Carnegie Mellon University

Database Systems

Storage Models & Data Compression



ADMINISTRIVIA

Homework #2 is due Sept 22nd @ 11:59pm

Project #1 is due Sept 29th @ 11:59pm

 \rightarrow Recitation on Wed Sept 18th @ 6:00pm



UPCOMING DATABASE EVENTS

CMU-DB Industry Affiliates Visit Day

- → Monday Sept 16th: Research Talks + Poster Session
- → Tuesday Sept 17th: Company Info Sessions
- \rightarrow All events are open to the public.

Carnegie
Mellon
University
Database Group
Industry Affiliates

Sign-up for Company Info Sessions (<u>@61</u>)
Add your Resume if You Want to Make \$\$\$ (<u>@92</u>)



LAST CLASS

We discussed storage architecture alternatives to tuple-oriented scheme.

- → Log-structured storage
- \rightarrow Index-organized storage

These approaches are ideal for write-heavy (INSERT/UPDATE/DELETE) workloads.

But the most important query for some workloads may be read (**SELECT**) performance...



TODAY'S AGENDA

Database Workloads

Storage Models

Data Compression

DB Flash Talk: StarTree



DATABASE WORKLOADS

On-Line Transaction Processing (OLTP)

→ Fast operations that only read/update a small amount of data each time.

On-Line Analytical Processing (OLAP)

→ Complex queries that read a lot of data to compute aggregates.

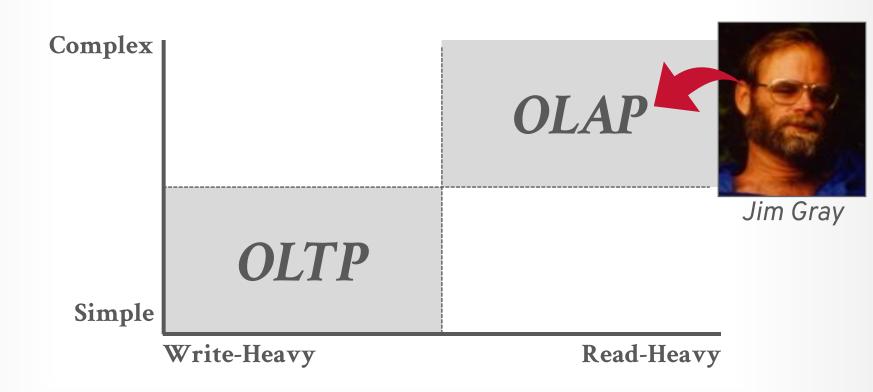
Hybrid Transaction + Analytical Processing

→ OLTP + OLAP together on the same database instance



DATABASE WORKLOADS



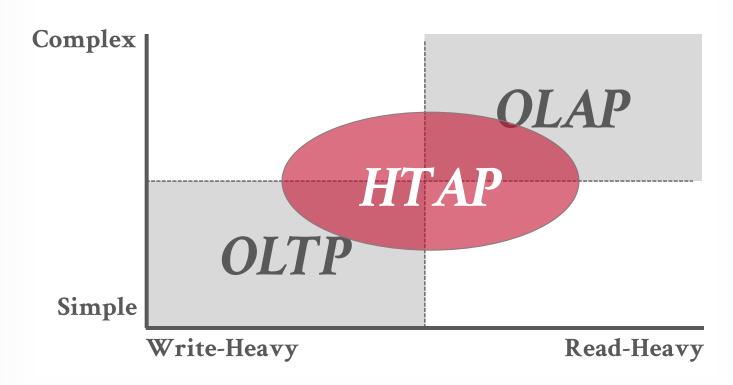






DATABASE WORKLOADS

Operation Complexity





WIKIPEDIA EXAMPLE

```
CREATE TABLE useracct (
                                 CREATE TABLE pages (
  userID INT PRIMARY KEY,
                                    pageID INT PRIMARY KEY,
  userName VARCHAR UNIQUE,
                                    title VARCHAR UNIQUE,
                                    latest INT
                                   ◆ REFERENCES revisions (revID),
         CREATE TABLE revisions (
           revID INT PRIMARY KEY,
          userID INT REFERENCES useracct (userID),
           pageID INT REFERENCES pages (pageID),
           content TEXT,
           updated DATETIME
```



OBSERVATION

The relational model does <u>not</u> specify that the DBMS must store all a tuple's attributes together in a single page.

This may <u>not</u> actually be the best layout for some workloads...



OLTP

On-line Transaction Processing:

→ Simple queries that read/update a small amount of data that is related to a single entity in the database.

This is usually the kind of application that people build first.

```
SELECT P.*, R.*
  FROM pages AS P
  INNER JOIN revisions AS R
   ON P.latest = R.revID
WHERE P.pageID = ?
```

```
UPDATE useracct
   SET lastLogin = NOW(),
      hostname = ?
WHERE userID = ?
```

```
INSERT INTO revisions
VALUES (?,?...,?)
```

OLAP

On-line Analytical Processing:

→ Complex queries that read large portions of the database spanning multiple entities.

You execute these workloads on the data you have collected from your OLTP application(s).

```
SELECT COUNT(U.lastLogin),
EXTRACT(month FROM
U.lastLogin) AS month
FROM useracct AS U
WHERE U.hostname LIKE '%.gov'
GROUP BY
EXTRACT(month FROM U.lastLogin)
```



STORAGE MODELS

- A DBMS's **storage model** specifies how it physically organizes tuples on disk and in memory.
- → Can have different performance characteristics based on the target workload (OLTP vs. OLAP).
- → Influences the design choices of the rest of the DBMS.

