Homework #1 is due Monday September 10th @ 11:59pm

Project #1 will be released on Wednesday September 12th
UPCOMING DATABASE EVENTS

**Kinetica Talk**
→ Thursday Sep 6\(^{th}\) @ 12pm
→ CIC 4\(^{th}\) Floor

**SalesForce Talk**
→ Friday Sep 7\(^{th}\) @ 12pm
→ CIC 4\(^{th}\) Floor

**Relational AI Talk**
→ Wednesday @ Sep 12\(^{th}\) @ 4:00pm
→ GHC 8102
OVERVIEW

We now understand what a database looks like at a logical level and how to write queries to read/write data from it.

We will next learn how to build software that manages a database.
COURSE OUTLINE

Relational Databases
Storage
Execution
Concurrency Control
Recovery
Distributed Databases
Potpourri

Query Planning
Operator Execution
Access Methods
Buffer Pool Manager
Disk Manager
DISK-ORIENTED ARCHITECTURE

The DBMS assumes that the primary storage location of the database is on non-volatile disk.

The DBMS's components manage the movement of data between non-volatile and volatile storage.
STORAGE HIERARCHY

CPU Registers

CPU Caches

DRAM

SSD

HDD

Network Storage

Faster

Smaller

Volatile
Random Access
Byte-Addressable

Non-Volatile
Sequential Access
Block-Addressable

Slower

Larger

Volatile

Non-Volatile
STORAGE HIERARCHY

CMU 15-721 (Spring 2019)

- CPU Registers
- CPU Caches
- DRAM
- SSD
- HDD
- Network Storage

CMU 15-445/645 (Fall 2018)

Faster
Smaller

Slower
Larger
ACCESS TIMES

- 0.5 ns L1 Cache Ref
- 7 ns L2 Cache Ref
- 100 ns DRAM
- 150,000 ns SSD
- 10,000,000 ns HDD
- ~30,000,000 ns Network Storage
- 1,000,000,000 ns Tape Archives

- 0.5 sec
- 7 sec
- 100 sec
- 17 days
- 16.5 weeks
- 114 months
- 317 years
SYSTEM DESIGN GOALS

Allow the DBMS to manage databases that exceed the amount of memory available.

Reading/writing to disk is expensive, so it must be managed carefully to avoid large stalls and performance degradation.
SEQUENTIAL VS. RANDOM ACCESS

Random access on an HDD is much slower than sequential access.

Traditional DBMSs are designed to maximize sequential access.

→ Algorithms try to reduce number of writes to random pages so that data is stored in contiguous blocks.

→ Allocating multiple pages at the same time is called an extent.
WHY NOT USE THE OS?

One can use `mmap` to map the contents of a file into a process' address space.

The OS is responsible for moving data for moving the files' pages in and out of memory.
WHY NOT USE THE OS?

One can use `mmap` to map the contents of a file into a process' address space.

The OS is responsible for moving data for moving the files' pages in and out of memory.
WHY NOT USE THE OS?

One can use `mmap` to map the contents of a file into a process' address space.

The OS is responsible for moving data for moving the files' pages in and out of memory.
WHY NOT USE THE OS?

One can use `mmap` to map the contents of a file into a process' address space.

The OS is responsible for moving data for moving the files' pages in and out of memory.
WHY NOT USE THE OS?

One can use `mmap` to map the contents of a file into a process' address space.

The OS is responsible for moving data for moving the files' pages in and out of memory.
Why Not Use the OS?

One can use `mmap` to map the contents of a file into a process' address space.

The OS is responsible for moving data for moving the files' pages in and out of memory.
WHY NOT USE THE OS?

What if we allow multiple threads to access the `mmap` files to hide page fault stalls?

This works good enough for read-only access. It is complicated when there are multiple writers...
WHY NOT USE THE OS?

There are some solutions to this problem:

→ **madvise**: Tell the OS how you expect to read certain pages.

→ **mlock**: Tell the OS that memory ranges cannot be paged out.

→ **msync**: Tell the OS to flush memory ranges out to disk.
WHY NOT USE THE OS?

DBMS (almost) always wants to control things itself and can do a better job at it.
→ Flushing dirty pages to disk in the correct order.
→ Specialized prefetching.
→ Buffer replacement policy.
→ Thread/process scheduling.

The OS is not your friend.
Problem #1: How the DBMS represents the database in files on disk.

