

CARNEGIE MELLON UNIVERSITY  
DEPARTMENT OF COMPUTER SCIENCE  
15-445/645 – DATABASE SYSTEMS (FALL 2017)  
PROF. ANDY PAVLO

Homework 4 (by Sivaprasad Sudhir)  
Due: **Wednesday Oct 11, 2017 @ 11:59pm**

**IMPORTANT:**

- **Upload this PDF** with your answers to **Gradescope by 11:59pm on Wednesday Oct 11, 2017.**
- **Plagiarism:** Homework may be discussed with other students, but all homework is to be completed **individually.**
- **You have to use this PDF for all of your answers.**

For your information:

- Graded out of **100** points; **2** questions total
- Rough time estimate:  $\approx$  1 - 2 hours (0.5 - 1 hours for each question)

*Revision : 2017/10/08 22:58*

Question	Points	Score
Sorting	40	
Join Algorithms	60	
Total:	100	

**Question 1: Sorting.....[40 points]**

We have a file with a million pages ( $N = 1,000,000$  pages), and we want to sort it using external merge sort. Assume the simplest algorithm, that is, no double buffering, no blocked I/O, and quicksort for in-memory sorting. Let  $B$  denote the number of buffers.

- (a) **[10 points]** What is the smallest number of buffers  $B$ , that can sort the file with  $N = 1,000,000$  pages, in 2 passes?  
 32    33    34    99    100    101    102    999    1,000  
 1,001    1,000,000    1,000,001
- (b) **[10 points]** What is the smallest number of buffers  $B$ , that can sort the file with  $N = 1,000,000$  pages, in 3 passes?  
 32    33    34    99    100    101    102    999    1,000  
 1,001    1,000,000    1,000,001
- (c) **[10 points]** How many passes are needed to sort the file with  $N = 1,000,000$  pages with 6 buffers?  
 7    8    9    10    11
- (d) **[10 points]** What is the total I/O cost to sort the file with  $N = 1,000,000$  pages with 6 buffers?  
 14,000,000    8,000,000    18,000,000    10,000,000    22,000,000

**Question 2: Join Algorithms ..... [60 points]**

Consider relations  $R(x, y)$  and  $S(x, z)$  to be joined on the common attribute  $x$ . Assume that there are no indexes.

- There are  $B = 30$  pages in the buffer
- Table  $R$  spans  $M = 1800$  pages with 50 tuples per page
- Table  $S$  spans  $N = 500$  pages with 100 tuples per page

What are the I/O costs for the following joins?

- Assume the simplest cost model, where pages are read and written one at a time
  - Assume that you will need one buffer block to hold the evolving output block and one input block to hold the current input block of the inner relation
  - Ignore the cost of the final writing of the results
- (a) **[10 points]** Block nested loop join with  $R$  as the outer relation and  $S$  as the inner relation  
 30,000    31,800    32,900    33,300    34,300
- (b) **[5 points]** Block nested loop join with  $S$  as the outer relation and  $R$  as the inner relation  
 29,300    31,100    31,800    32,900    34,300
- (c) Hash join with  $S$  as the outer relation and  $R$  as the inner relation (Ignore recursive partitioning and partially filled blocks)
- i. **[5 points]** Cost of partition phase  
 2,300    4,600    6,900    3,600    1,000
  - ii. **[5 points]** Cost of probing phase  
 2,300    4,600    6,900    3,600    1,000
- (d) Sort-merge join
- i. **[10 points]** Cost of sorting  $R$   
 8966    7934    6578    1828    2204
  - ii. **[5 points]** Cost of sorting  $S$   
 8966    7934    6578    1828    2204
  - iii. **[10 points]** Cost of merge assuming no duplicates in the join attribute  
 2,300    4,600    6,900    154    77
  - iv. **[10 points]** Cost of merge in the worst case  
 6,900    31,800    33,300    900,000    1,800,000