Choice #1: N-ary Storage Model (NSM)

Choice #2: Decomposition Storage Model (DSM)

Choice #3: Hybrid Storage Model (PAX)



N-ARY STORAGE MODEL (NSM)

The DBMS stores (almost) all attributes for a single tuple contiguously in a single page.

→ Also commonly known as a **row store**

Ideal for OLTP workloads where queries are more likely to access individual entities and execute writeheavy workloads.

NSM database page sizes are typically some constant multiple of 4 KB hardware pages.

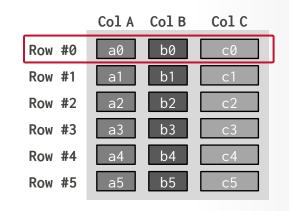
→ See Lecture #03

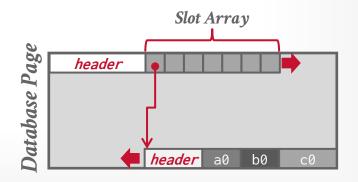


NSM: PHYSICAL ORGANIZATION

A disk-oriented NSM system stores a tuple's fixed-length and variable-length attributes contiguously in a single slotted page.

The tuple's **record id** (page#, slot#) is how the DBMS uniquely identifies a physical tuple.



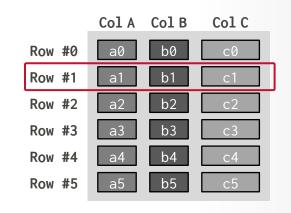


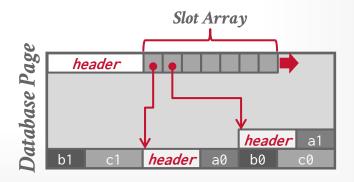


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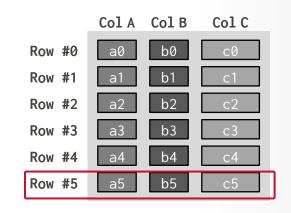


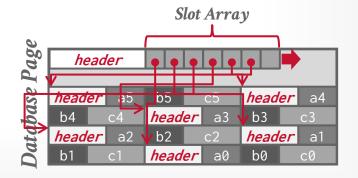


NSM: PHYSICAL ORGANIZATION

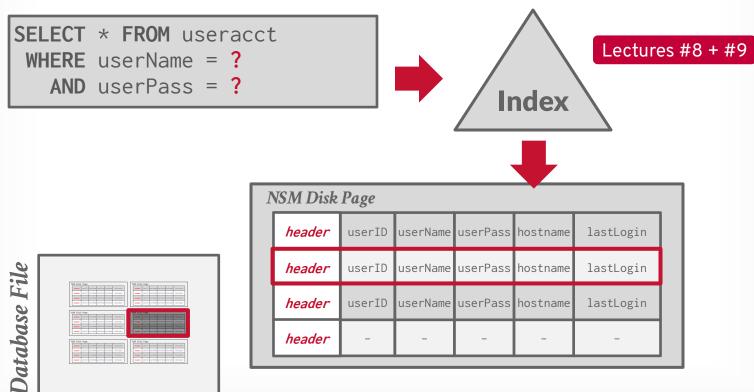
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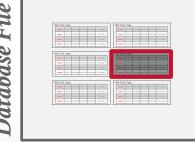




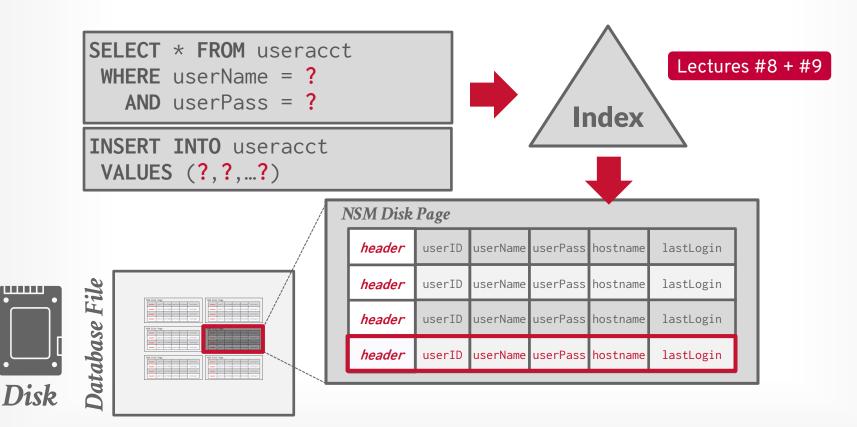












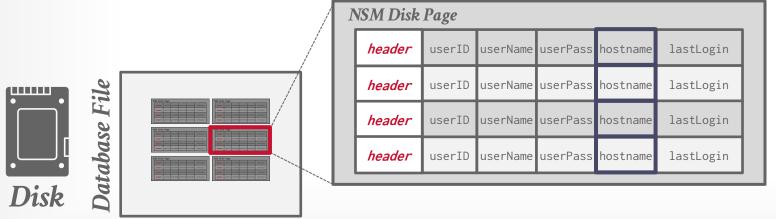


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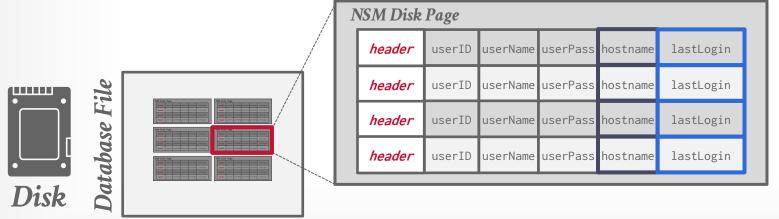


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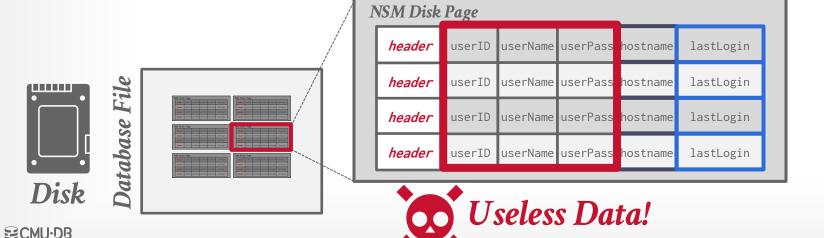


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15-445/645 (Fall 2024)

NSM: SUMMARY

Advantages

- → Fast inserts, updates, and deletes.
- \rightarrow Good for queries that need the entire tuple (OLTP).
- \rightarrow Can use index-oriented physical storage for clustering.

