

 Intro to Database Systems (15-445/645)

# 20 Database Recovery

Carnegie  
Mellon  
University

FALL  
2022

Andy  
Pavlo

# ADMINISTRIVIA

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**Project #3** is due **Wed Nov 16<sup>th</sup> @ 11:59pm**

**Project #4** is due **Sun Dec 11<sup>th</sup> @ 11:59pm**

→ Zoom Q&A Session **Thu Nov 17<sup>th</sup> @ 8:00pm**

We are looking for spirited and impressionable TAs for 15-445/645 in Spring 2023.

→ All BusTub projects will remain in C++.

→ I will announce this on Piazza.

# CRASH RECOVERY

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Recovery algorithms are techniques to ensure database consistency, transaction atomicity, and durability despite failures.

Recovery algorithms have two parts:

- Actions during normal txn processing to ensure that the DBMS can recover from a failure.
- Actions after a failure to recover the database to a state that ensures atomicity, consistency, and durability.

*Today*

# ARIES

## Algorithms for Recovery and Isolation Exploiting Semantics

Developed at IBM Research in early 1990s for the DB2 DBMS.

Not all systems implement ARIES exactly as defined in this paper but they're close enough.

### ARIES: A Transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollbacks Using Write-Ahead Logging

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and  
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IBM Santa Teresa Laboratory  
and  
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IBM Almaden Research Center

In this paper we present a simple and efficient method, called ARIES (*Algorithm for Recovery and Isolation Exploiting Semantics*), which supports partial rollbacks of transactions, fine-granularity (e.g., record) locking and recovery using write-ahead logging (WAL). We introduce the paradigm of *repeating history* to redo all missing updates *before* performing the rollbacks of the loser transactions during restart after a system failure. ARIES uses a log sequence number in each page to correlate the state of a page with respect to logged updates of that page. All updates of a transaction are logged, including those performed during rollbacks. By appropriate chaining of the log records written during rollbacks to those written during forward progress, a bounded amount of logging is ensured during rollbacks even in the face of repeated failures during restart or of nested rollbacks. We deal with a variety of features that are very important in building and operating an *industrial-strength* transaction processing system. ARIES supports fuzzy checkpoints, selective and deferred restart, fuzzy image copies, media recovery, and high concurrency lock modes (e.g., increment/decrement) which exploit the semantics of the operations and require the ability to perform operation logging. ARIES is flexible with respect to the kinds of buffer management policies that can be implemented. It supports objects of varying length efficiently. By enabling parallelism during restart, page-oriented redo, and logical undo, it enhances concurrency and performance. We show why some of the System R paradigms for logging and recovery, which were based on the shadow page technique, need to be changed in the context of WAL. We compare ARIES to the WAL-based recovery methods of

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ACM Transactions on Database Systems, Vol. 17, No. 1, March 1992, Pages 94-162

# ARIES - MAIN IDEAS

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## Write-Ahead Logging:

- Any change is recorded in log on stable storage before the database change is written to disk.
- Must use **STEAL** + **NO-FORCE** buffer pool policies.

## Repeating History During Redo:

- On DBMS restart, retrace actions and restore database to exact state before crash.

## Logging Changes During Undo:

- Record undo actions to log to ensure action is not repeated in the event of repeated failures.

# TODAY'S AGENDA

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Log Sequence Numbers

Normal Commit & Abort Operations

Fuzzy Checkpointing

Recovery Algorithm

# WAL RECORDS

---

We need to extend our log record format from last class to include additional info.

Every log record now includes a globally unique *log sequence number* (LSN).

→ LSNs represent the physical order that txns make changes to the database.

Various components in the system keep track of *LSNs* that pertain to them...

# LOG SEQUENCE NUMBERS

---

Name	Location	Definition
<b>flushedLSN</b>	Memory	Last LSN in log on disk
<b>pageLSN</b>	page <sub>x</sub>	Newest update to page <sub>x</sub>
<b>recLSN</b>	page <sub>x</sub>	Oldest update to page <sub>x</sub> since it was last flushed
<b>lastLSN</b>	T <sub>i</sub>	Latest record of txn T <sub>i</sub>
<b>MasterRecord</b>	Disk	LSN of latest checkpoint



# WRITING LOG RECORDS

---

Each data page contains a **pageLSN**.

→ The *LSN* of the most recent update to that page.

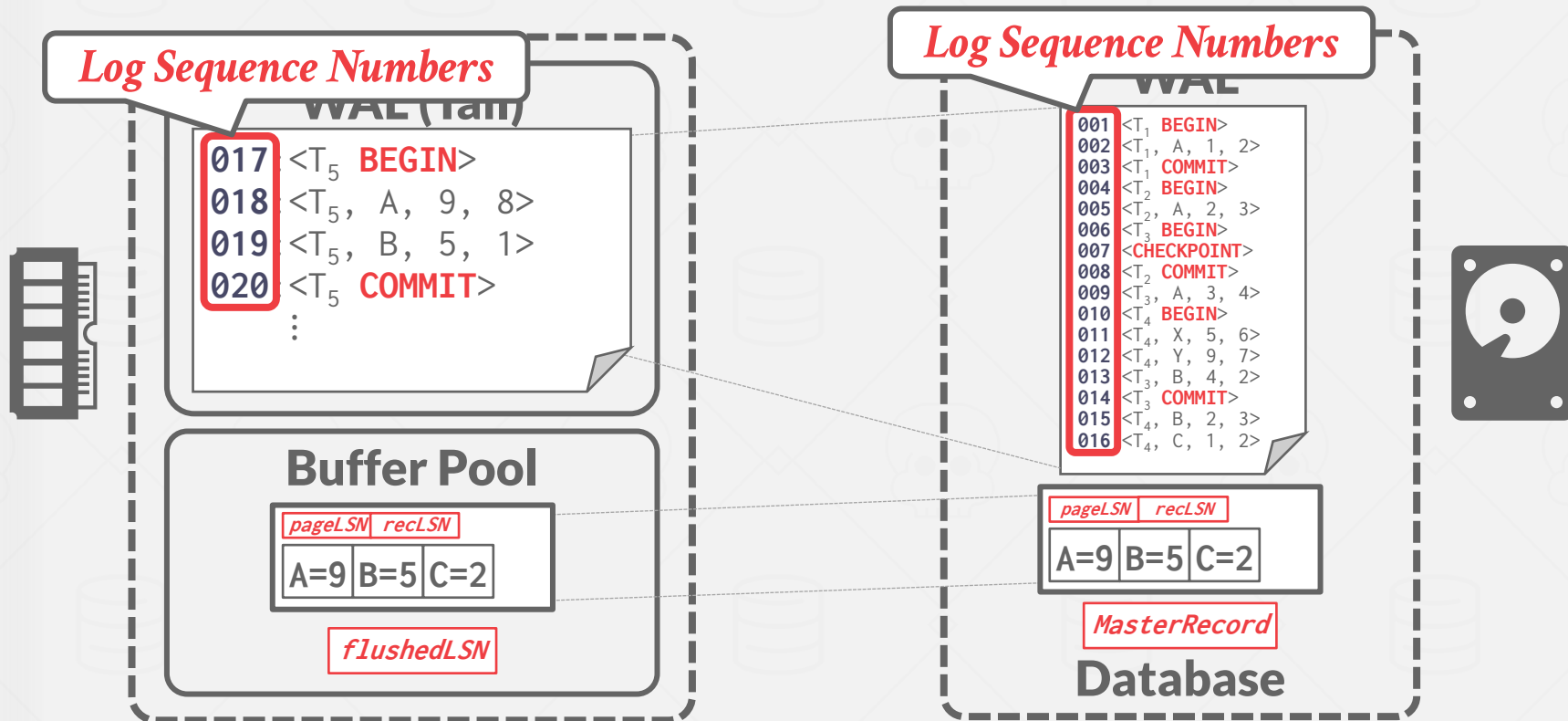
System keeps track of **flushedLSN**.

→ The max *LSN* flushed so far.

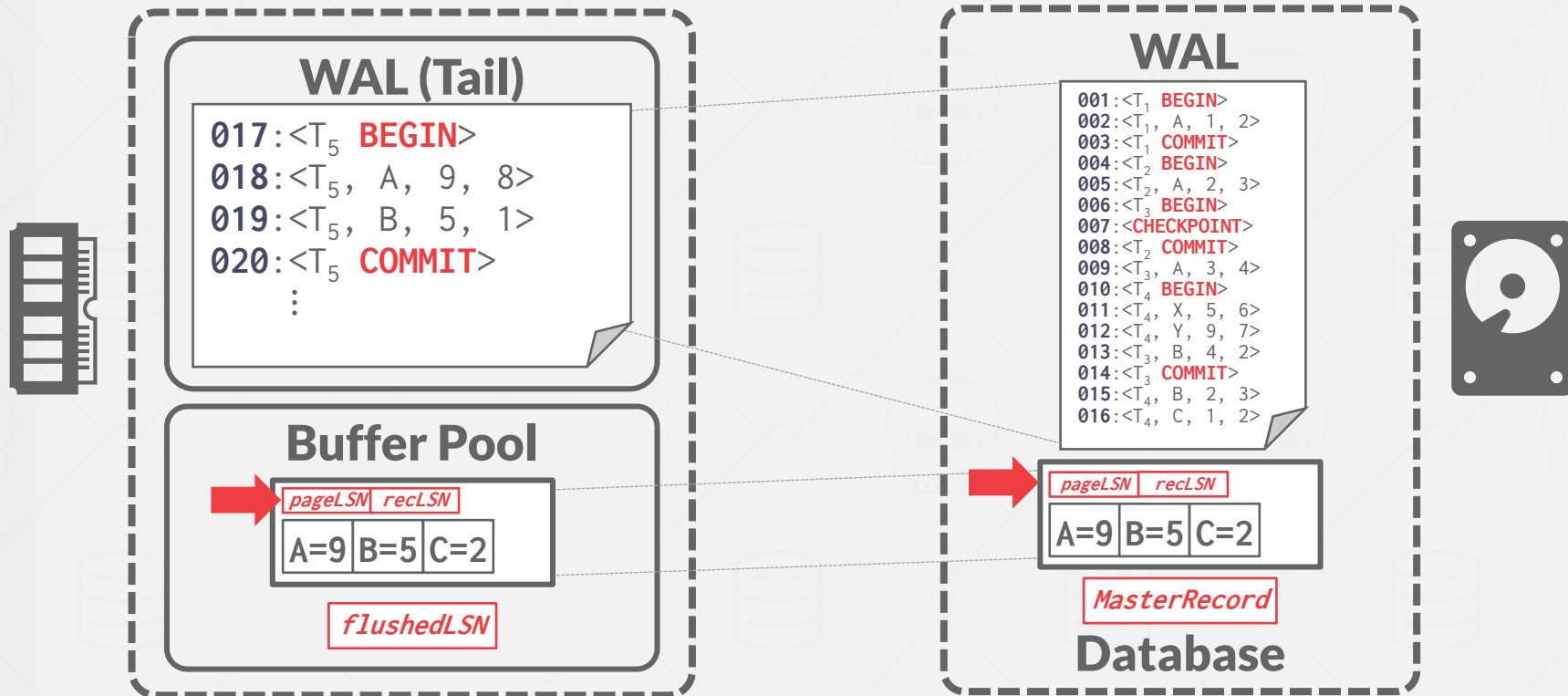
Before the DBMS can write page **x** to disk, it must flush the log at least to the point where:

