

 Intro to Database Systems (15-445/645)

# 06 Memory Management

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# ADMINISTRIVIA

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Homework 1 due last Friday (Feb 3<sup>rd</sup>).

Homework 2 available today, due February 17<sup>th</sup>.

Project 1 available, due February 19<sup>th</sup>.

# DATABASE STORAGE

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**Problem #1:** How the DBMS represents the database in files on disk.

**Problem #2:** How the DBMS manages its memory and move data back-and-forth from disk.

# DATABASE STORAGE

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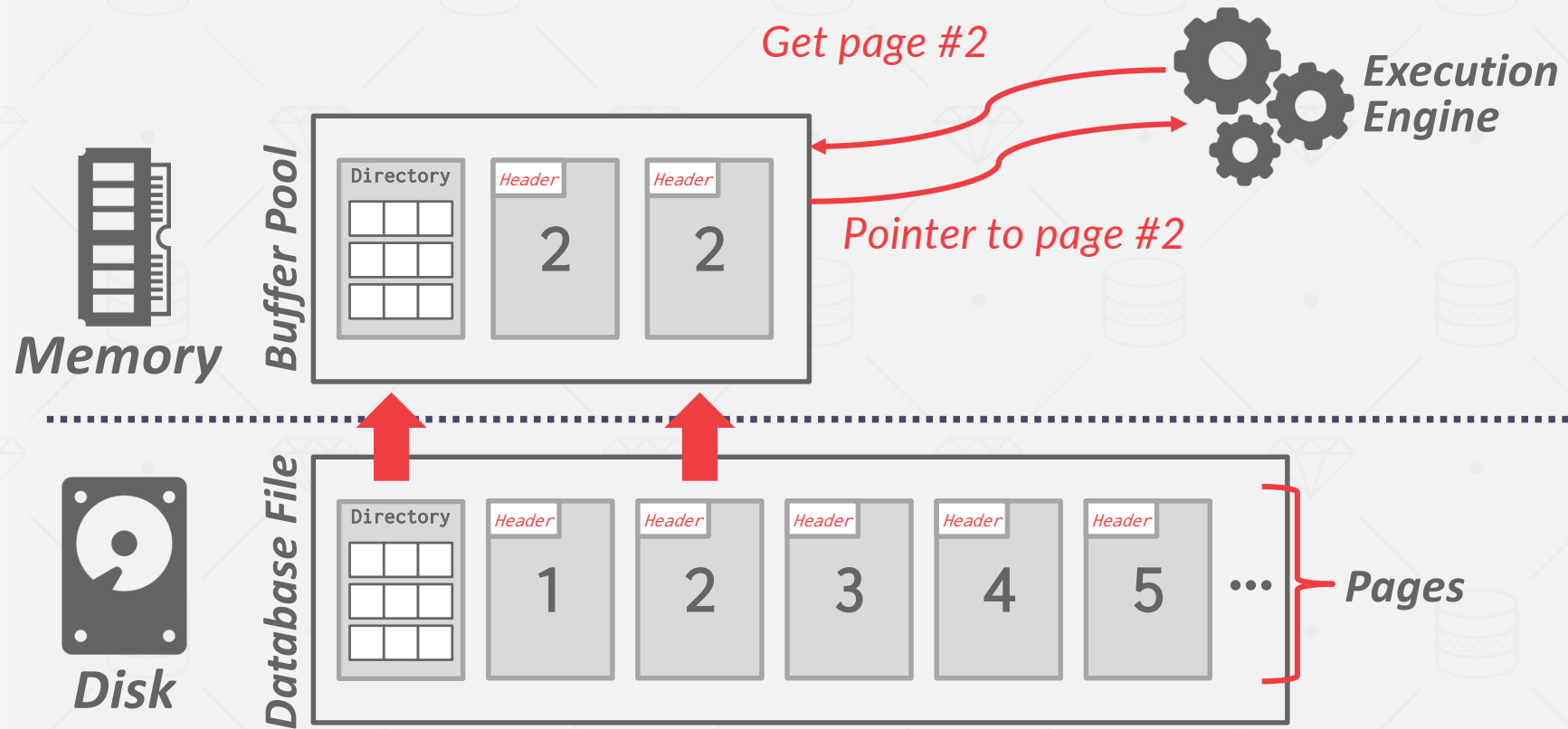
## **Spatial Control:**

- Where to write pages on disk.
- The goal is to keep pages that are used together often as physically close together as possible on disk.