Disadvantages

- → Not good for scanning large portions of the table and/or a subset of the attributes.
- → Terrible memory locality in access patterns.
- → Not ideal for compression because of multiple value domains within a single page.



DECOMPOSITION STORAGE MODEL (DSM)

Store a single attribute for all tuples contiguously in a block of data.

→ Also known as a "column store"

Ideal for OLAP workloads where read-only queries perform large scans over a subset of the table's attributes.

DBMS is responsible for combining/splitting a tuple's attributes when reading/writing.

A DECOMPOSITION STORAGE MODEL

George P Copeland Setrag N Khoshafian

Microelectronics And Technology Computer Corporation 9430 Research Blvd

Abstrac

This report examines the relative advantages of a storage model based on decomposition (or community view relations into binary relations containing a surrogate and one attribute) over conventional n-ary storage models

There seems to be a general consenses among the database consensity that the newly approach is consideration of only one or two diseases of a database system. The purpose of this report is not claim that the consenses opinion is not well founded and that enther is clearly better until a closer enalysis is said along the sany diseases opinion is not sell founded and that enther is clearly better until a closer enalysis is said along the sany diseases of the consenses opinion is not well founded and there is no strong the consense opinion in the claim to the consense opinion is not well as to sove further in both scope and depth towers such as analysis we examine such diseasions as update performance and retrievel performance.

1 INTRODUCTIO

Nost database systems use an 1-ary storage node (BRM) for a set of records This approach stores data as seen in the conceptual schema Also, various inverted file or cluster indexes might be added for improved access speeds The key concept in the HSM is that all attributes of a conceptual schema record are stored together For example, the conceptual schema relation

> R sur a1 a2 a3 a1 v11 v21 v31 s2 v12 v22 v32 s3 v13 v23 v33

contains a surrogate for record identity and three attributes per record The MSM would store si, vii, v21 and v3i together for each record i

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Some database systems use a fully transposed storage model, for example. Microse and Symmodtorage model, for for example. Microse and Symmodet al 1979). AddS (derest and Thomas 1981). Onte (Shibayess et al 1982) and (Iranska 1983). This approach stores all values of the same extribute of studies have compared the performance of transposed storage models with the SMR [Inform 1976. Mature 1984). It has report, we describe the adventages of a fully decomposed storage model [1989), which is included. The SDM pairs such stribute values with the surregate of its conceptual shows record in a would be storage of Fer example. The above relation

| s1 | v21 | s2 | sur | v31 | s3 | sur | v31 | s1 | v21 | s1 | v21 | s1 | v21 | s2 | v22 | s2 | v22 | s3 | v31 | s3 | v32 | s3 | v32 | s3 | v32 | s3 | v33 | v33 | s3 | v33 | v33 | s3 | v33 | v33 | s3 | v33 | v33 | s3 | v33 | v33

In addition, the DBN stores two copies of each attribute relation one copy is clustered on the value while the other is clustered on the surrogate These statements apply only to be surrogate These statements apply only to be relational model, intermediate and final results need an e-my representation if a richer dismansiance and the surrogate and the s

This report compares these two storage models based on several criteria Section 2 compares the relative complexity and generality of the two storage models Section 3 compares their storage models Section 5 compares their retrieval performance Section 5 compares their retrieval performance Finnelly. Section 6 provides a nummary and suggests some refinements for the DNM

2 SIMPLICITY AND GENERALITY

This Section compares the two storage models of illustrate their relative simplicity and generality "Others (Abrial 1974, Deliyamai and Kowaliski 1977, Kowaliki 1978, Codd 1979) have argued for the semantic clearity and generality of representing each besic fact individually mithin the conceptual schema as the DBM does within the

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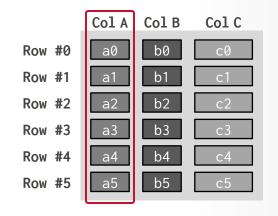


DSM: PHYSICAL ORGANIZATION

Store attributes and meta-data (e.g., nulls) in separate arrays of **fixed-length** values.

- → Most systems identify unique physical tuples using offsets into these arrays.
- → Need to handle variable-length values...

Maintain separate pages per attribute with a dedicated header area for metadata about entire column.