→  **$\text{pageLSN}_x \leq \text{flushedLSN}$**

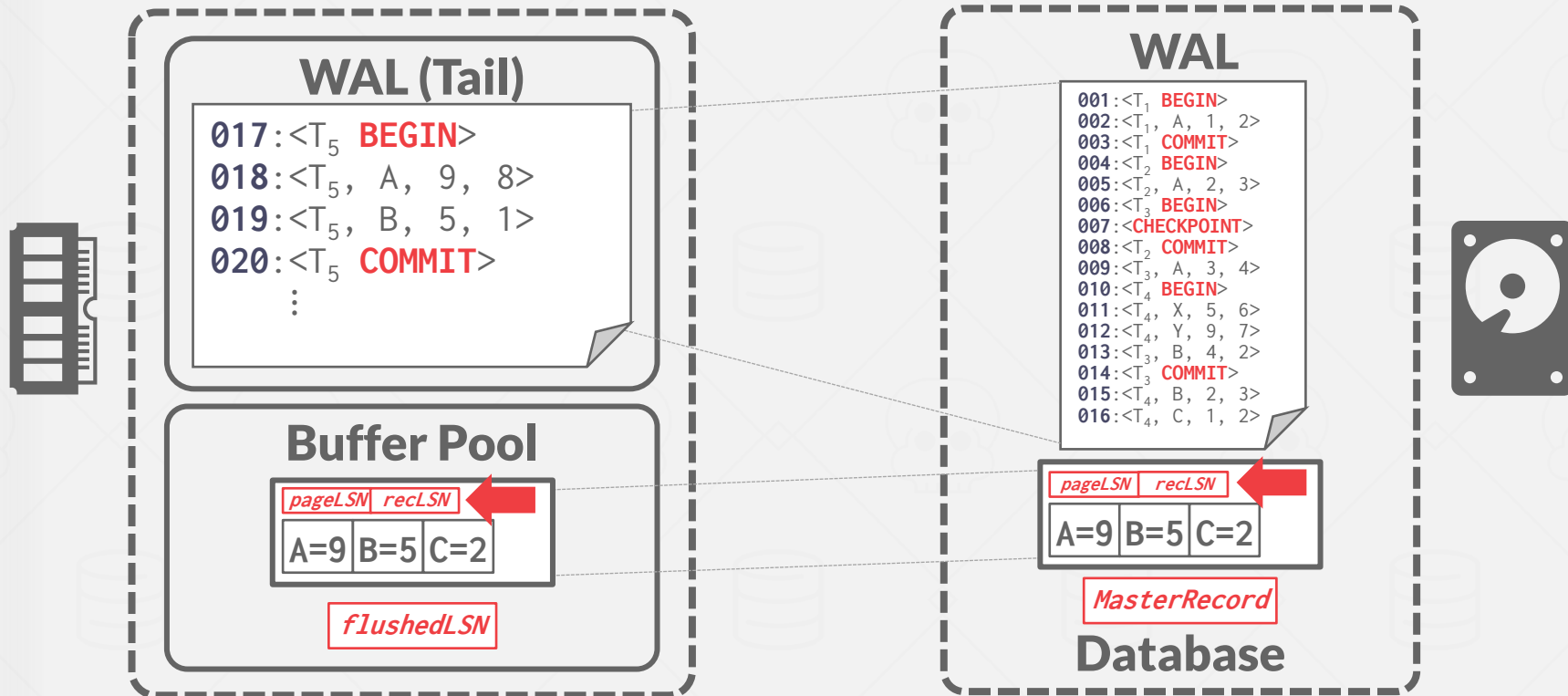
# WRITING LOG RECORDS



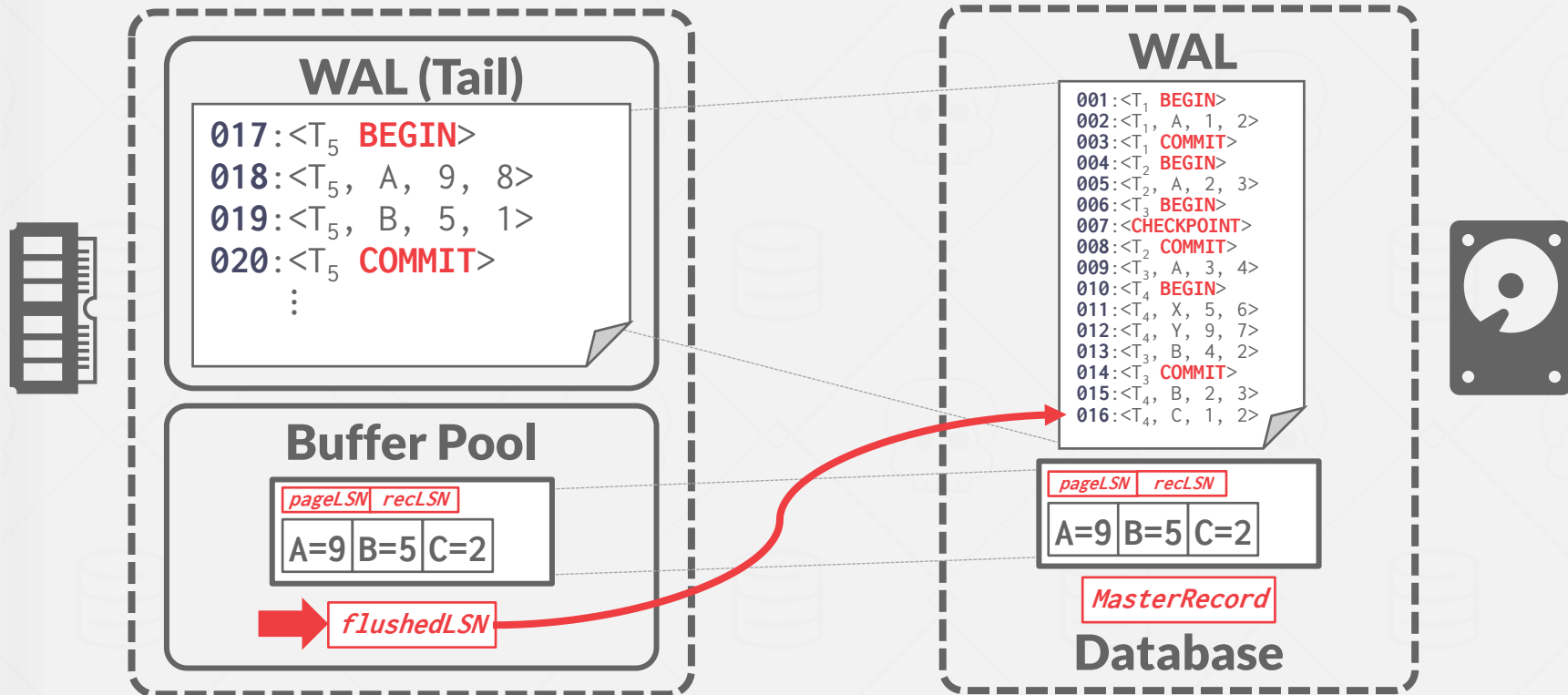
# WRITING LOG RECORDS



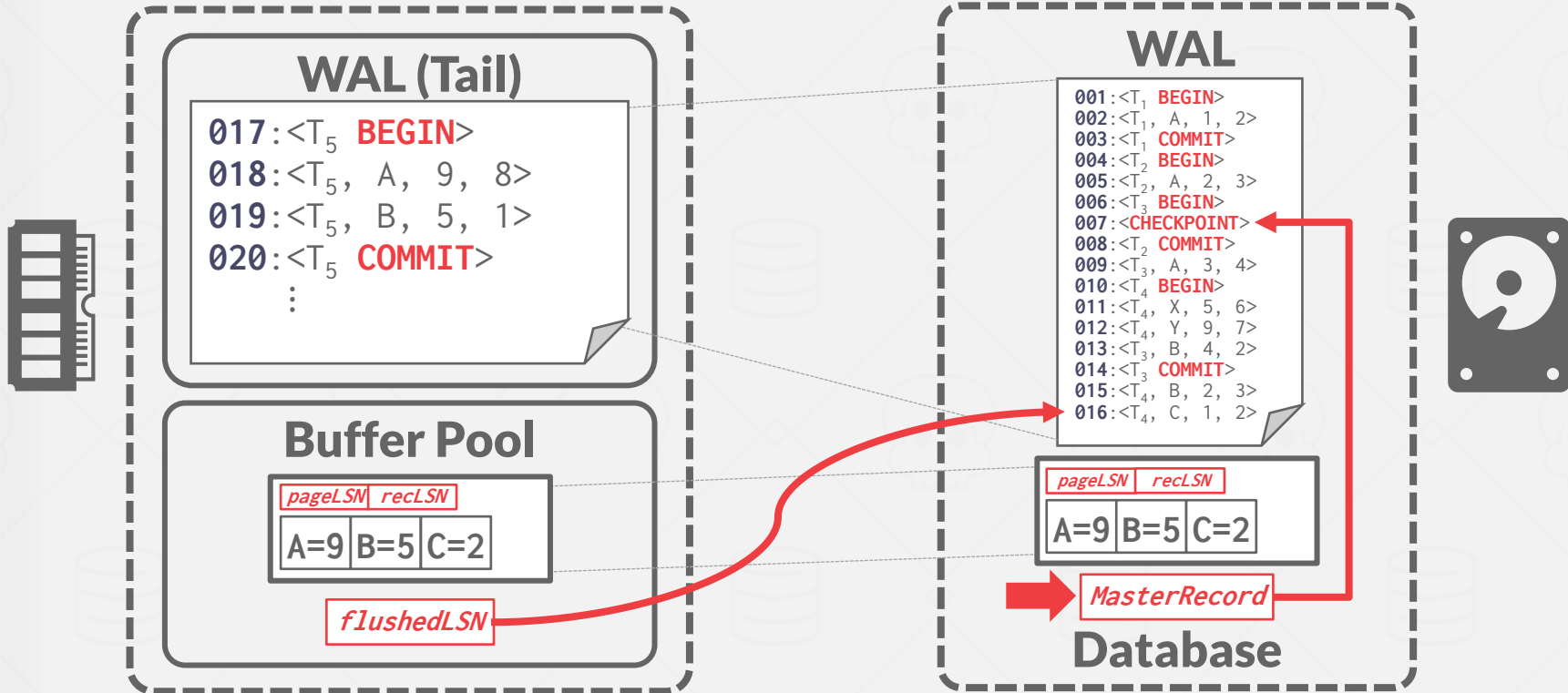
# WRITING LOG RECORDS



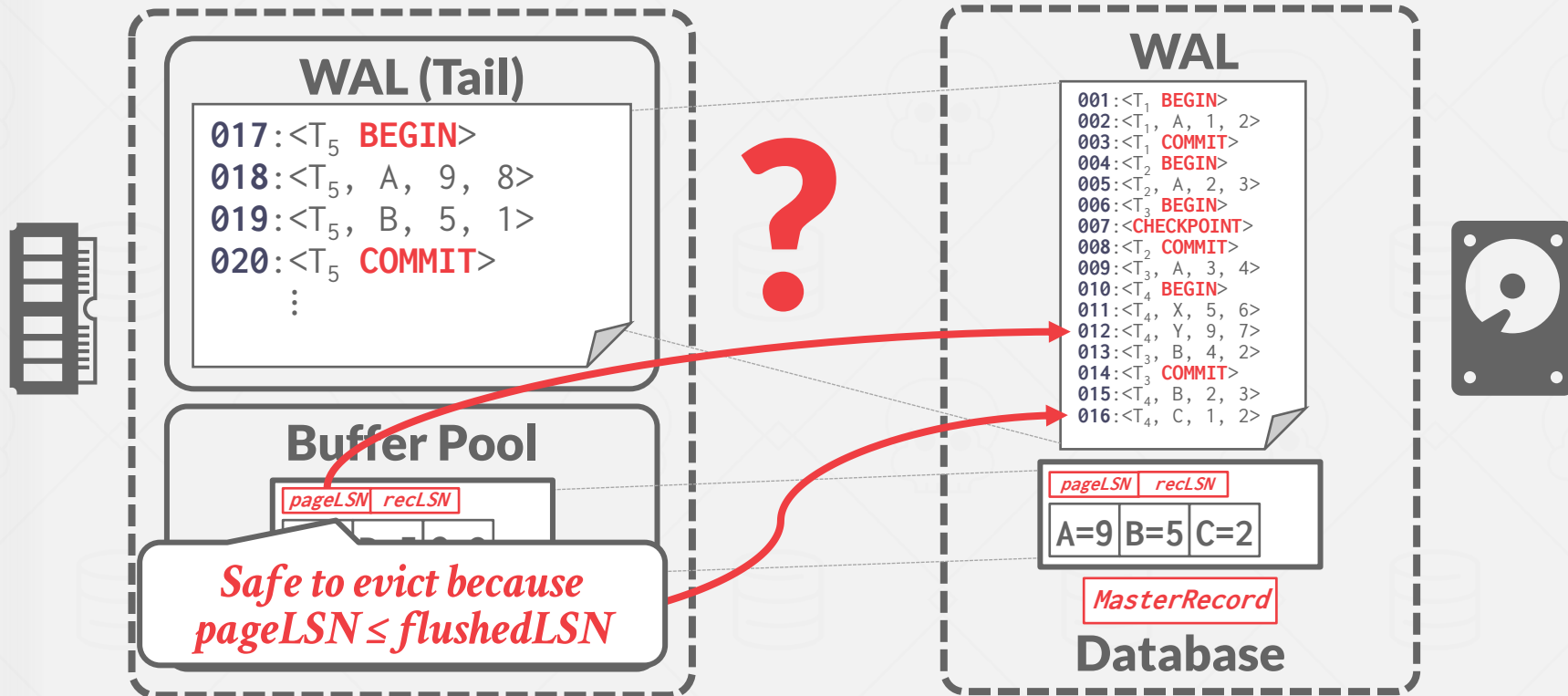
# WRITING LOG RECORDS



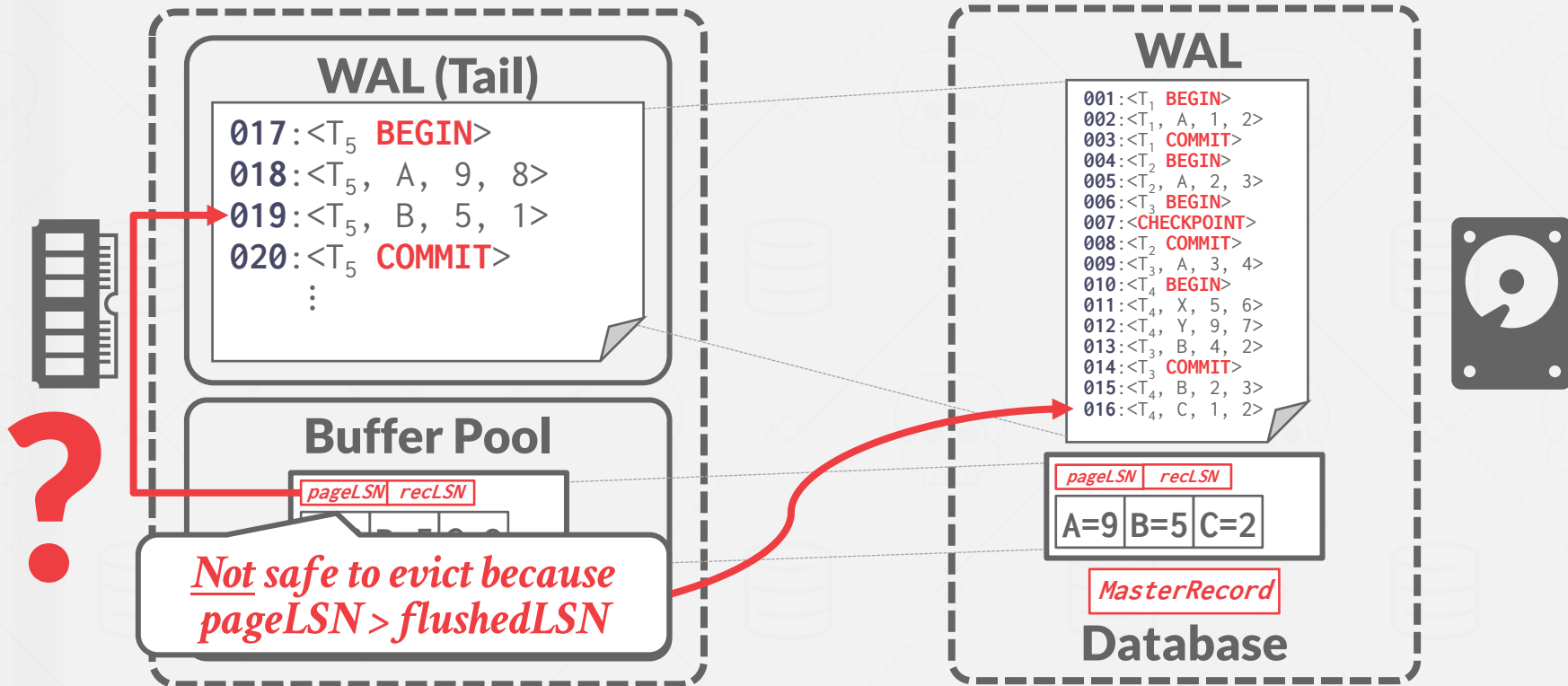
# WRITING LOG RECORDS



# WRITING LOG RECORDS



# WRITING LOG RECORDS





# WRITING LOG RECORDS

---

All log records have an *LSN*.

Update the **pageLSN** every time a txn modifies a record in the page.

Update the **flushedLSN** in memory every time the DBMS writes out the WAL buffer to disk.

# NORMAL EXECUTION

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Each txn invokes a sequence of reads and writes, followed by commit or abort.