Problem #2: How the DBMS manages its memory and move data back-and-forth from disk.
TODAY'S AGENDA

File Storage
Page Layout
Tuple Layout
FILE STORAGE

The DBMS stores a database as one or more files on disk.

The OS doesn't know anything about these files.
→ All of the standard filesystem protections are used.
→ Early systems in the 1980s used custom "filesystems" on raw storage.
The storage manager is responsible for maintaining a database's files.

It organizes the files as a collection of pages.
→ Tracks data read/written to pages.
→ Tracks the available space.
DATABASE PAGES

A page is a fixed-size block of data.
→ It can contain tuples, meta-data, indexes, log records…
→ Most systems do not mix page types.
→ Some systems require a page to be self-contained.

Each page is given a unique identifier.
→ The DBMS uses an indirection layer to map page ids to physical locations.
There are three different notions of "pages" in a DBMS:
→ Hardware Page (usually 4KB)
→ OS Page (usually 4KB)
→ Database Page (1-16KB)

By hardware page, we mean at what level the device can guarantee a "failsafe write".
PAGE STORAGE ARCHITECTURE

Different DBMSs manage pages in files on disk in different ways.

→ Heap File Organization
→ Sequential / Sorted File Organization
→ Hashing File Organization

At this point in the hierarchy we don't need to know anything about what is inside of the pages.
DATABASE HEAP

A heap file is an unordered collection of pages where tuples that are stored in random order.
→ Get / Delete Page
→ Must also support iterating over all pages.

Need meta-data to keep track of what pages exist and which ones have free space.

Two ways to represent a heap file:
→ Linked List
→ Page Directory
HEAP FILE: LINKED LIST

Maintain a header page at the beginning of the file that stores two pointers:
→ HEAD of the free page list.
→ HEAD of the data page list.

Each page keeps track of the number of free slots in itself.
HEAP FILE: PAGE DIRECTORY

The DBMS maintains special pages that tracks the location of data pages in the database files.

The directory also records the number of free slots per page.

The DBMS has to make sure that the directory pages are in sync with the data pages.
TODAY'S AGENDA

File Storage
Page Layout
Tuple Layout
Every page contains a header of metadata about the page's contents.

→ Page Size
→ Checksum
→ DBMS Version
→ Transaction Visibility
→ Compression Information

Some systems require pages to be self-contained (e.g., Oracle).
For any page storage architecture, we now need to understand how to organize the data stored inside of the page.

→ We are still assuming that we are only storing tuples.

Two approaches:
→ Tuple-oriented
→ Log-structured
How to store tuples in a page?

**Strawman Idea:** Keep track of the number of tuples in a page and then just append a new tuple to the end.
TUPLE STORAGE

How to store tuples in a page?

**Strawman Idea:** Keep track of the number of tuples in a page and then just append a new tuple to the end.

<table>
<thead>
<tr>
<th>Page</th>
<th>Num Tuples = 3</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Tuple #1</td>
</tr>
<tr>
<td></td>
<td>Tuple #2</td>
</tr>
<tr>
<td></td>
<td>Tuple #3</td>
</tr>
</tbody>
</table>
TUPLE STORAGE

How to store tuples in a page?

**Strawman Idea:** Keep track of the number of tuples in a page and then just append a new tuple to the end. → What happens if we delete a tuple?
TUPLE STORAGE

How to store tuples in a page?

**Strawman Idea:** Keep track of the number of tuples in a page and then just append a new tuple to the end.

→ What happens if we delete a tuple?

<table>
<thead>
<tr>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Num Tuples = 3</td>
</tr>
<tr>
<td>Tuple #1</td>
</tr>
<tr>
<td>Tuple #4</td>
</tr>
<tr>
<td>Tuple #3</td>
</tr>
</tbody>
</table>
How to store tuples in a page?

**Strawman Idea:** Keep track of the number of tuples in a page and then just append a new tuple to the end.

→ What happens if we delete a tuple?
→ What happens if we have a variable-length attribute?
The most common layout scheme is called **slotted pages**.

The slot array maps "slots" to the tuples' starting position offsets.

The header keeps track of:

- The # of used slots
- The offset of the starting location of the last slot used.
SLOTTED PAGES

The most common layout scheme is called slotted pages.

The slot array maps "slots" to the tuples' starting position offsets.

The header keeps track of:
→ The # of used slots
→ The offset of the starting location of the last slot used.
Instead of storing tuples in pages, the DBMS only stores log records.