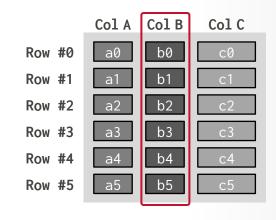


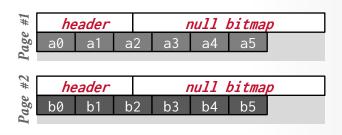
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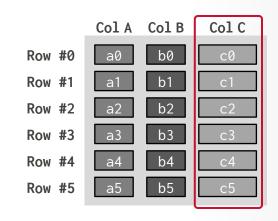


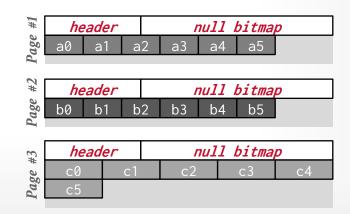
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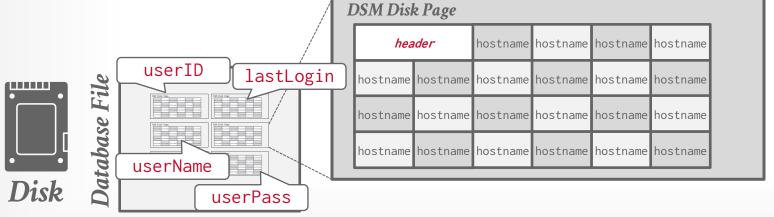




header	userID	userName	userPass	hostname	lastLogin
header	userID	userName	userPass	hostname	lastLogin
header	userID	userName	userPass	hostname	lastLogin
header	userID	userName	userPass	hostname	lastLogin

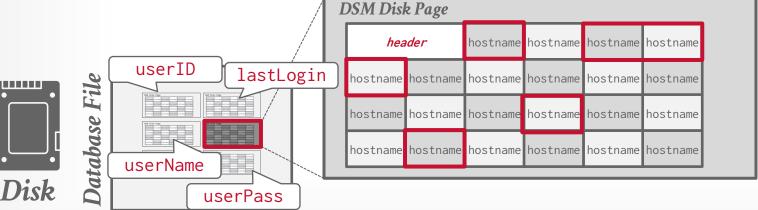


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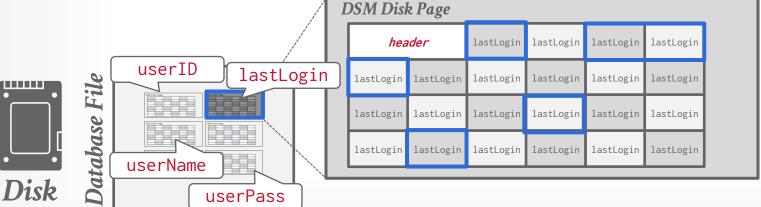
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```





DSM: TUPLE IDENTIFICATION

Choice #1: Fixed-length Offsets

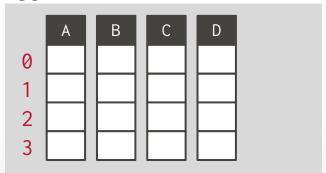
 \rightarrow Each value is the same length for an attribute.



Choice #2: Embedded Tuple Ids

 \rightarrow Each value is stored with its tuple id in a column.

Offsets



Embedded Ids

	Α		В		С		D
0		0		0		0	
1		1		1		1	
2		2		2		2	
3		3		3		3	



DSM: VARIABLE-LENGTH DATA

Padding variable-length fields to ensure they are fixed-length is wasteful, especially for large attributes.

A better approach is to use *dictionary compression* to convert repetitive variable-length data into fixed-length values (typically 32-bit integers).

→ More on this later in this lecture...



DECOMPOSITION STORAGE MODEL (DSM)

Advantages

- → Reduces the amount wasted I/O per query because the DBMS only reads the data that it needs.
- → Faster query processing because of increased locality and cached data reuse (<u>Lecture #13</u>).
- → Better data compression.

Disadvantages

→ Slow for point queries, inserts, updates, and deletes because of tuple splitting/stitching/reorganization.



OBSERVATION

OLAP queries almost never access a single column in a table by itself.

→ At some point during query execution, the DBMS must get other columns and stitch the original tuple back together.

But we still need to store data in a columnar format to get the storage + execution benefits.

We need columnar scheme that still stores attributes separately but keeps the data for each tuple physically close to each other...



PAX STORAGE MODEL

Partition Attributes Across (PAX) is a hybrid storage model that vertically partitions attributes within a database page.

→ Examples: <u>Parquet</u>, <u>ORC</u>, and <u>Arrow</u>.

The goal is to get the benefit of <u>faster</u> <u>processing</u> on columnar storage while retaining the <u>spatial locality</u> benefits of row storage.

Weaving Relations for Cache Performance

ment [20][29][32]

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Mark D. Hill Marios Skounakis
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Abstract

Relational database systems have traditionally optimzed for 1/O performance and organized records sequentially on disk pages using the N-ary Storage Model (NSM) (a.k.a., slotted pages). Recent research, however, indicates that cache utilization and performance is becomine increasingly important on modern platforms. In this paper we first demonstrate that in-page data placement is the key to high cache performance and that NSM exhibits low cache utilization on modern platforms. Next, we propose a new data organization model called PAX (Partition Attributes Across), that significantly improves cache performance by grouping together all values of each attribute within each page. Because PAX only affects layout inside the pages, it incurs no storage penalty and does not affect I/O behavior. According to our experimental results, when compared to NSM (a) PAX exhibits superior cache and memory bandwidth utilization, saving at least 75% of NSM's stall time due to data cache accesses, (b) range selection queries and updates on memoryresident relations execute 17-25% faster, and (c) TPC-H queries involving I/O execute 11-48% faster.