Assumptions in this lecture:

- All log records fit within a single page.
- Disk writes are atomic.
- Single-versioned tuples with Strong Strict 2PL.
- **STEAL** + **NO-FORCE** buffer management with WAL.

# TRANSACTION COMMIT

---

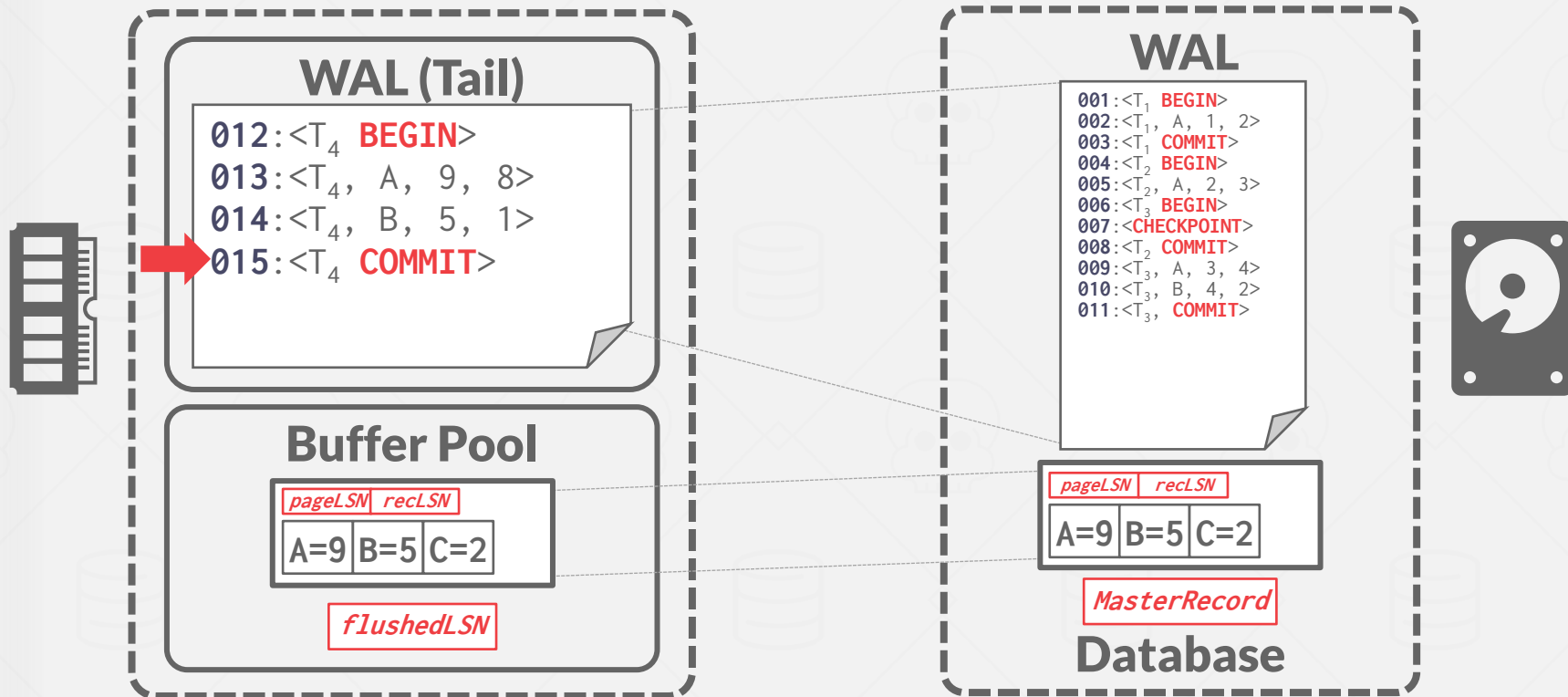
When a txn commits, the DBMS writes a **COMMIT** record to log and guarantees that all log records up to txn's **COMMIT** record are flushed to disk.

- Log flushes are sequential, synchronous writes to disk.
- Many log records per log page.

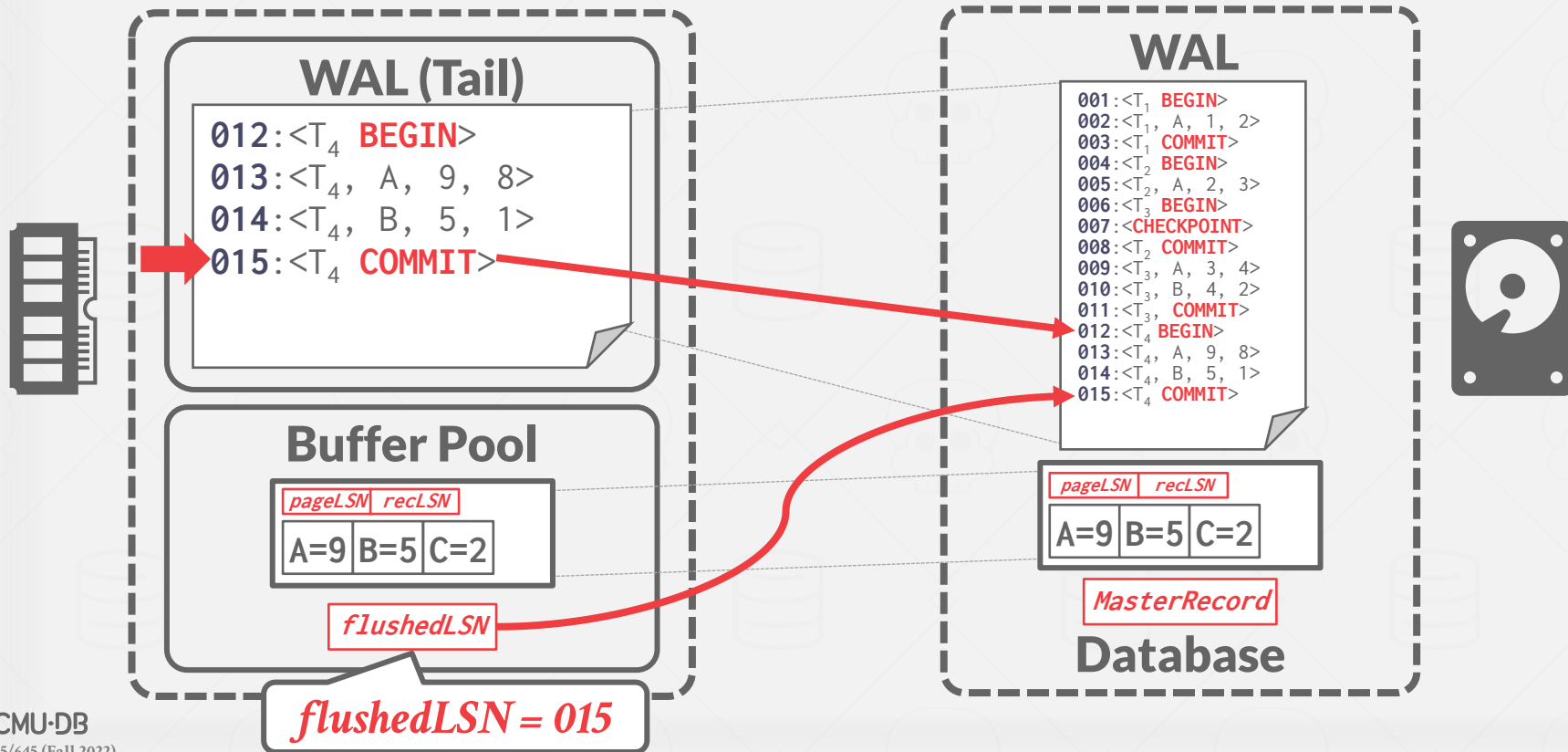
When the commit succeeds, write a special **TXN-END** record to log.

- Indicates that no new log record for a txn will appear in the log ever again.
- This does not need to be flushed immediately.