## **Temporal Control:**

- When to read pages into memory, and when to write them to disk.
- The goal is to minimize the number of stalls from having to read data from disk.

# DISK-ORIENTED DBMS



# TODAY'S AGENDA

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Buffer Pool Manager  
Replacement Policies  
Other Memory Pools

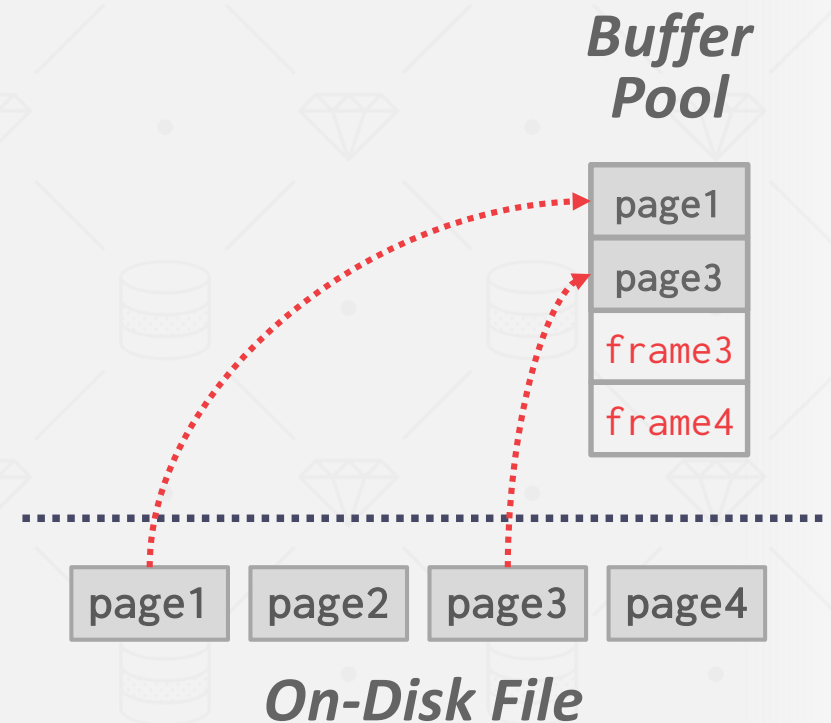
# BUFFER POOL ORGANIZATION

Memory region organized as an array of fixed-size pages.

An array entry is called a frame.

When the DBMS requests a page, an exact copy is placed into one of these frames.

Dirty pages are buffered and not written to disk immediately  
→ Write-Back Cache

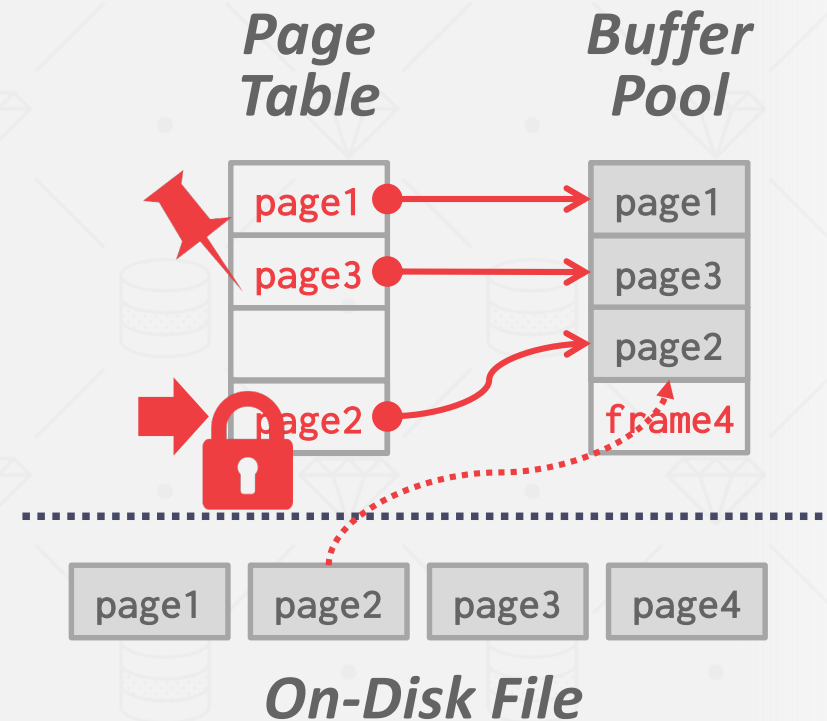


## BUFFER POOL META-DATA

The page table keeps track of pages that are currently in memory.