1 Introduction

The communication between the CPU and the secondary storage (I/O) has been traditionally recognized as the major database performance bottleneck. To opinize data transfer to and from mass storage, relational DIMSe have long organized records in shotted disk, pages using the Nary Storage Model (165M). NSM store records contiguues an offset (slot) sibble at the end of the page to locate the beginning of each record [27].

the beginning of each record [27]. Unfortunately, most queries use only a fraction of each record. To minimize unnecessary 100, the Decomposition Storage Model (DSM) was proposed in 1985 [10]. DSM partitions an n-attribute relation vertically into n-bar-leations, each of which is accessed only when the corresponding attribute is needed. Queries that involve multiple attributes from a relation, however, must spend multiple attributes from a relation, however, must spend

Week door white unter was at the University of Wisconsish Malacon Fermission to cape without feet all on part of this material is passed apwhell that the capeta are not made or distributed for fineer commercial advantage, the VIDD copyright native and the tile of the pallufaction and in date appear, and make it given that exprise a los permission of the VIV Jungs Dana Bare Dadorment. To evoy of nebrules, or a republic, the particular of the Commercial Conference of the VIDD Conference of the Proceedings of the 2Th VLDB Conference, tremendous additional time to join the participating subrelations together. Except for Sybase-IQ [33], today's relational DBMSs use NSM for general-purpose data place-

Recent research has demonstrated that modern diabases workloads, such a decision support systems and spatial applications, are often bound by delays related to the processor and the memory subsystem rather than 100 [2015][15.6]. When running commercial database systems on a modern processor, data requests that miss in the cache hierarchy (i.e., requests for data that are not found in any of the caches and are transferred from anim memory) are a key memory bordineck (1). In addition, only if a faction of the cache with cache processing algorithm requests and the transfer unit between the memory and the processor are typically not the same size. Londing the cache with suchess.

data (a) wastes bandwidth, (b) pollutes the cache, and (c)

possibly forces replacement of information that may be

needed in the future, incurring even more delays. The challenge is to repair NSM's cache behavior without com-

promising its advantages over DSM. This paper introduces and evaluates Partition Attributes Across (PAX), a new layout for data records that combines the best of the two worlds and exhibits performance superior to both placement schemes by eliminating unnecessary accesses to main memory. For a given relation, PAX stores the same data on each page as NSM. Within each page, however, PAX groups all the values of a particular attribute together on a minipage. During a sequential scan (e.g., to apply a predicate on a fraction of the record), PAX fully utilizes the cache resources, because on each miss a number of a single attribute's values are loaded into the cache together. At the same time, all parts of the record are on the same page. To reconstruct a record one needs to perform a mini-join among minimages, which incurs minimal cost because it does not have to look beyond the nage.

nave to look Beyond the page.
We evaluated PAX against NSM and DSM using (a) predicate selection queries on numeric data and 10) a variety of queries on TPC-H datasets on top of the Shree stora gae manager 17]. We vary query parameters including selectivity, proceedivity, number of predicates, distance between the projected attribute and the attribute in the predicate, and the proper of the relation. The experimental results show that, when compared to NSM, PAX (a) incurs 50-75% [sewer scond-level cache misses due to data.

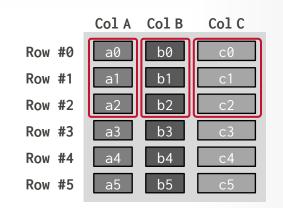
PAX: PHYSICAL ORGANIZATION

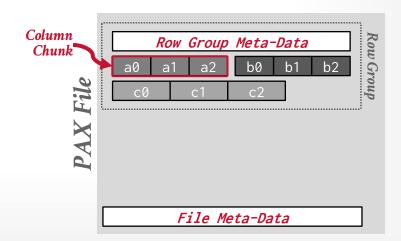
Horizontally partition data into *row groups*. Then vertically partition their attributes into *column chunks*.

Global meta-data directory contains offsets to the file's row groups.

→ This is stored in the footer if the file is immutable (Parquet, Orc).

Each row group contains its own meta-data header about its contents.







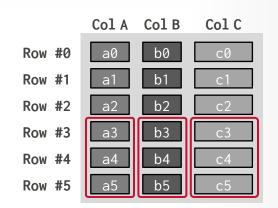
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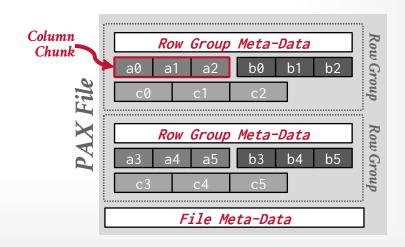
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PAX: PHYSICAL ORGANIZATION

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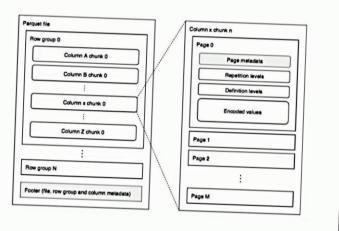
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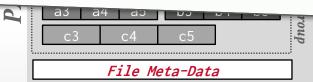
Each row group con *databricks

Parquet: data organization

- Data organization
 - Row-groups (default 128MB)
 - Column chunks
 - Pages (default 1MB)
 - Metadata
 - Min
 - Max
 - Count
 - Rep/def levels
 - Encoded values



meta-data header about its contents.





OBSERVATION

I/O is the main bottleneck if the DBMS fetches data from disk during query execution.

The DBMS can **compress** pages to increase the utility of the data moved per I/O operation.

Key trade-off is speed vs. compression ratio

- \rightarrow Compressing the database reduces DRAM requirements.
- \rightarrow It <u>may</u> decrease CPU costs during query execution.



DATABASE COMPRESSION

Goal #1: Must produce fixed-length values.

 \rightarrow Only exception is var-length data stored in separate pool.

Goal #2: Postpone decompression for as long as possible during query execution.

→ Also known as <u>late materialization</u>.

Goal #3: Must be a <u>lossless</u> scheme.

- → People (typically) don't like losing data.
- \rightarrow Any <u>lossy</u> compression must be performed by application.



COMPRESSION GRANULARITY

Choice #1: Block-level

→ Compress a block of tuples for the same table.

Choice #2: Tuple-level

 \rightarrow Compress the contents of the entire tuple (NSM-only).