# TRANSACTION COMMIT



# TRANSACTION COMMIT



# TRANSACTION COMMIT

*We can trim the in-memory log up to flushedLSN*

012: <T<sub>4</sub> **BEGIN**>  
 013: <T<sub>4</sub>, A, 9, 8>  
 014: <T<sub>4</sub>, B, 5, 1>  
 015: <T<sub>4</sub> **COMMIT**>  
 ⋮  
 099: <T<sub>4</sub> **TXN-END**>

## Buffer Pool

pageLSN | recLSN

A=9 | B=5 | C=2

flushedLSN

## WAL

001: <T<sub>1</sub> **BEGIN**>  
 002: <T<sub>1</sub>, A, 1, 2>  
 003: <T<sub>1</sub> **COMMIT**>  
 004: <T<sub>2</sub> **BEGIN**>  
 005: <T<sub>2</sub>, A, 2, 3>  
 006: <T<sub>3</sub> **BEGIN**>  
 007: <**CHECKPOINT**>  
 008: <T<sub>2</sub> **COMMIT**>  
 009: <T<sub>3</sub>, A, 3, 4>  
 010: <T<sub>3</sub>, B, 4, 2>  
 011: <T<sub>3</sub> **COMMIT**>  
 012: <T<sub>4</sub> **BEGIN**>  
 013: <T<sub>4</sub>, A, 9, 8>  
 014: <T<sub>4</sub>, B, 5, 1>  
 015: <T<sub>4</sub> **COMMIT**>

pageLSN | recLSN

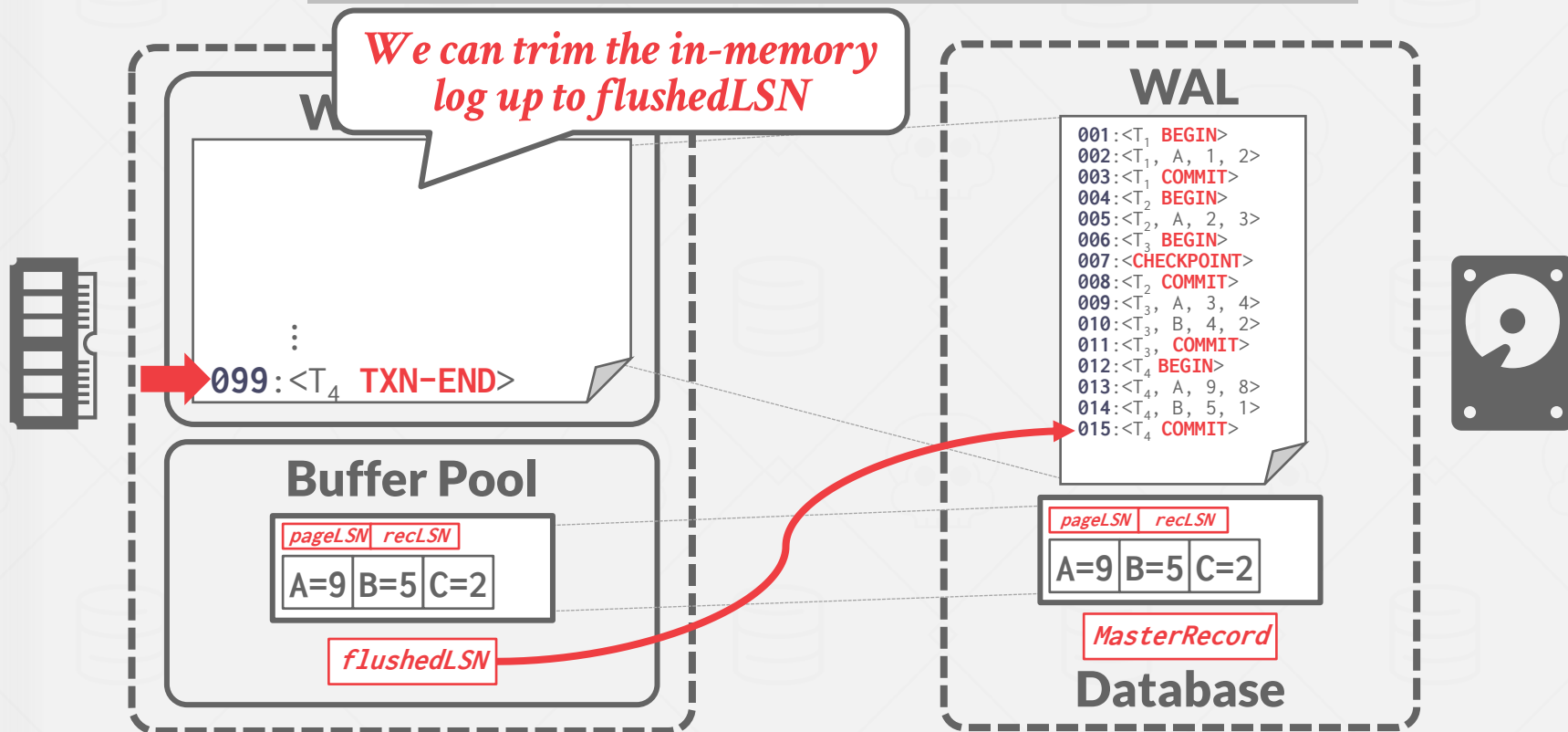
A=9 | B=5 | C=2

MasterRecord

Database

# TRANSACTION COMMIT

*We can trim the in-memory log up to flushedLSN*



# TRANSACTION ABORT

---

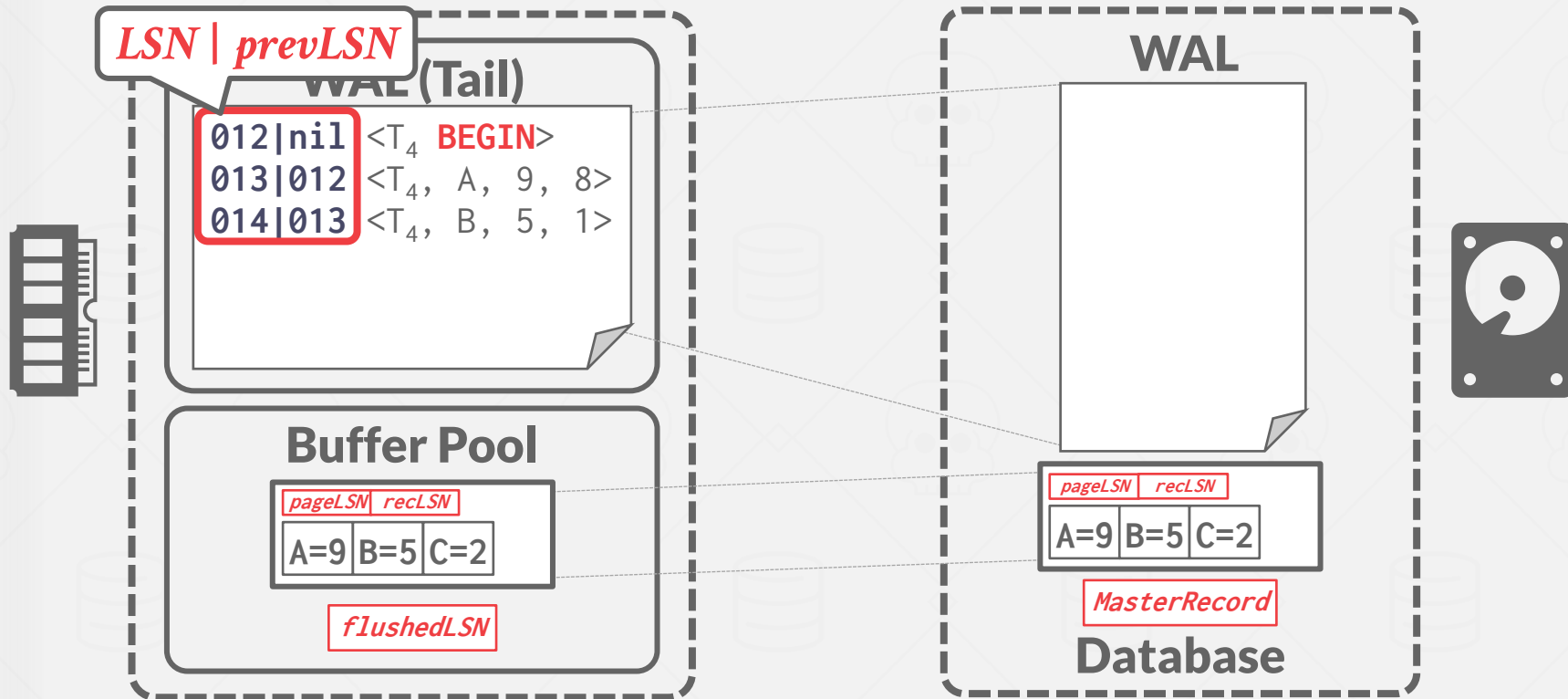
Aborting a txn is a special case of the ARIES undo operation applied to only one txn.

We need to add another field to our log records:

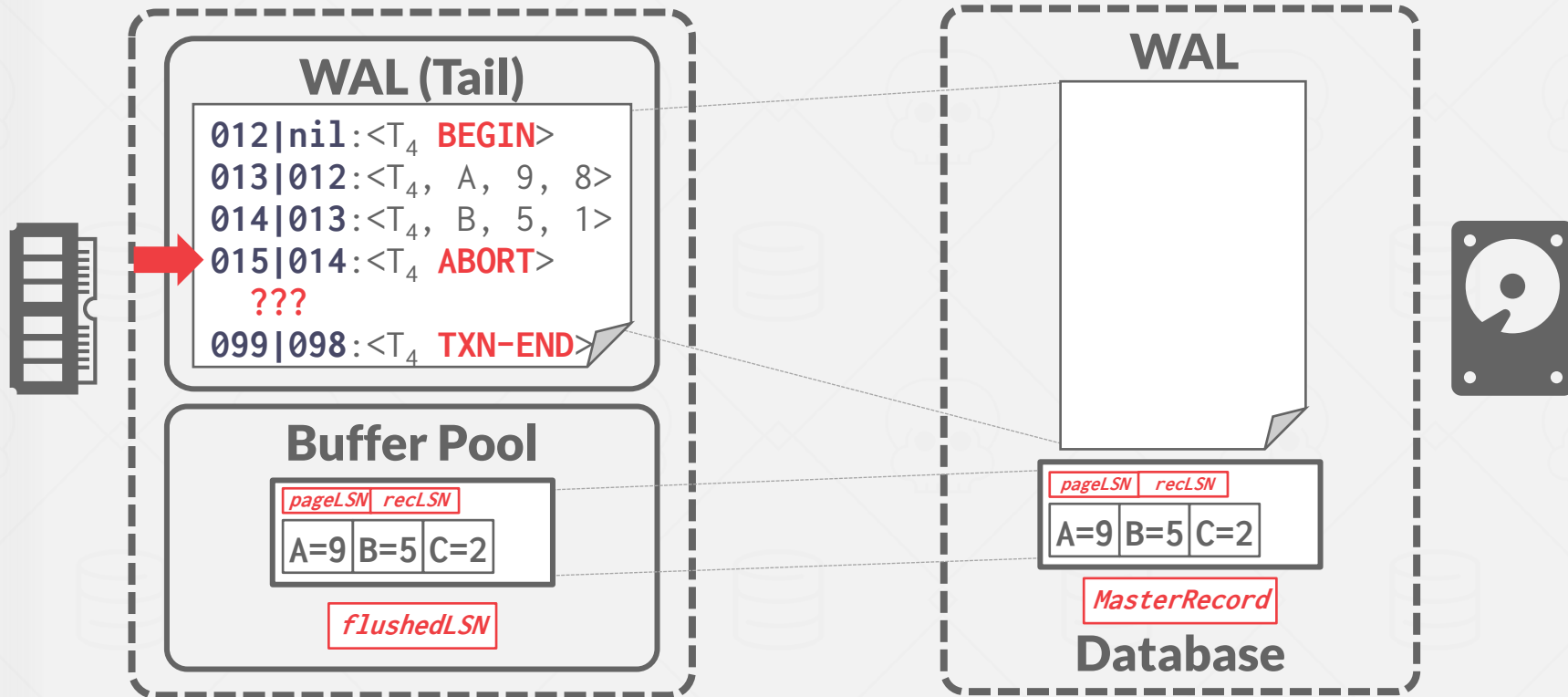
- **prevLSN**: The previous *LSN* for the txn.
- This maintains a linked-list for each txn that makes it easy to walk through its records.