Also maintains additional meta-data per page:

- **Dirty Flag**
- **Pin/Reference Counter**





## Locks:

- Protect
- transa
- Held
- Need

## Latches

- Protect
- struc
- Held
- Do 1

cppreference.com

Create account

Page Discussion

C++ Concurrency

std::latch

Defined in header `<latch>`

```
class latch;
```

The latch class is a downwaiter. The counter is initialized on creation. It can be decremented by threads to synchronize threads. The value of the counter is decremented to zero. There is no possibility to increase or reset the counter.

Concurrent invocations of the member functions are safe.

Unlike `std::barrier`, `std::latch` can be used in a destructor, do not introduce data races.

Member functions

(constructor)	constructs a latch
(destructor)	destroys the latch
<code>operator=</code> [deleted]	latch is not assignable
<code>count_down</code>	decrements the counter
<code>try_wait</code>	tests if the counter is zero
<code>wait</code>	blocks until the counter reaches zero
<code>arrive_and_wait</code>	arrives at the counter and blocks until it reaches zero

Constants

<code>max</code> [static]	the maximum value of counter supported by the implementation
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utex

## PAGE TABLE VS. PAGE DIRECTORY

The page directory is the mapping from page ids to page locations in the database files.

→ All changes must be recorded on disk to allow the DBMS to find on restart.

The page table is the mapping from page ids to a copy of the page in buffer pool frames.

→ This is an in-memory data structure that does not need to be stored on disk.

# ALLOCATION POLICIES

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## **Global Policies:**

→ Make decisions for all active queries.

## **Local Policies:**

→ Allocate frames to a specific queries without considering the behavior of concurrent queries.

→ Still need to support sharing pages.

# BUFFER POOL OPTIMIZATIONS

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Multiple Buffer Pools

Pre-Fetching

Scan Sharing

Buffer Pool Bypass

# MULTIPLE BUFFER POOLS

The DBMS does not always have a single buffer pool for the entire system.

- Multiple buffer pool instances
- Per-database buffer pool
- Per-page type buffer pool

Partitioning memory across multiple pools helps reduce latch contention and improve locality.



# MULTIPLE BUFFER POOLS

```
CREATE BUFFERPOOL custom_pool  
    SIZE 250 PAGESIZE 8k;  
  
CREATE TABLESPACE custom_tablespace  
    PAGESIZE 8k BUFFERPOOL custom_pool;  
  
CREATE TABLE new_table  
    TABLESPACE custom_tablespace ( ... );
```

DB2

# MULTIPLE BUFFER POOLS

## Approach #1: Object Id

→ Embed an object identifier in record ids and then maintain a mapping from objects to specific buffer pools.

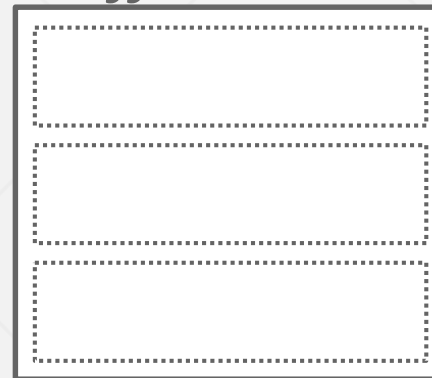
## Approach #2: Hashing

→ Hash the page id to select which buffer pool to access.

