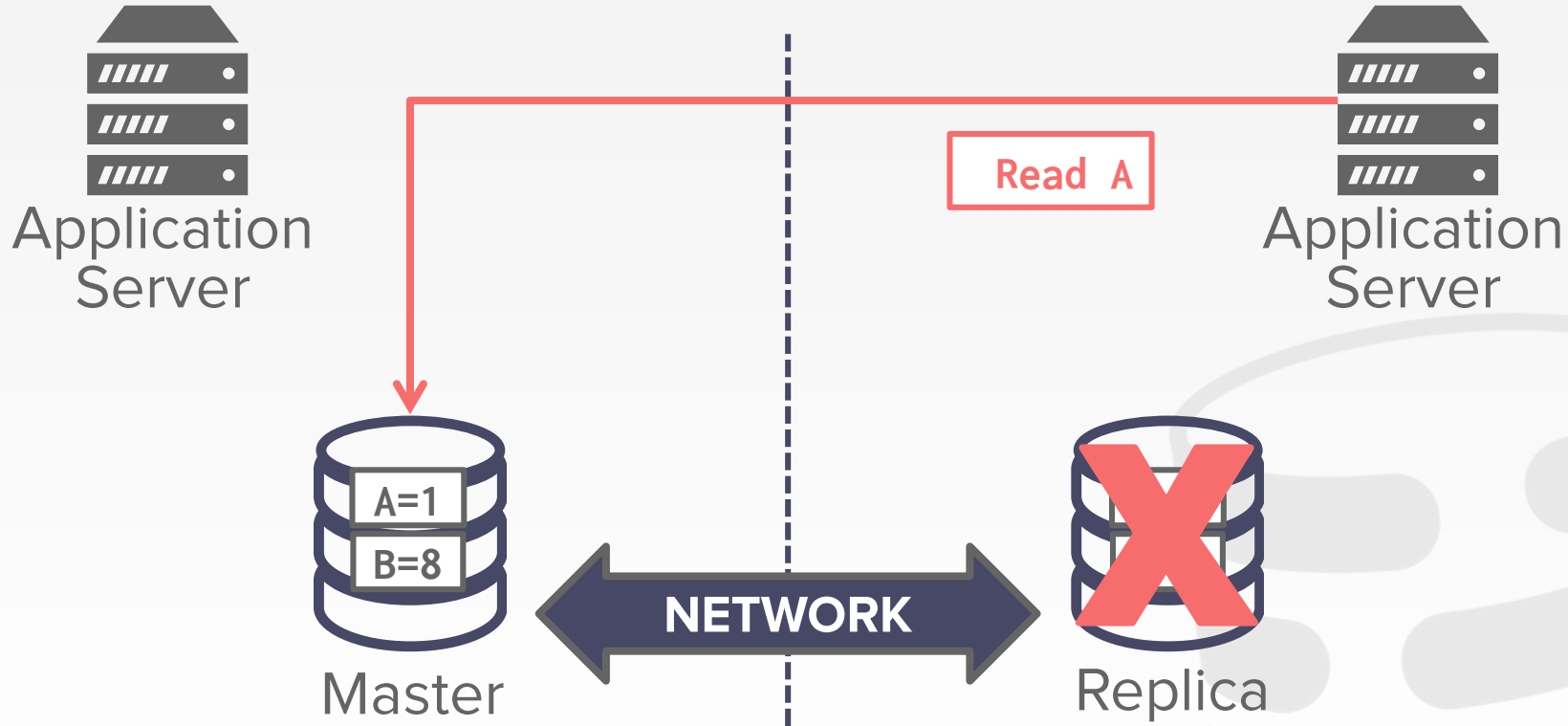
CAP – AVAILABILITY



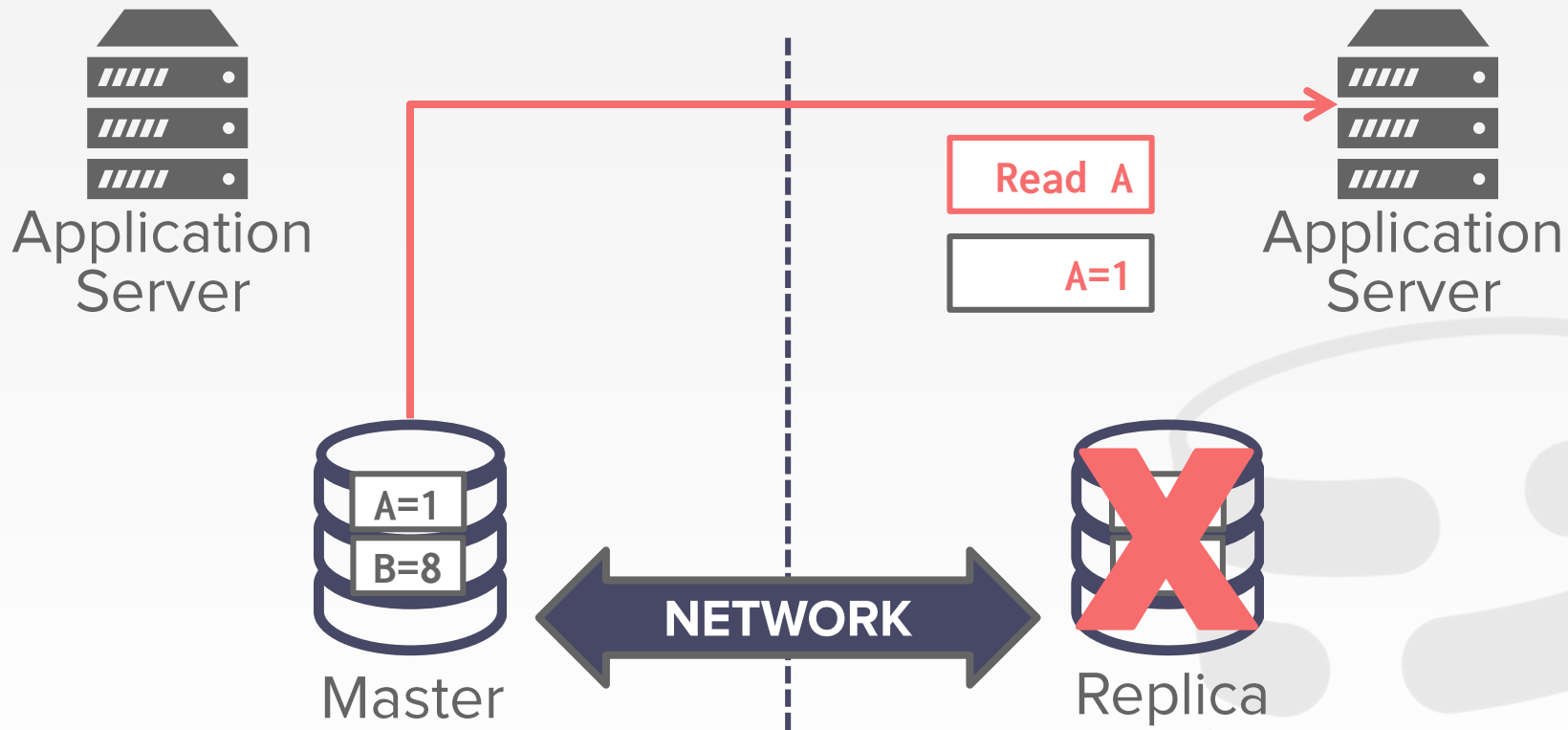
CAP – AVAILABILITY



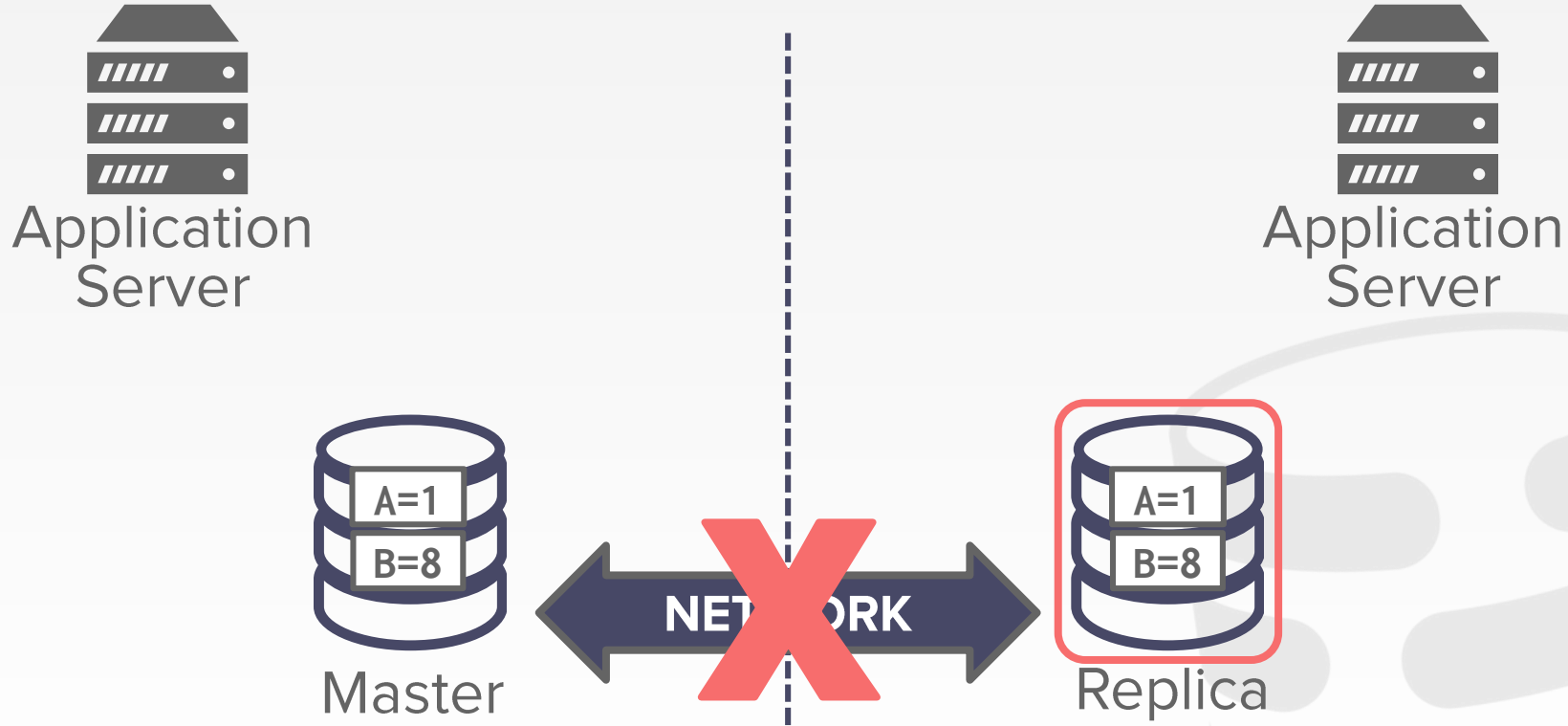