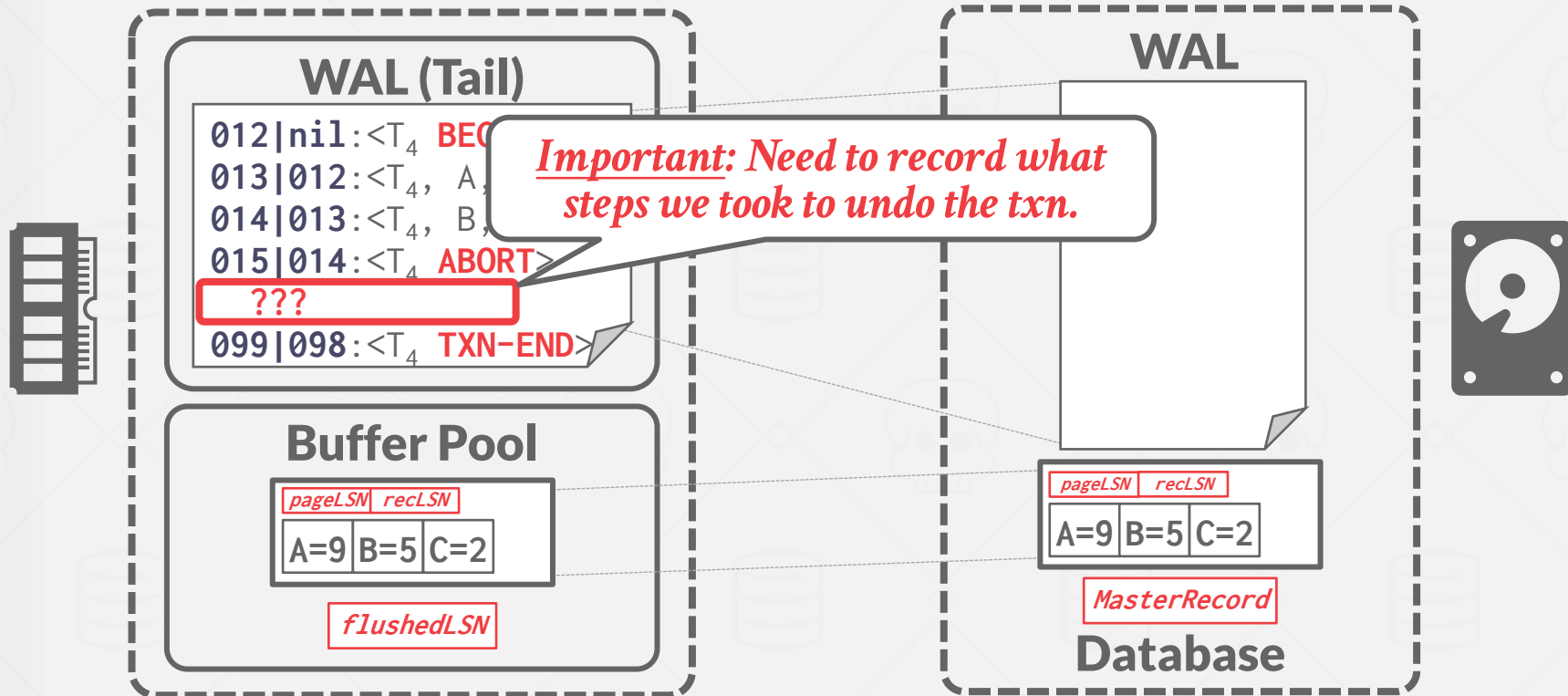
# TRANSACTION ABORT



# TRANSACTION ABORT



# TRANSACTION ABORT



# COMPENSATION LOG RECORDS

---

A CLR describes the actions taken to undo the actions of a previous update record.

It has all the fields of an update log record plus the **undoNext** pointer (the next-to-be-undone LSN).

*CLRs* are added to log records but the DBMS does not wait for them to be flushed before notifying the application that the txn aborted.

# TRANSACTION ABORT - CLR EXAMPLE



TIME

LSN	prevLSN	TxnId	Type	Object	Before	After	UndoNext
001	nil	$T_1$	BEGIN	-	-	-	-
002	001	$T_1$	UPDATE	A	30	40	-
⋮							
011	002	$T_1$	ABORT	-	-	-	-

# TRANSACTION ABORT - CLR EXAMPLE

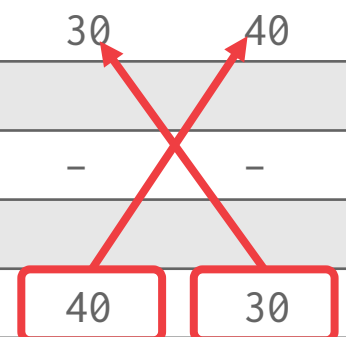
LSN	prevLSN	TxnId	Type	Object	Before	After	UndoNext
001	nil	T <sub>1</sub>	BEGIN	-	-	-	-
002	001	T <sub>1</sub>	UPDATE	A	30	40	-
⋮							
011	002	T <sub>1</sub>	ABORT	-	-	-	-
⋮							
026	011	T <sub>1</sub>	CLR-002	A	40	30	001

# TRANSACTION ABORT - CLR EXAMPLE



TIME

LSN	prevLSN	TxnId	Type	Object	Before	After	UndoNext
001	nil	$T_1$	BEGIN	-	-	-	-
002	001	$T_1$	UPDATE	A	30	40	-
⋮							
011	002	$T_1$	ABORT	-	-	-	-
⋮							
026	011	$T_1$	CLR-002	A	40	30	001



# TRANSACTION ABORT - CLR EXAMPLE



LSN	prevLSN	TxnId	Type	Object	Before	After	UndoNext
001	nil	T <sub>1</sub>	BEGIN	-	-	-	-
002	001	T <sub>1</sub>	UPDATE	A	30	40	-
⋮							
011	002	T <sub>1</sub>	ABORT	-	-	-	-
⋮							
026	011	T <sub>1</sub>	CLR-002	A	40	30	001

*The LSN of the next log record to be undone.*



# TRANSACTION ABORT - CLR EXAMPLE



TIME

LSN	prevLSN	TxnId	Type	Object	Before	After	UndoNext
001	nil	$T_1$	BEGIN	-	-	-	-
002	001	$T_1$	UPDATE	A	30	40	-
⋮							
011	002	$T_1$	ABORT	-	-	-	-
⋮							
026	011	$T_1$	CLR-002	A	40	30	001
027	026	$T_1$	TXN-END	-	-	-	nil

# ABORT ALGORITHM

---

First write an **ABORT** record to log for the txn.

Then analyze the txn's updates in reverse order.

For each update record:

- Write a **CLR** entry to the log.
- Restore old value.

Lastly, write a **TXN-END** record and release locks.

Notice: **CLRs** never need to be undone.

# TODAY'S AGENDA

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~~Log Sequence Numbers~~

~~Normal Commit & Abort Operations~~

Fuzzy Checkpointing

Recovery Algorithm

# NON-FUZZY CHECKPOINTS

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The DBMS halts everything when it takes a checkpoint to ensure a consistent snapshot:

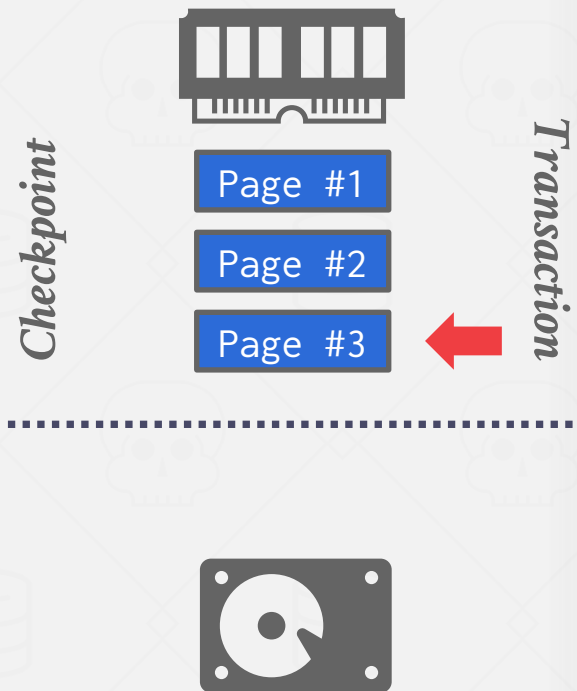
- Halt the start of any new txns.
- Wait until all active txns finish executing.
- Flushes dirty pages on disk.

This is bad for runtime performance but makes recovery easy.

# SLIGHTLY BETTER CHECKPOINTS

Pause modifying txns while the DBMS takes the checkpoint.

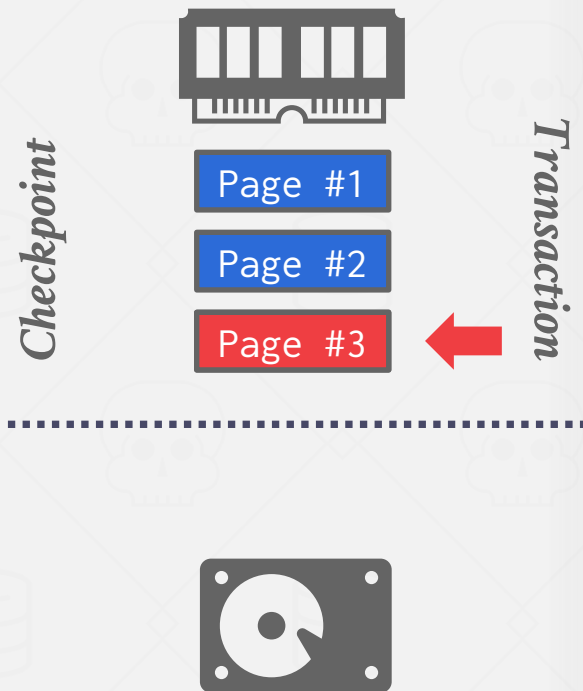
- Prevent queries from acquiring write latch on table/index pages.
- Don't have to wait until all txns finish before taking the checkpoint.



# SLIGHTLY BETTER CHECKPOINTS

Pause modifying txns while the DBMS takes the checkpoint.

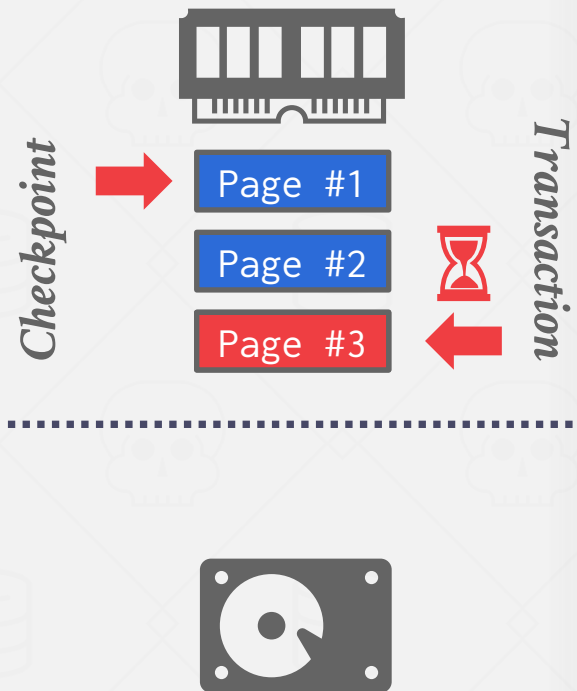
- Prevent queries from acquiring write latch on table/index pages.
- Don't have to wait until all txns finish before taking the checkpoint.



# SLIGHTLY BETTER CHECKPOINTS

Pause modifying txns while the DBMS takes the checkpoint.

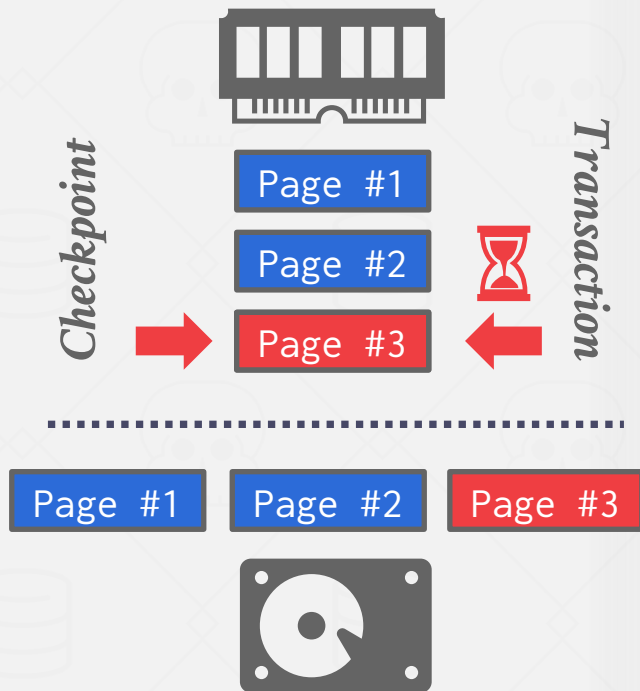
- Prevent queries from acquiring write latch on table/index pages.
- Don't have to wait until all txns finish before taking the checkpoint.



# SLIGHTLY BETTER CHECKPOINTS

Pause modifying txns while the DBMS takes the checkpoint.

- Prevent queries from acquiring write latch on table/index pages.
- Don't have to wait until all txns finish before taking the checkpoint.