Choice #3: Attribute-level

- \rightarrow Compress a single attribute within one tuple (overflow).
- \rightarrow Can target multiple attributes for the same tuple.

Choice #4: Column-level

→ Compress multiple values for one or more attributes stored for multiple tuples (DSM-only).



NAÏVE COMPRESSION

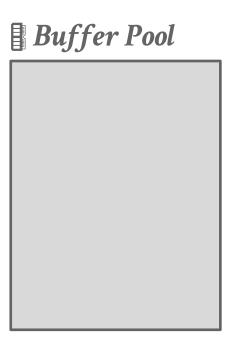
Compress data using a general-purpose algorithm. Scope of compression is only based on the data provided as input.

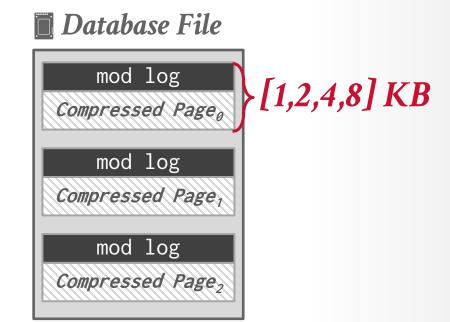
→ <u>LZO</u> (1996), <u>LZ4</u> (2011), <u>Snappy</u> (2011), <u>Oracle OZIP</u> (2014), <u>Zstd</u> (2015)

Considerations

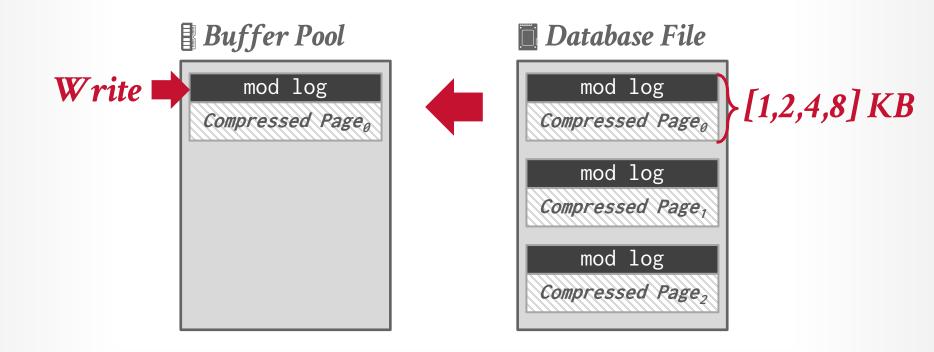
- → Computational overhead
- → Compress vs. decompress speed.



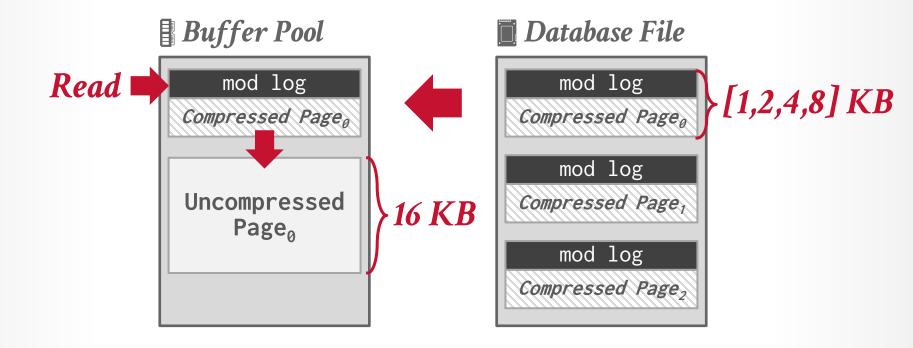






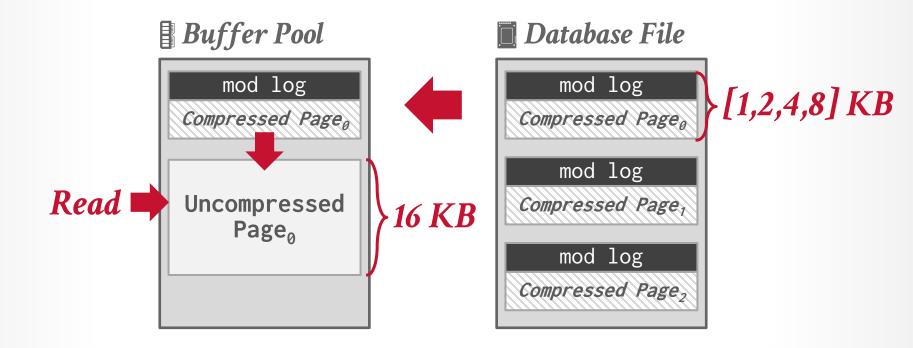








Source: MySQL 5.7 Documentation





NAÏVE COMPRESSION

The DBMS must decompress data first before it can be read and (potentially) modified.

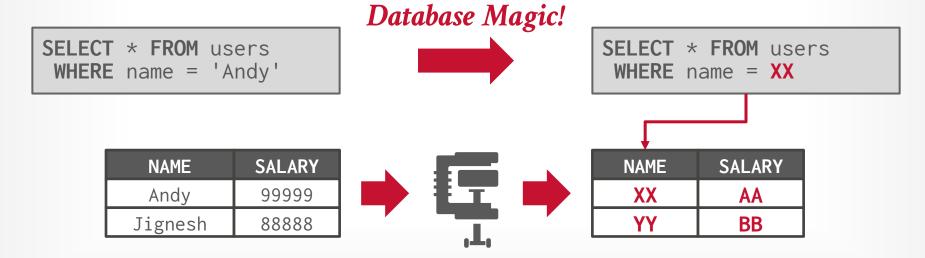
 \rightarrow This limits the "scope" of the compression scheme.