CAP – AVAILABILITY



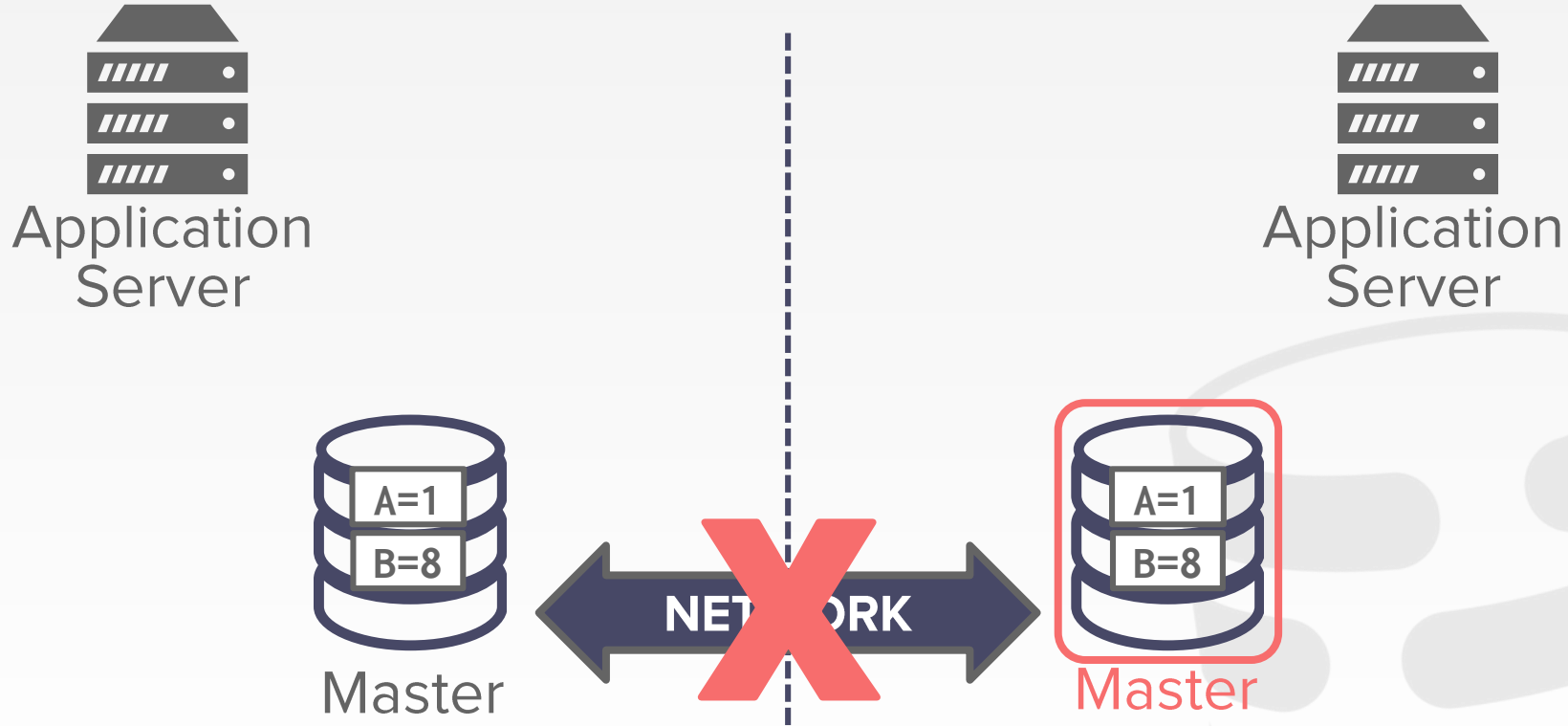
CAP – AVAILABILITY



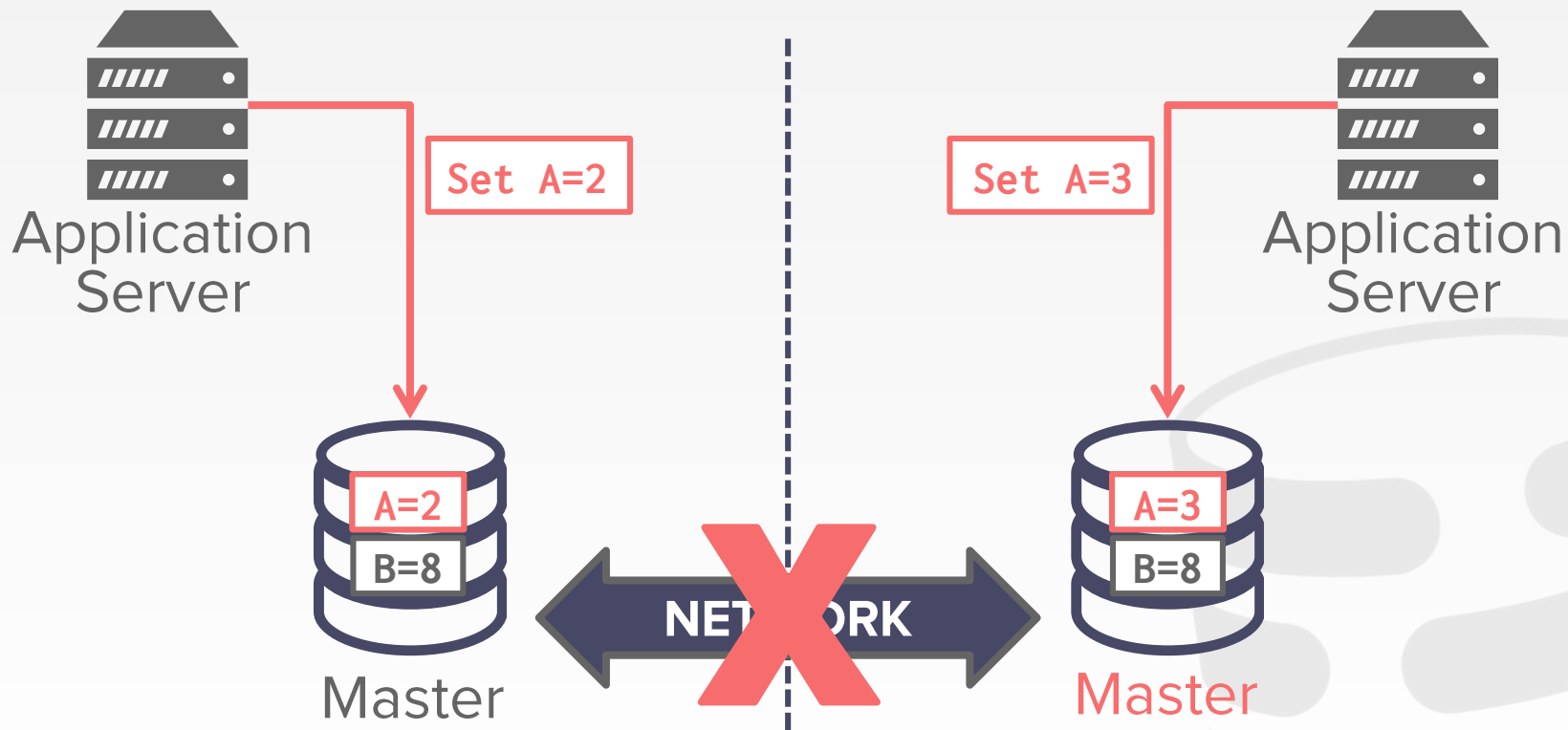