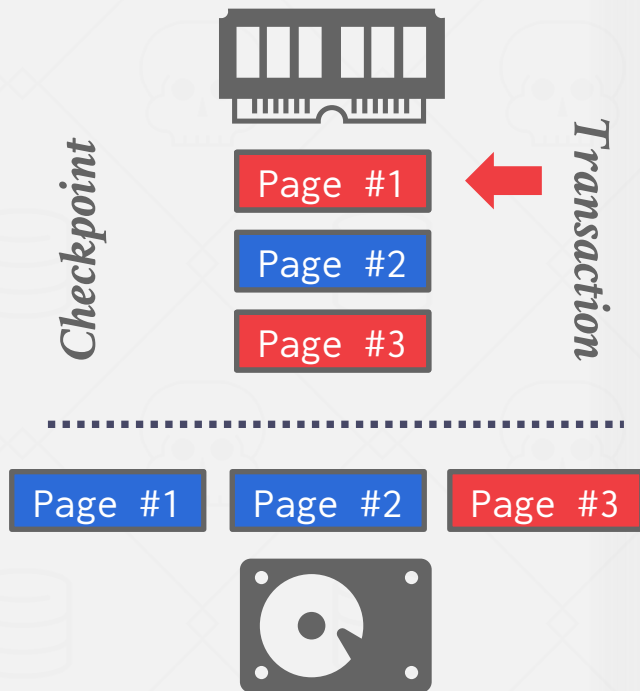
# SLIGHTLY BETTER CHECKPOINTS

Pause modifying txns while the DBMS takes the checkpoint.

- Prevent queries from acquiring write latch on table/index pages.
- Don't have to wait until all txns finish before taking the checkpoint.

We must record internal state as of the beginning of the checkpoint.

- A
- **Dirty Page Table (DPT)**
- **(ATT)**



# ACTIVE TRANSACTION TABLE

---

One entry per currently active txn.

- **txnId**: Unique txn identifier.
- **status**: The current "mode" of the txn.
- **lastLSN**: Most recent *LSN* created by txn.

Remove entry after the **TXN-END** record.

Txn Status Codes:

- **R** → Running
- **C** → Committing
- **U** → Candidate for Undo

# DIRTY PAGE TABLE

---

Keep track of which pages in the buffer pool contain changes that have not been flushed to disk.

One entry per dirty page in the buffer pool:

→ **recLSN**: The *LSN* of the log record that first caused the page to be dirty.

# SLIGHTLY BETTER CHECKPOINT

At the first checkpoint, assuming  $P_{11}$  was flushed,  $T_2$  is still running and there is only one dirty page ( $P_{22}$ ),

At the second checkpoint, assuming  $P_{22}$  was flushed,  $T_2$  and  $T_3$  are active and the dirty pages are ( $P_{11}$ ,  $P_{33}$ ).

This still is not ideal because the DBMS must stall txns during checkpoint...

## WAL

```

<T1 BEGIN>
<T2 BEGIN>
<T1, A→P11, 100, 120>
<T1 COMMIT>
<T2, C→P22, 100, 120>
<T1 TXN-END >
<CHECKPOINT
  ATT={T2},
  DPT={P22}>
<T3 BEGIN>
<T2, A→P11, 120, 130>
<T2 COMMIT>
<T3, B→P33, 200, 400>
<CHECKPOINT
  ATT={T2, T3},
  DPT={P11, P33}>
<T3, B→P33, 400, 600>
  
```

# FUZZY CHECKPOINTS

---

A *fuzzy checkpoint* is where the DBMS allows active txns to continue the run while the system writes the log records for checkpoint.

→ No attempt to force dirty pages to disk.

New log records to track checkpoint boundaries:

→ **CHECKPOINT-BEGIN**: Indicates start of checkpoint

→ **CHECKPOINT-END**: Contains **ATT** + **DPT**.

# FUZZY CHECKPOINT

Assume the DBMS flushes  $P_{11}$  before the first checkpoint starts.

Any txn that begins after the checkpoint starts is excluded from the ATT in the **CHECKPOINT-END** record.

The *LSN* of the **CHECKPOINT-BEGIN** record is written to the **MasterRecord** when it completes.

## WAL

```

<T1 BEGIN>
<T2 BEGIN>
<T1, A→P11, 100, 120>
<T1 COMMIT>
<T2, C→P22, 100, 120>
<T1 TXN-END >
<CHECKPOINT-BEGIN>
<T3 BEGIN>
<T2, A→P11, 120, 130>
<CHECKPOINT-END
  ATT={T2},
  DPT={P22} >
<T2 COMMIT>
<T3, B→P33, 200, 400>
<CHECKPOINT-BEGIN>
<T3, B→P33, 10, 12>
<CHECKPOINT-END
  ATT={T2, T3},
  DPT={P11, P33}>
  
```

# FUZZY CHECKPOINT

Assume the DBMS flushes  $P_{11}$  before the first checkpoint starts.

Any txn that begins after the checkpoint starts is excluded from the ATT in the **CHECKPOINT-END** record.

The *LSN* of the **CHECKPOINT-BEGIN** record is written to the **MasterRecord** when it completes.

## WAL

```

<T1 BEGIN>
<T2 BEGIN>
<T1, A→P11, 100, 120>
<T1 COMMIT>
<T2 C→P22, 100, 120>
<T1 TXN-END >
<CHECKPOINT-BEGIN>
<T3 BEGIN>
<T2, A→P11, 120, 130>
<CHECKPOINT-END
  ATT={T2},
  DPT={P22} >
<T2 COMMIT>
<T3, B→P33, 200, 400>
<CHECKPOINT-BEGIN>
<T3, B→P33, 10, 12>
<CHECKPOINT-END
  ATT={T2, T3},
  DPT={P11, P33}>
  
```

# ARIES - RECOVERY PHASES

---

## Phase #1 - Analysis

→ Examine the WAL in forward direction starting at **MasterRecord** to identify dirty pages in the buffer pool and active txns at the time of the crash.

## Phase #2 - Redo

→ Repeat all actions starting from an appropriate point in the log (even txns that will abort).

## Phase #3 - Undo

→ Reverse the actions of txns that did not commit before the crash.



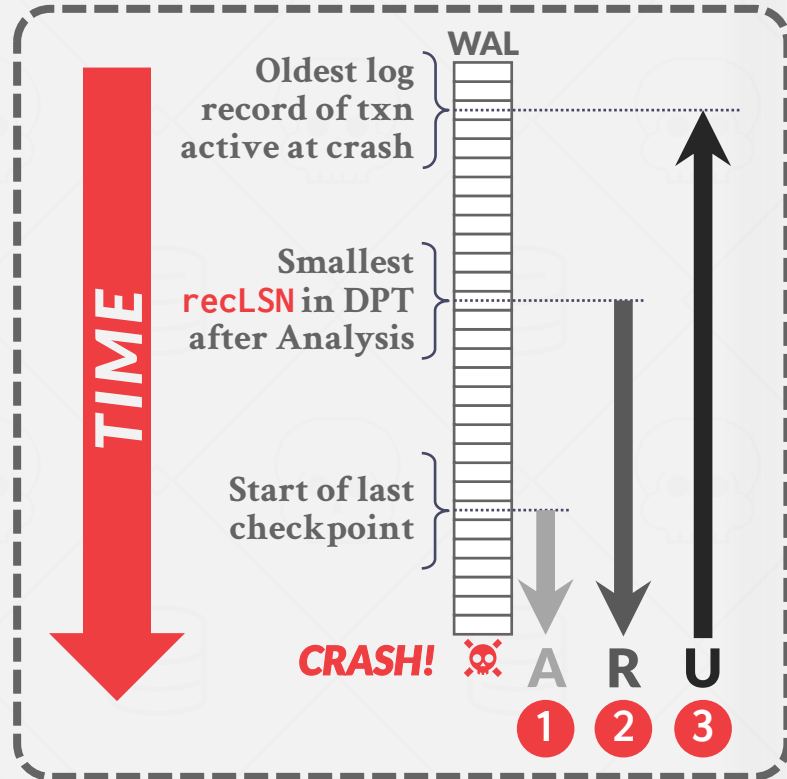
# ARIES - OVERVIEW

Start from last **BEGIN-CHECKPOINT** found via **MasterRecord**.

**Analysis:** Figure out which txns committed or failed since checkpoint.

**Redo:** Repeat all actions.

**Undo:** Reverse effects of failed txns.



# ANALYSIS PHASE

---

Scan log forward from last successful checkpoint.

If the DBMS finds a **TXN-END** record, remove its corresponding txn from **ATT**.

All other records:

→ If txn not in **ATT**, add it with status **UNDO**.

→ On commit, change txn status to **COMMIT**.

For update log records:

→ If page **P** not in **DPT**, add **P** to **DPT**, set its **recLSN=LSN**.

# ANALYSIS PHASE

---

At end of the Analysis Phase:

- **ATT** identifies which txns were active at time of crash.
- **DPT** identifies which dirty pages might not have made it to disk.

# ANALYSIS PHASE EXAMPLE

## WAL

010: <CHECKPOINT-BEGIN>

⋮

020: <T<sub>96</sub>, A→P<sub>33</sub>, 10, 15>

⋮

030: <CHECKPOINT-END

ATT={T<sub>96</sub>, T<sub>97</sub>},

DPT={P<sub>20</sub>, P<sub>33</sub>}>

⋮

040: <T<sub>96</sub> COMMIT>

⋮

050: <T<sub>96</sub> TXN-END>

⋮

CRASH!

LSN	ATT	DPT
010		
020		
030		
040		
050		

# ANALYSIS PHASE EXAMPLE

## WAL

010: <CHECKPOINT-BEGIN>

⋮

020: <T<sub>96</sub>, A→P<sub>33</sub>, 10, 15>

⋮

030: <CHECKPOINT-END

ATT={T<sub>96</sub>, T<sub>97</sub>},

DPT={P<sub>20</sub>, P<sub>33</sub>}>

⋮

040: <T<sub>96</sub> COMMIT>

⋮

050: <T<sub>96</sub> TXN-END>

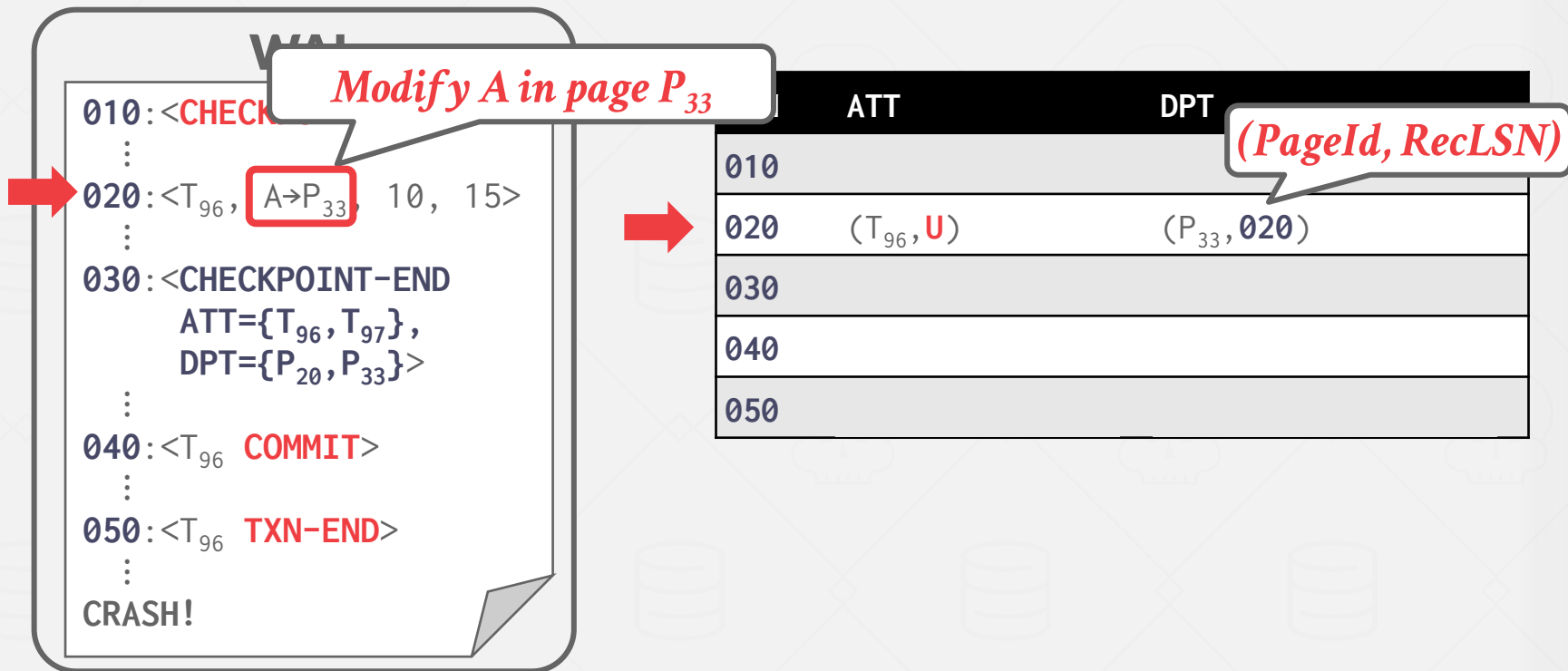
⋮

CRASH!