These schemes also do not consider the high-level meaning or semantics of the data.



OBSERVATION

Ideally, we want the DBMS to operate on compressed data without decompressing it first.





COMPRESSION GRANULARITY

Choice #1: Block-level

 \rightarrow Compress a block of tuples for the same table.

Choice #2: Tuple-level

 \rightarrow Compress the contents of the entire tuple (NSM-only).

Choice #3: Attribute-level

- \rightarrow Compress a single attribute within one tuple (overflow).
- \rightarrow Can target multiple attributes for the same tuple.

Choice #4: Column-level

→ Compress multiple values for one or more attributes stored for multiple tuples (DSM-only).



COLUMNAR COMPRESSION

Run-length Encoding

Bit-Packing Encoding

Bitmap Encoding

Delta / Frame-of-Reference Encoding

Incremental Encoding

Dictionary Encoding



Compress runs of the same value in a single column into triplets:

- \rightarrow The value of the attribute.
- \rightarrow The start position in the column segment.
- \rightarrow The # of elements in the run.

Requires the columns to be sorted intelligently to maximize compression opportunities.



Original Data





id	isDead
1	(Y,0,3)
2	(N,3,1)
3	(Y,4,1)
4	(N,5,1)
6	(Y,6,2)
7	RLE Triplet
8	- Value
9	- Offset
	- Length



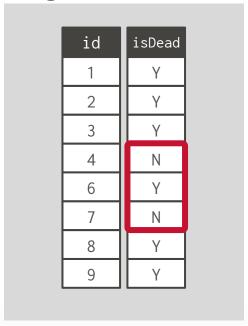
SELECT isDead, COUNT(*)
 FROM users
GROUP BY isDead



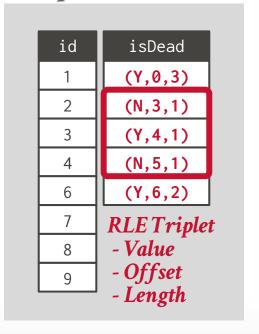
id	isDead
1	(Y,0,3)
2	(N,3,1)
3	(Y,4,1)
4	(N,5,1)
6	(Y,6,2)
7	RLE Triplet
8	- Value
9	- Offset
	- Length



Original Data









Sorted Data





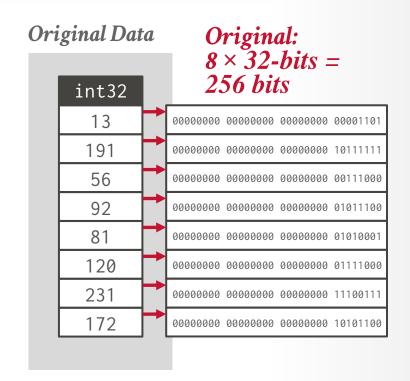
id	isDead
1	(Y,0,6)
2	(N,7,2)
3	
6	
8	
9	
4	
7	



BIT PACKING

If the values for an integer attribute is smaller than the range of its given data type size, then reduce the number of bits to represent each value.

Use bit-shifting tricks to operate on multiple values in a single word.

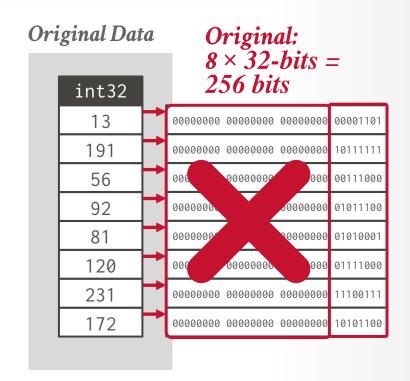




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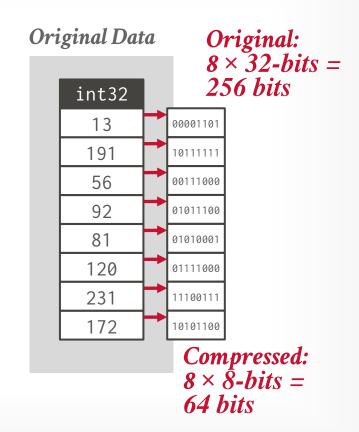




BIT PACKING

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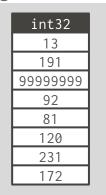
PATCHING / MOSTLY ENCODING

A variation of bit packing for when an attribute's values are "mostly" less than the largest size, store them with smaller data type.

→ The remaining values that cannot be compressed are stored in their raw form.

Original Data

Original: 8 × 32-bits = 256 bits





Compressed Data

mostly8	offset	value
13	3	99999999
181		
XXX		
92		
81		
120		
231		
172		

Compressed: (8 × 8-bits) + 16-bits + 32-bits = 112 bits

Source: Redshift Documentation

ECMU-DB15-445/645 (Fall 2024)

BITMAP ENCODING

Store a separate bitmap for each unique value for an attribute where an offset in the vector corresponds to a tuple.

- \rightarrow The ith position in the Bitmap corresponds to the ith tuple in the table.
- → Typically segmented into chunks to avoid allocating large blocks of contiguous memory.

Only practical if the value cardinality is low.

Some DBMSs provide bitmap indexes.