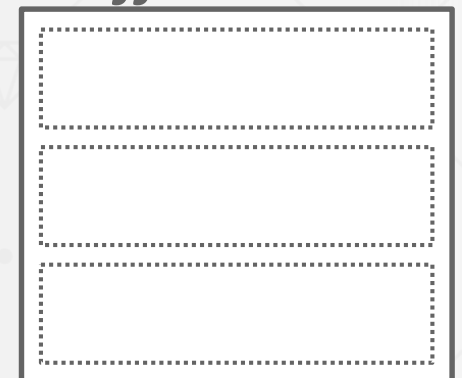
Q1 GET RECORD #123

<ObjectId, HashPageId, SlotNum>

*Buffer Pool #1*



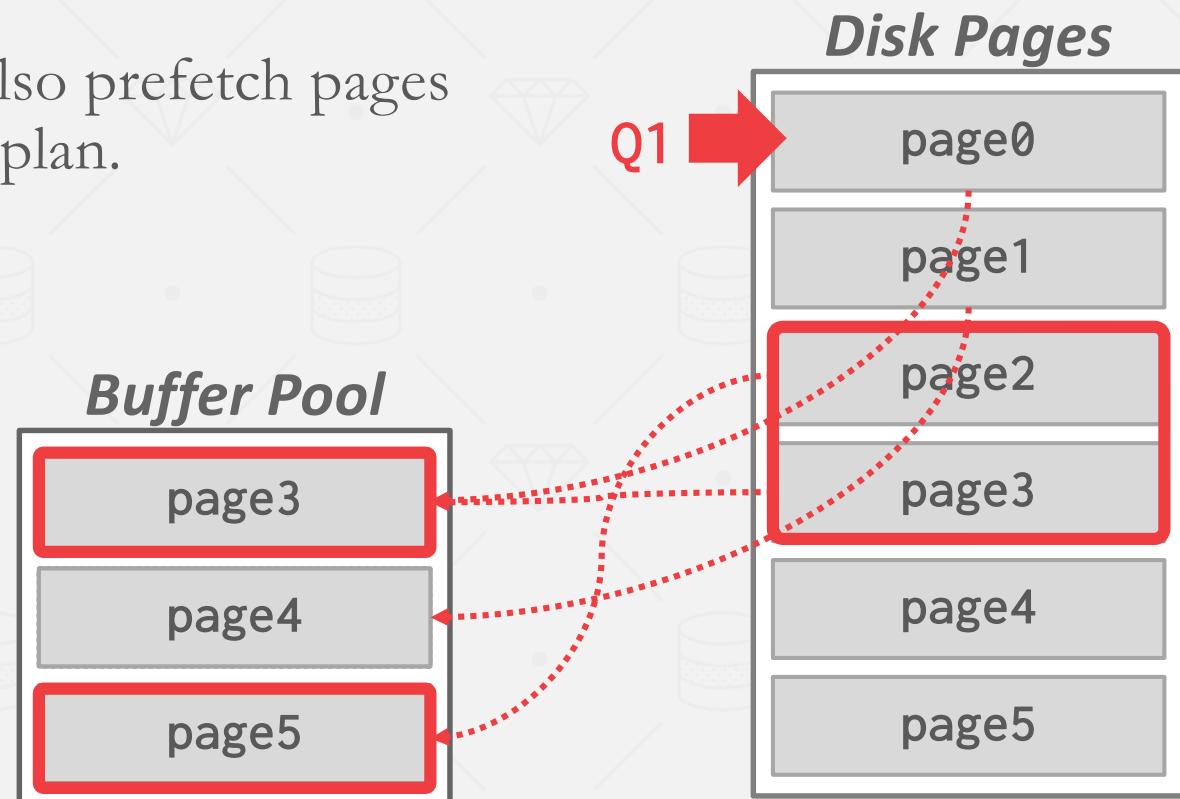
*Buffer Pool #2*



# PRE-FETCHING

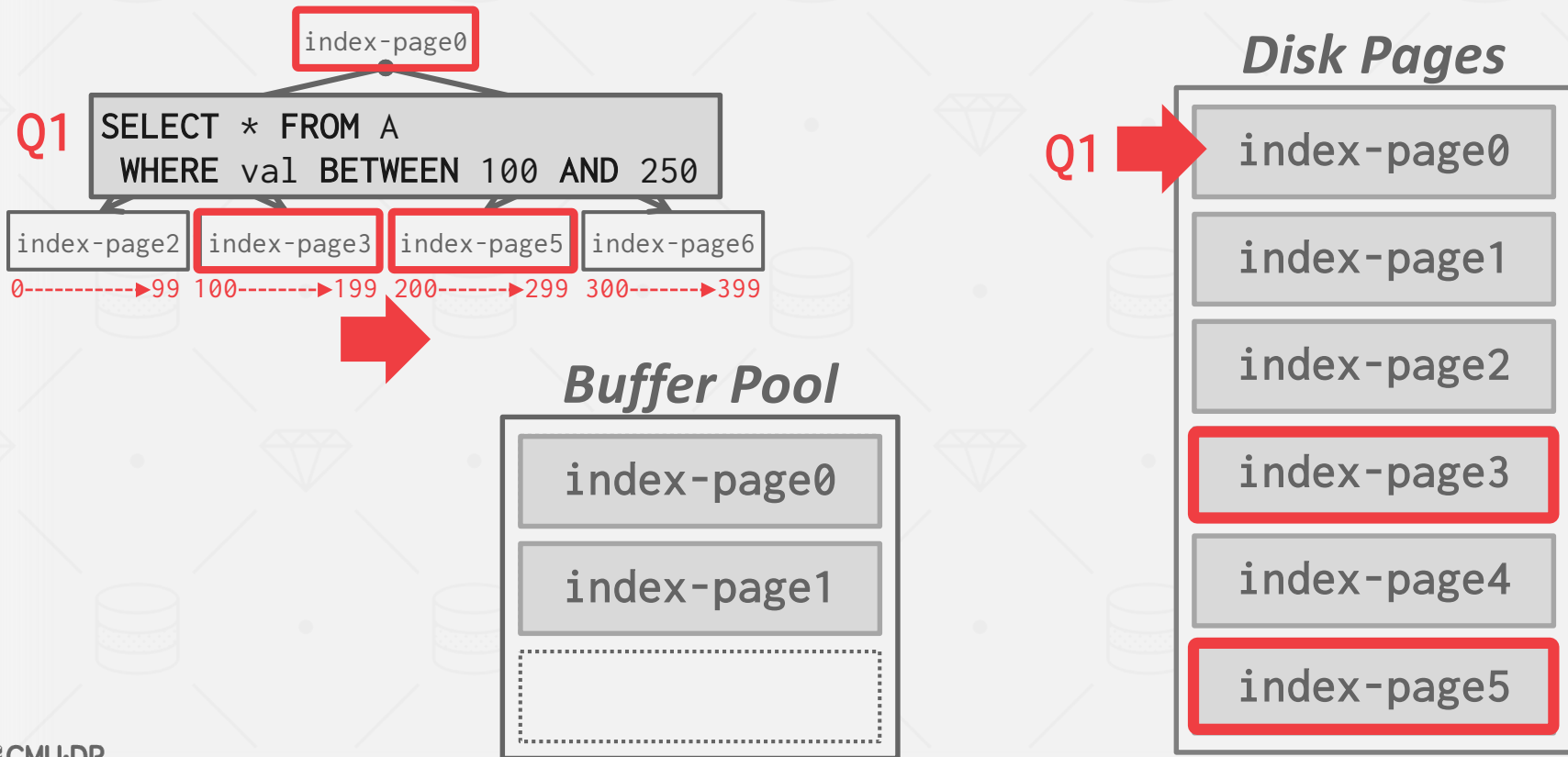
The DBMS can also prefetch pages based on a query plan.

- Sequential Scans
- Index Scans





# PRE-FETCHING



# SCAN SHARING

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Queries can reuse data retrieved from storage or operator computations.

- Also called *synchronized scans*.
- This is different from result caching.

Allow multiple queries to attach to a single cursor that scans a table.

- Queries do not have to be the same.
- Can also share intermediate results.

# SCAN SHARING

If a query wants to scan a table and another query is already doing this, then the DBMS will attach the second query's cursor to the existing cursor.

Examples:

- Fully supported in IBM DB2, MSSQL, and Postgres.
- Oracle only supports cursor sharing for identical queries.



Microsoft®  
SQL Server®



DB2®

ORACLE®



PostgreSQL

# SCAN SHARING

**Q1** SELECT SUM(val) FROM A

**Q2** SELECT AVG(val) FROM A **LIMIT 100**

## Buffer Pool



**Q2**

## Disk Pages



## BUFFER POOL BYPASS

The sequential scan operator will not store fetched pages in the buffer pool to avoid overhead.

- Memory is local to running query.
- Works well if operator needs to read a large sequence of pages that are contiguous on disk.
- Can also be used for temporary data (sorting, joins).

Called "Light Scans" in Informix.