LSN	ATT	DPT
010		
020	(T <sub>96</sub> , U)	
030		
040		
050		

(TxnId, Status)

# ANALYSIS PHASE EXAMPLE



# ANALYSIS PHASE EXAMPLE

## WAL

010: <CHECKPOINT-BEGIN>

⋮

020: <T<sub>96</sub>, A→P<sub>33</sub>, 10, 15>

⋮

030: <CHECKPOINT-END  
ATT={T<sub>96</sub>, T<sub>97</sub>},  
DPT={P<sub>20</sub>, P<sub>33</sub>}>

⋮

040: <T<sub>96</sub> COMMIT>

⋮

050: <T<sub>96</sub> TXN-END>

⋮

CRASH!

LSN	ATT	DPT
010		
020	(T <sub>96</sub> , U)	(P <sub>33</sub> , 020)
030	(T <sub>96</sub> , U), (T <sub>97</sub> , U)	(P <sub>33</sub> , 020), (P <sub>20</sub> , 008)
040		
050		

# ANALYSIS PHASE EXAMPLE

## WAL

010: <CHECKPOINT-BEGIN>

⋮

020: <T<sub>96</sub>, A→P<sub>33</sub>, 10, 15>

⋮

030: <CHECKPOINT-END

ATT={T<sub>96</sub>, T<sub>97</sub>},

DPT={P<sub>20</sub>, P<sub>33</sub>}>

⋮

040: <T<sub>96</sub> COMMIT>

⋮

050: <T<sub>96</sub> TXN-END>

⋮

CRASH!



LSN	ATT	DPT
010		
020	(T <sub>96</sub> , U)	(P <sub>33</sub> , 020)
030	(T <sub>96</sub> , U), (T <sub>97</sub> , U)	(P <sub>33</sub> , 020), (P <sub>20</sub> , 008)
040	(T <sub>96</sub> , C), (T <sub>97</sub> , U)	(P <sub>33</sub> , 020), (P <sub>20</sub> , 008)
050		



# ANALYSIS PHASE EXAMPLE

## WAL

010: <CHECKPOINT-BEGIN>

⋮

020: <T<sub>96</sub>, A→P<sub>33</sub>, 10, 15>

⋮

030: <CHECKPOINT-END  
ATT={T<sub>96</sub>, T<sub>97</sub>},  
DPT={P<sub>20</sub>, P<sub>33</sub>}>

⋮

040: <T<sub>96</sub> COMMIT>

⋮

050: <T<sub>96</sub> TXN-END>

⋮

CRASH!



LSN	ATT	DPT
010		
020	(T <sub>96</sub> , U)	(P <sub>33</sub> , 020)
030	(T <sub>96</sub> , U), (T <sub>97</sub> , U)	(P <sub>33</sub> , 020), (P <sub>20</sub> , 008)
040	(T <sub>96</sub> , C), (T <sub>97</sub> , U)	(P <sub>33</sub> , 020), (P <sub>20</sub> , 008)
050	(T <sub>97</sub> , U)	(P <sub>33</sub> , 020), (P <sub>20</sub> , 008)

# REDO PHASE

---

The goal is to repeat history to reconstruct the database state at the moment of the crash:

→ Reapply all updates (even aborted txns!) and redo **CLRs**.

There are techniques that allow the DBMS to avoid unnecessary reads/writes, but we will ignore that in this lecture...

# REDO PHASE

---

Scan forward from the log record containing smallest **recLSN** in **DPT**.

For each update log record or **CLR** with a given **LSN**, redo the action unless:

- Affected page is not in **DPT**, or
- Affected page is in **DPT** but that record's **LSN** is less than the page's **recLSN**.

# REDO PHASE

---

To redo an action:

- Reapply logged update.
- Set **pageLSN** to log record's *LSN*.
- No additional logging, no forced flushes!

At the end of Redo Phase, write **TXN-END** log records for all txns with status **C** and remove them from the **ATT**.

# UNDO PHASE

---

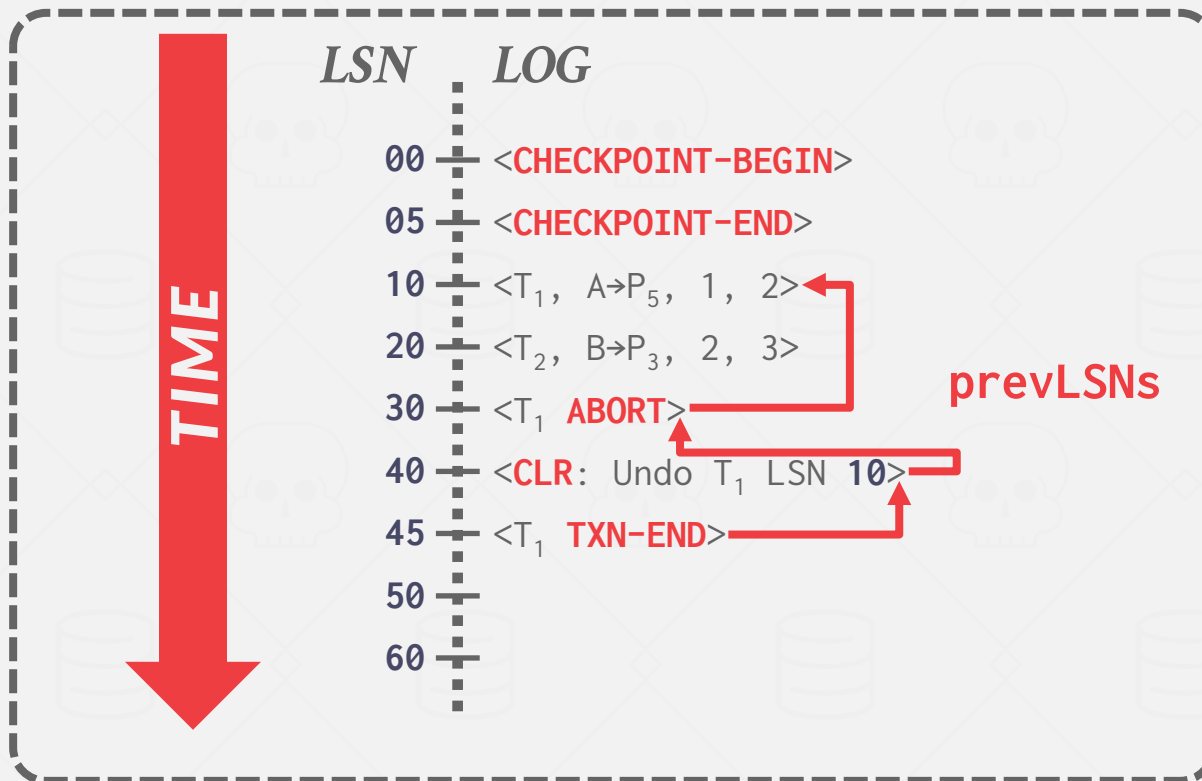
Undo all txns that were active at the time of crash and therefore will never commit.

→ These are all the txns with **U** status in the **ATT** after the Analysis Phase.

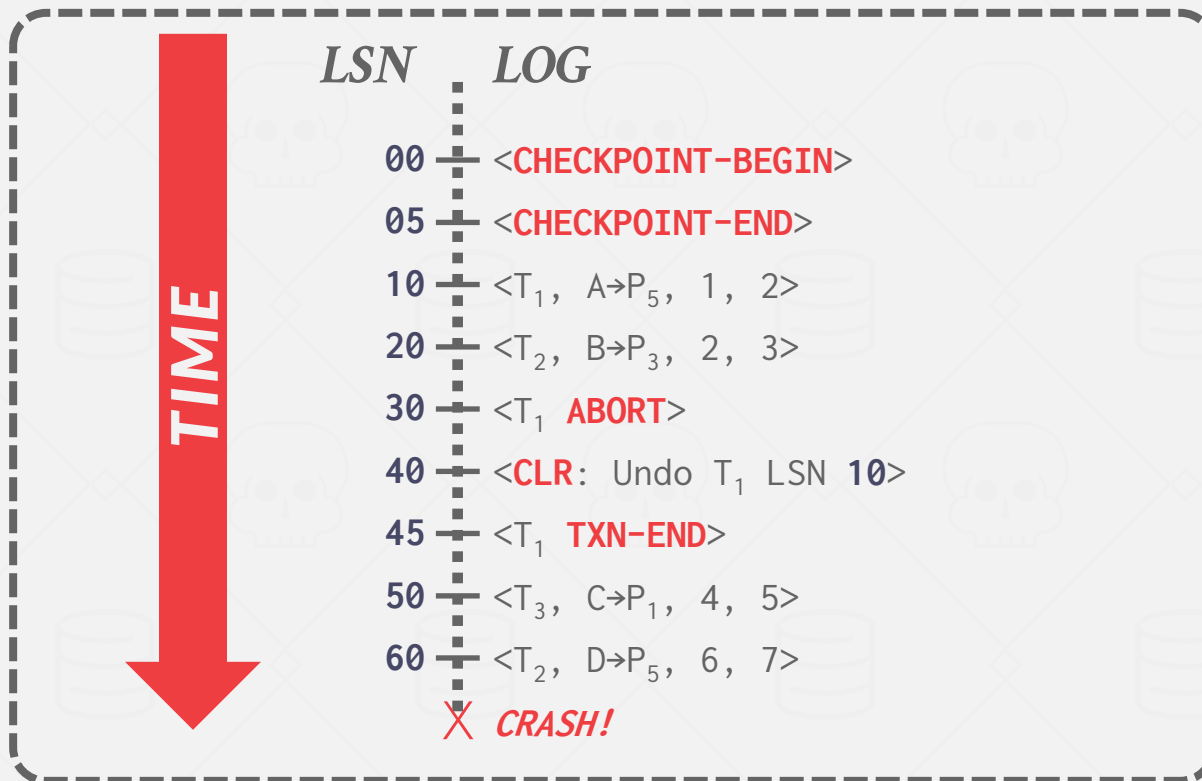
Process them in reverse *LSN* order using the **lastLSN** to speed up traversal.

Write a **CLR** for every modification.

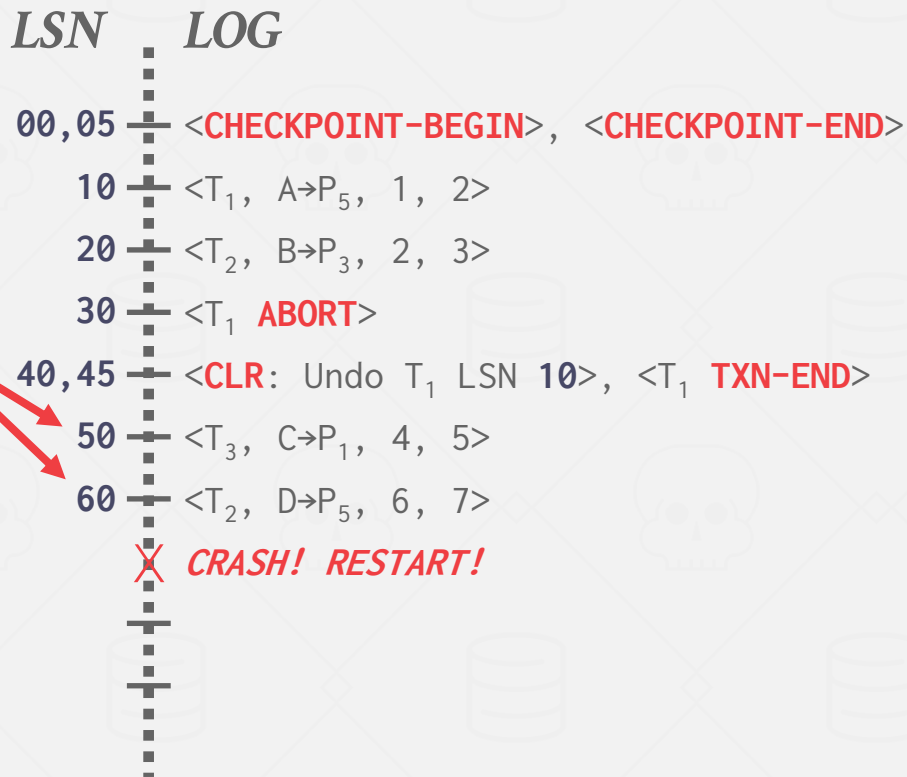
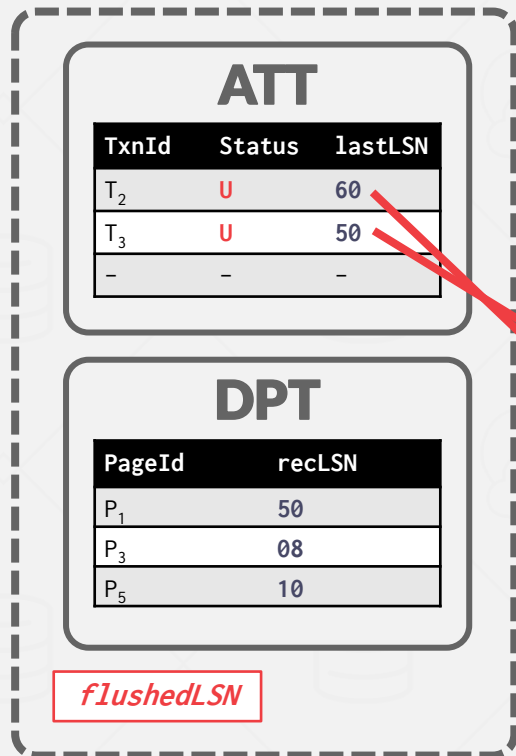
# FULL EXAMPLE