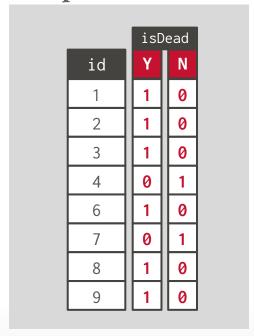


BITMAP ENCODING

Original Data



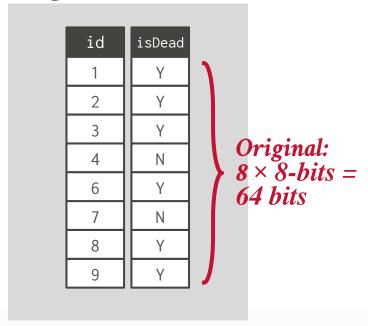


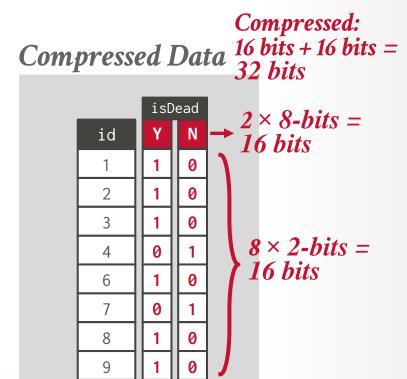




BITMAP ENCODING

Original Data





BITMAP ENCODING: EXAMPLE

Assume we have 10 million tuples. 43,000 zip codes in the US.

- \rightarrow 100000000 × 32-bits = 40 MB
- \rightarrow 10000000 × 43000 = 53.75 GB

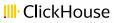
Every time the application inserts a new tuple, the DBMS must extend 43,000 different bitmaps.

```
CREATE TABLE customer (
  id INT PRIMARY KEY,
  name VARCHAR(32),
  email VARCHAR(64),
  address VARCHAR(64),
 zip_code INT
```

There are compressed data structures

for sparse data sets:

→ Roaring Bitmaps













Recording the difference between values that follow each other in the same column.

 \rightarrow Store base value in-line or in a separate look-up table.

Original Data

time64	temp
12:00	99.5
12:01	99.4
12:02	99.5
12:03	99.6
12:04	99.4



Recording the difference between values that follow each other in the same column.

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Original Data

time64	temp
12:00	99.5
12:01	99.4
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12:03	99.6
12:04	99.4



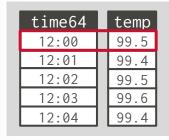
time64	temp
12:00	99.5
+1	-0.1
+1	+0.1
+1	+0.1
+1	-0.2



Recording the difference between values that follow each other in the same column.

- \rightarrow Store base value in-line or in a separate look-up table.
- → Combine with RLE to get even better compression ratios.

Original Data



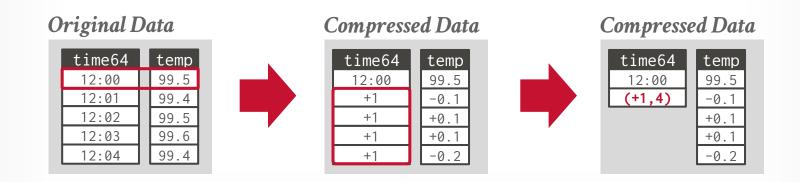


time64	temp
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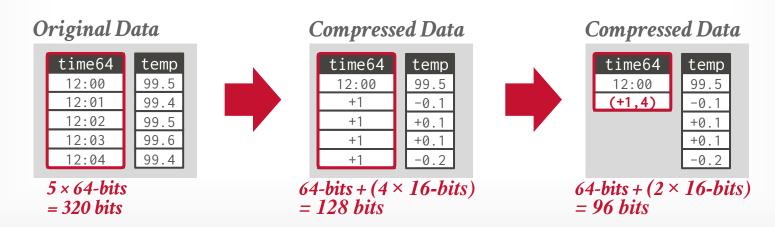




Recording the difference between values that follow each other in the same column.

- \rightarrow Store base value in-line or in a separate look-up table.
- → Combine with RLE to get even better compression ratios.

Frame-of-Reference Variant: Use global min value.





DICTIONARY COMPRESSION

Replace frequent values with smaller fixed-length codes and then maintain a mapping (dictionary) from the codes to the original values

- \rightarrow Typically, one code per attribute value.
- → Most widely used native compression scheme in DBMSs.

The ideal dictionary scheme supports fast encoding and decoding for both point and range queries.

- → **Encode/Locate:** For a given uncompressed value, convert it into its compressed form.
- → **Decode/Extract:** For a given compressed value, convert it back into its original form.



DICTIONARY: ORDER-PRESERVING

The encoded values need to support the same collation as the original values.

Original Data





name	
10	
40	
20	
30	
10	ı

value	code
Andrea	10
Andy	20
Jignesh	30
Mr.Pickles	40





DICTIONARY: ORDER-PRESERVING

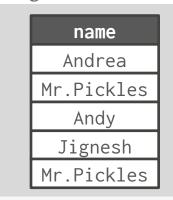
The encoded values need to support the same collation as the original values.

SELECT * FROM users
WHERE name LIKE 'And%'



SELECT * FROM users
WHERE name BETWEEN 10 AND 20

Original Data





	name
L	10
E	40
	20
	30
Γ	10

value	code
Andrea	10
Andy	20
Jignesh	30
Mr.Pickles	40





ORDER-PRESERVING ENCODING

SELECT name FROM users
WHERE name LIKE 'And%'



Still must perform scan on column

SELECT DISTINCT name
FROM users
WHERE name LIKE 'And%'



Only need to access dictionary

Original Data





	name
	10
	40
	20
	30
ſ	40

value	code
Andrea	10
Andy	20
Jignesh	30
Mr.Pickles	40





CONCLUSION

It is important to choose the right storage model for the target workload:

- \rightarrow OLTP = Row Store
- \rightarrow OLAP = Column Store

DBMSs can combine different approaches for even better compression.

Dictionary encoding is probably the most useful scheme because it does not require pre-sorting.



DATABASE STORAGE

Problem #1: How the DBMS represents the database in files on disk.

Problem #2: How the DBMS manages its memory and moves data back-and-forth from disk.