CAP – PARTITION TOLERANCE



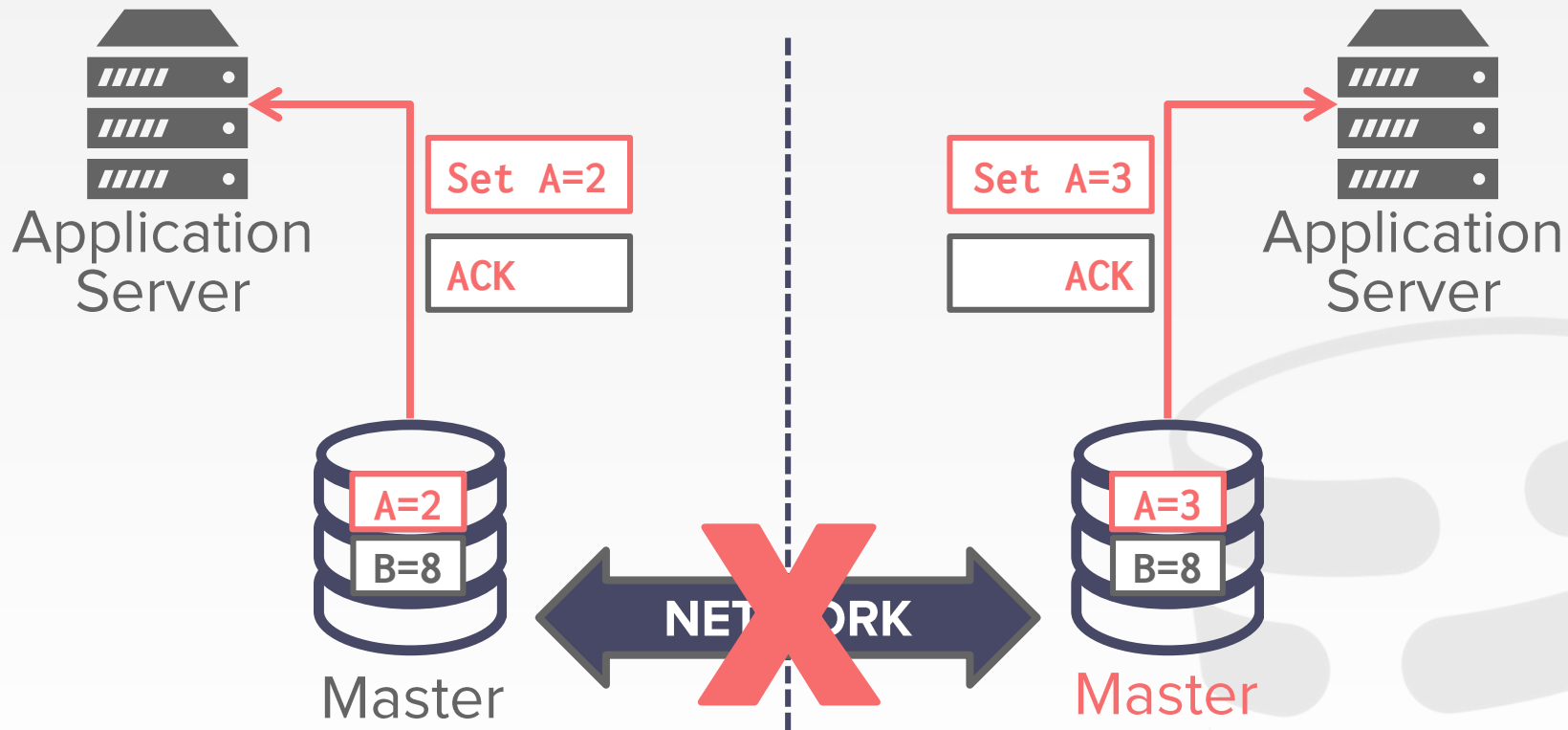
CAP – PARTITION TOLERANCE



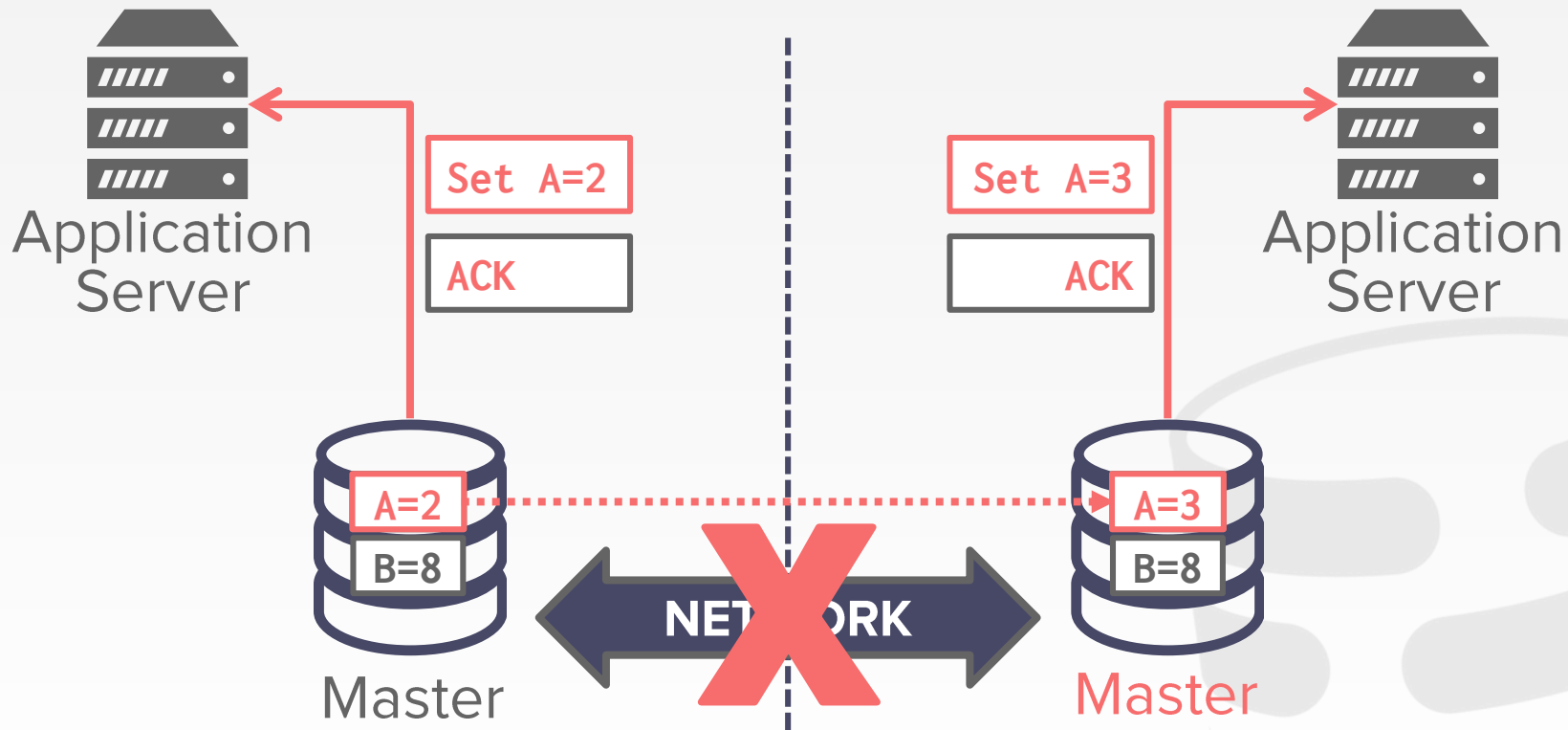
CAP – PARTITION TOLERANCE



CAP – PARTITION TOLERANCE



CAP – PARTITION TOLERANCE



CAP FOR OLTO DBMSs

How a DBMS handles failures determines which elements of the CAP theorem they support.

Traditional/NewSQL DBMSs

→ Stop allowing updates until a majority of nodes are reconnected.

NoSQL DBMSs

→ Provide mechanisms to resolve conflicts after nodes are reconnected.



CONCLUSION

I have barely scratched the surface on distributed txn processing...

It is really hard to get right.

More info (and humiliation):

→ [Kyle Kingsbury's Jepsen Project](#)



NEXT CLASS

Distributed OLAP Systems