# FULL EXAMPLE

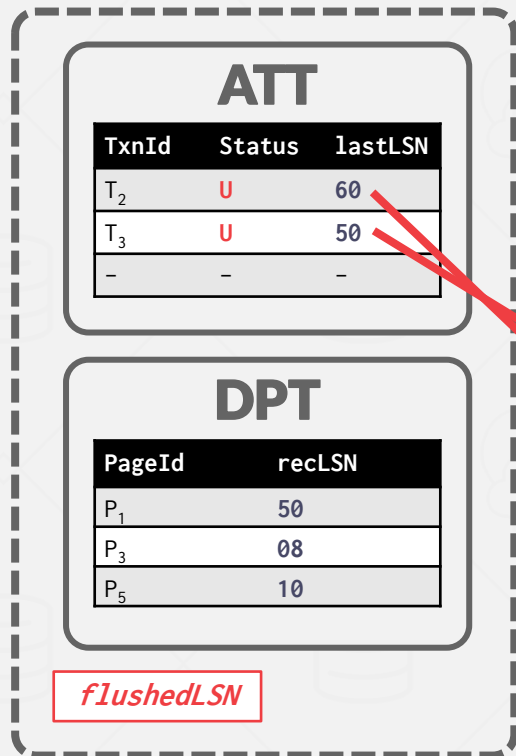


# FULL EXAMPLE



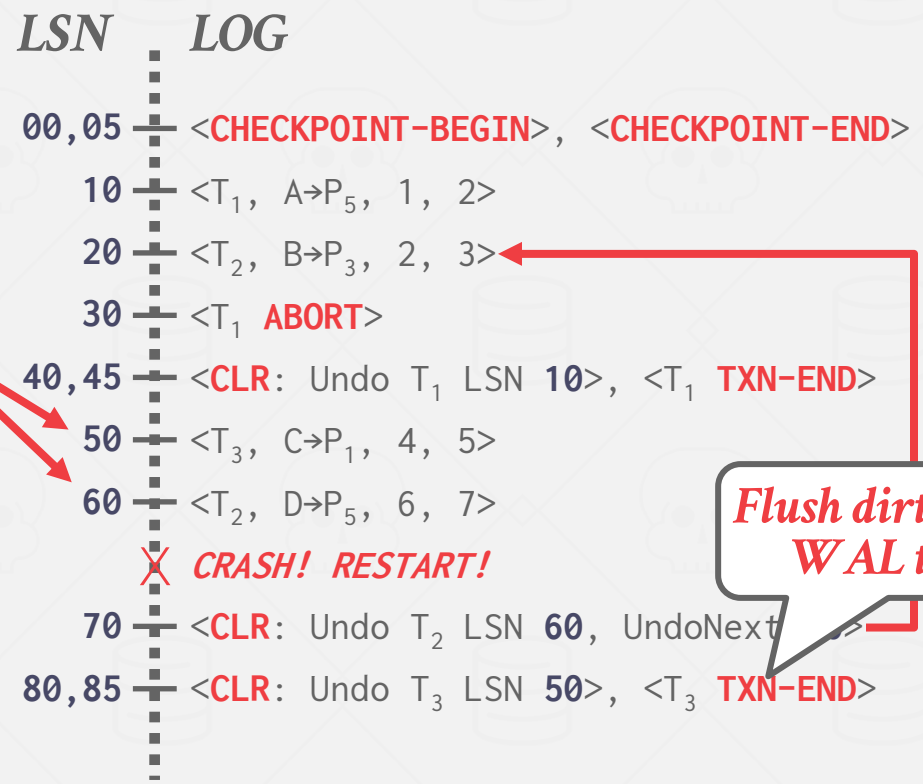
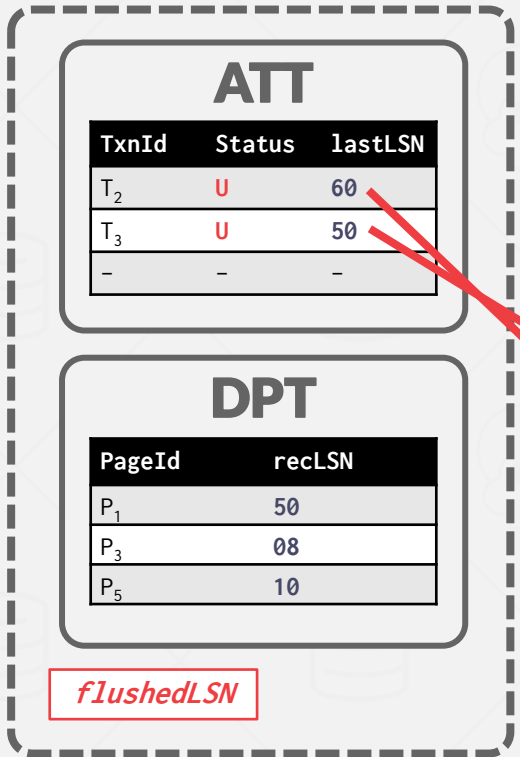


# FULL EXAMPLE



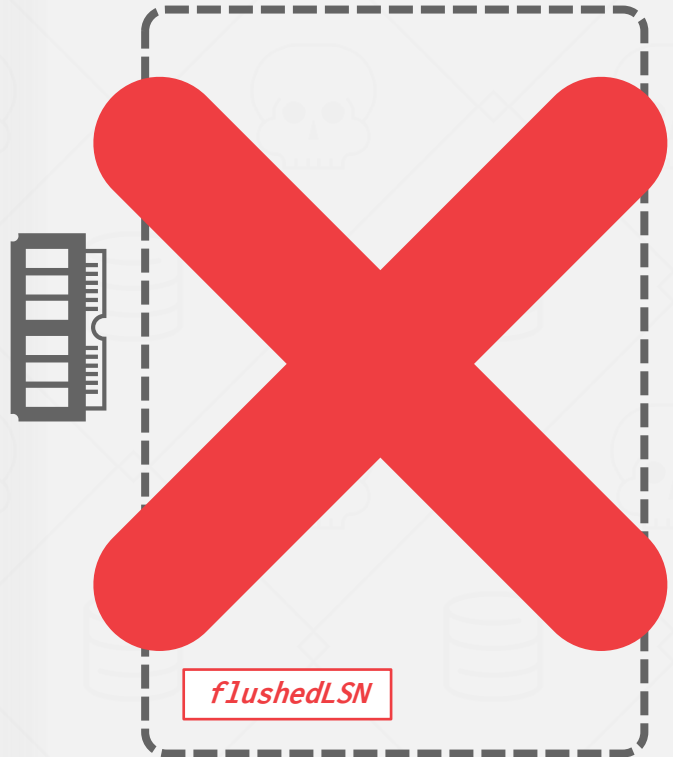
<i>LSN</i>	<i>LOG</i>
00,05	<CHECKPOINT-BEGIN>, <CHECKPOINT-END>
10	<T <sub>1</sub> , A→P <sub>5</sub> , 1, 2>
20	<T <sub>2</sub> , B→P <sub>3</sub> , 2, 3>
30	<T <sub>1</sub> <b>ABORT</b> >
40,45	<CLR: Undo T <sub>1</sub> LSN 10>, <T <sub>1</sub> <b>TXN-END</b> >
50	<T <sub>3</sub> , C→P <sub>1</sub> , 4, 5>
60	<T <sub>2</sub> , D→P <sub>5</sub> , 6, 7>
	X <b>CRASH! RESTART!</b>
70	<CLR: Undo T <sub>2</sub> LSN 60, UndoNext 20>

# FULL EXAMPLE



Flush dirty pages + WAL to disk!

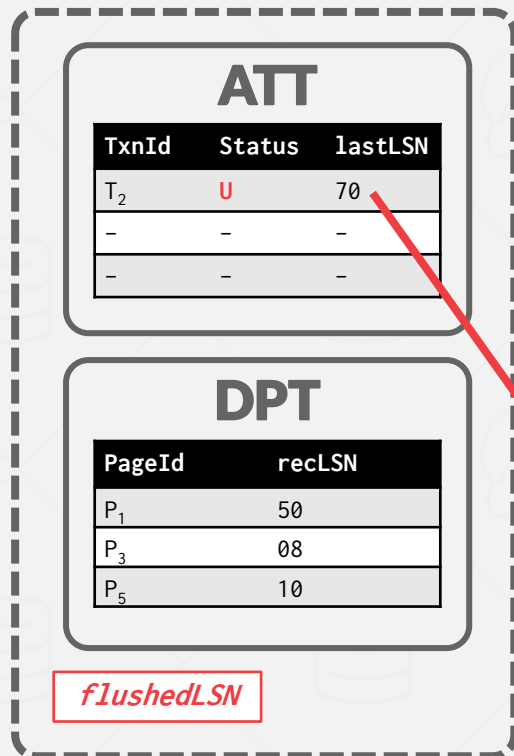
# FULL EXAMPLE



LSN	LOG
00,05	<CHECKPOINT-BEGIN>, <CHECKPOINT-END>
10	<T <sub>1</sub> , A→P <sub>5</sub> , 1, 2>
20	<T <sub>2</sub> , B→P <sub>3</sub> , 2, 3>
30	<T <sub>1</sub> ABORT>
40,45	<CLR: Undo T <sub>1</sub> LSN 10>, <T <sub>1</sub> TXN-END>
50	<T <sub>3</sub> , C→P <sub>1</sub> , 4, 5>
60	<T <sub>2</sub> , D→P <sub>5</sub> , 6, 7>
	<del>X</del> CRASH! RESTART!
70	<CLR: Undo T <sub>2</sub> LSN 60, UndoNext>
80,85	<CLR: Undo T <sub>3</sub> LSN 50>, <T <sub>3</sub> TXN-END>
	<del>X</del> CRASH! RESTART!

*Flush dirty pages + WAL to disk!*

# FULL EXAMPLE



<i>LSN</i>	<i>LOG</i>
00,05	<CHECKPOINT-BEGIN>, <CHECKPOINT-END>
10	<T <sub>1</sub> , A→P <sub>5</sub> , 1, 2>
20	<T <sub>2</sub> , B→P <sub>3</sub> , 2, 3>
30	<T <sub>1</sub> ABORT>
40,45	<CLR: Undo T <sub>1</sub> LSN 10>, <T <sub>1</sub> TXN-END>
50	<T <sub>3</sub> , C→P <sub>1</sub> , 4, 5>
60	<T <sub>2</sub> , D→P <sub>5</sub> , 6, 7>
	✗ CRASH! RESTART!
70	<CLR: Undo T <sub>2</sub> LSN 60, UndoNext 20>
80,85	<CLR: Undo T <sub>3</sub> LSN 50>, <T <sub>3</sub> TXN-END>
	✗ CRASH! RESTART!
90,95	<CLR: Undo T <sub>2</sub> LSN 20>, <T <sub>2</sub> TXN-END>

# ADDITIONAL CRASH ISSUES (1)

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*What does the DBMS do if it crashes during recovery in the Analysis Phase?*

→ Nothing. Just run recovery again.

*What does the DBMS do if it crashes during recovery in the Redo Phase?*

→ Again nothing. Redo everything again.

## ADDITIONAL CRASH ISSUES (2)

---

*How can the DBMS improve performance during recovery in the Redo Phase?*

- Assume that it is not going to crash again and flush all changes to disk asynchronously in the background.

*How can the DBMS improve performance during recovery in the Undo Phase?*

- Lazily rollback changes before new txns access pages.
- Rewrite the application to avoid long-running txns.

# CONCLUSION

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## Mains ideas of ARIES:

- WAL with **STEAL/NO-FORCE**
- Fuzzy Checkpoints (snapshot of dirty page ids)
- Redo everything since the earliest dirty page
- Undo txns that never commit
- Write **CLRs** when undoing, to survive failures during restarts

## Log Sequence Numbers:

- **LSNs** identify log records; linked into backwards chains per transaction via **prevLSN**.
- **pageLSN** allows comparison of data page and log records.

# NEXT CLASS

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You now know how to build a single-node DBMS.

So now we can talk about distributed databases!