The system appends log records to the file of how the database was modified:
→ Inserts store the entire tuple.
→ Deletes mark the tuple as deleted.
→ Updates contain the delta of just the attributes that were modified.

Page

New Entries

INSERT id=1, val=a
INSERT id=2, val=b
DELETE id=4
INSERT id=3, val=c
UPDATE val=X (id=3)
UPDATE val=Y (id=4)
⋮
To read a record, the DBMS scans the log backwards and "recreates" the tuple to find what it needs.

Build indexes to allow it to jump to locations in the log.
LOG-STRUCTURED FILE ORGANIZATION

To read a record, the DBMS scans the log backwards and "recreates" the tuple to find what it needs.

Build indexes to allow it to jump to locations in the log.
To read a record, the DBMS scans the log backwards and "recreates" the tuple to find what it needs.

Build indexes to allow it to jump to locations in the log.

Periodically compact the log.
Compaction coalesces larger log files into smaller files by removing unnecessary records.

**Level Compaction**
LOG-STRUCTURED COMPACTION

Compaction coalesces larger log files into smaller files by removing unnecessary records.
LOG-STRUCTURED COMPACTION

Compaction coalesces larger log files into smaller files by removing unnecessary records.

Level Compaction

Level 0

Sorted Log File

Sorted Log File

Level 1

Sorted Log File

Compaction
LOG-STRUCTURED COMPACTION

Compaction coalesces larger log files into smaller files by removing unnecessary records.

Level Compaction

Level 0
Sorted Log File  Sorted Log File

Level 1
Sorted Log File  Sorted Log File

Compaction
Compaction coalesces larger log files into smaller files by removing unnecessary records.

**Level Compaction**

- **Level 0**: Sorted Log File  Sorted Log File
- **Level 1**: Sorted Log File  Sorted Log File
- **Level 2**: Sorted Log File

Compaction
Compaction coalesces larger log files into smaller files by removing unnecessary records.
Compaction coalesces larger log files into smaller files by removing unnecessary records.

**Level Compaction**
- Level 0: Sorted Log File, Sorted Log File
- Level 1: Sorted Log File, Sorted Log File
- Level 2: Sorted Log File

**Universal Compaction**
- Sorted Log File, Sorted Log File, Sorted Log File, Sorted Log File
TODAY'S AGENDA

- File Storage
- Page Layout
- Tuple Layout
A tuple is essentially a sequence of bytes.

It's the job of the DBMS to interpret those bytes into attribute types and values.
Each tuple is prefixed with a header that contains meta-data about it.
→ Visibility info (concurrency control)
→ Bit Map for NULL values.

We do not need to store meta-data about the schema.
Attributes are typically stored in the order that you specify them when you create the table.

This is done for software engineering reasons.

We re-order attributes automatically in CMU's new DBMS...
DENORMALIZED TUPLE DATA

Can physically *denormalize* (e.g., "pre join") related tuples and store them together in the same page.
→ Potentially reduces the amount of I/O for common workload patterns.
→ Can make updates more expensive.

CREATE TABLE foo (
    a INT PRIMARY KEY,
    b INT NOT NULL,
);                  

CREATE TABLE bar (  
    c INT PRIMARY KEY,
    a INT
    REFERENCES foo (a),
);
DENORMALIZED TUPLE DATA

Can physically *denormalize* (e.g., "pre join") related tuples and store them together in the same page.

→ Potentially reduces the amount of I/O for common workload patterns.
→ Can make updates more expensive.
Can physically **denormalize** (e.g., "pre join") related tuples and store them together in the same page.

→ Potentially reduces the amount of I/O for common workload patterns.

→ Can make updates more expensive.
DENORMALIZED TUPLE DATA

Can physically *denormalize* (e.g., "pre join") related tuples and store them together in the same page.
→ Potentially reduces the amount of I/O for common workload patterns.
→ Can make updates more expensive.

Not a new idea.
→ IBM System R did this in the 1970s.
→ Several NoSQL DBMSs do this without calling it physical denormalization.
RECORD IDS

The DBMS needs a way to keep track of individual tuples. Each tuple is assigned a unique record identifier.

→ Most common: `page_id + offset/slot`
→ Can also contain file location info.

An application **cannot** rely on these ids to mean anything.
CONCLUSION

Database is organized in pages.
Different ways to track pages.
Different ways to store pages.
Different ways to store tuples.
NEXT CLASS

Value Representation
Storage Models