ORACLE®



Microsoft®  
SQL Server®



PostgreSQL

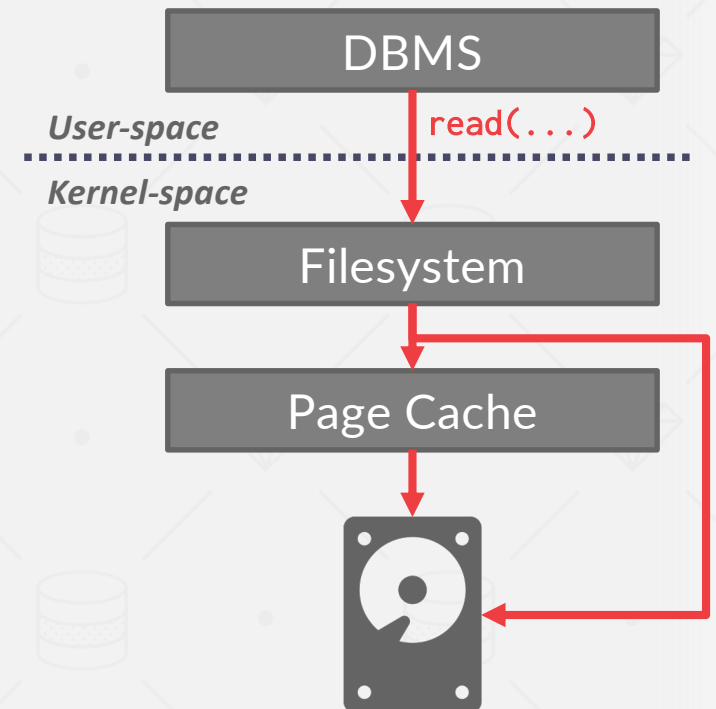
Informix®

# OS PAGE CACHE

Most disk operations go through the OS API. Unless the DBMS tells it not to, the OS maintains its own filesystem cache (aka page cache, buffer cache).

Most DBMSs use direct I/O (**O\_DIRECT**) to bypass the OS's cache.

- Redundant copies of pages.
- Different eviction policies.
- Loss of control over file I/O.



# BUFFER REPLACEMENT POLICIES

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When the DBMS needs to free up a frame to make room for a new page, it must decide which page to evict from the buffer pool.

Goals:

- Correctness
- Accuracy
- Speed
- Meta-data overhead

## LEAST-RECENTLY USED

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Maintain a single timestamp of when each page was last accessed.

When the DBMS needs to evict a page, select the one with the oldest timestamp.

→ Keep the pages in sorted order to reduce the search time on eviction.



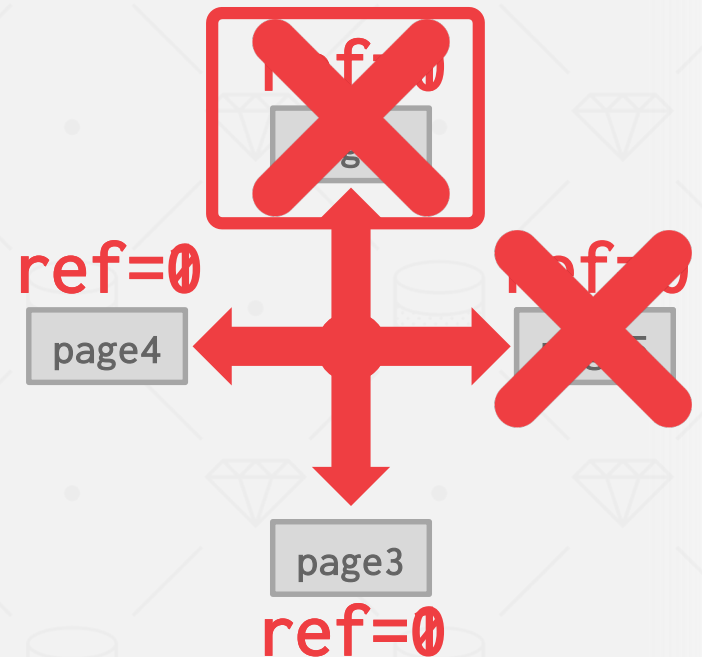
# CLOCK

Approximation of LRU that does not need a separate timestamp per page.

- Each page has a reference bit.
- When a page is accessed, set to 1.

Organize the pages in a circular buffer with a "clock hand":

- Upon sweeping, check if a page's bit is set to 1.
- If yes, set to zero. If no, then evict.



# PROBLEMS

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LRU and CLOCK replacement policies are susceptible to sequential flooding.

- A query performs a sequential scan that reads every page.
- This pollutes the buffer pool with pages that are read once and then never again.

In some workloads the most recently used page is the most unneeded page.

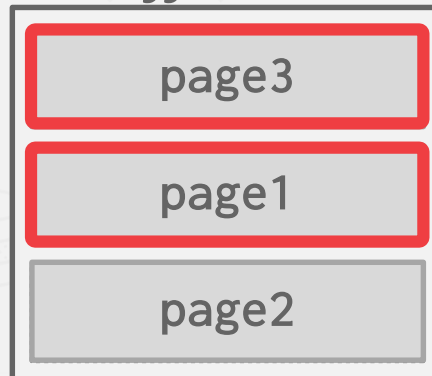
# SEQUENTIAL FLOODING

**Q1** SELECT \* FROM A WHERE id = 1

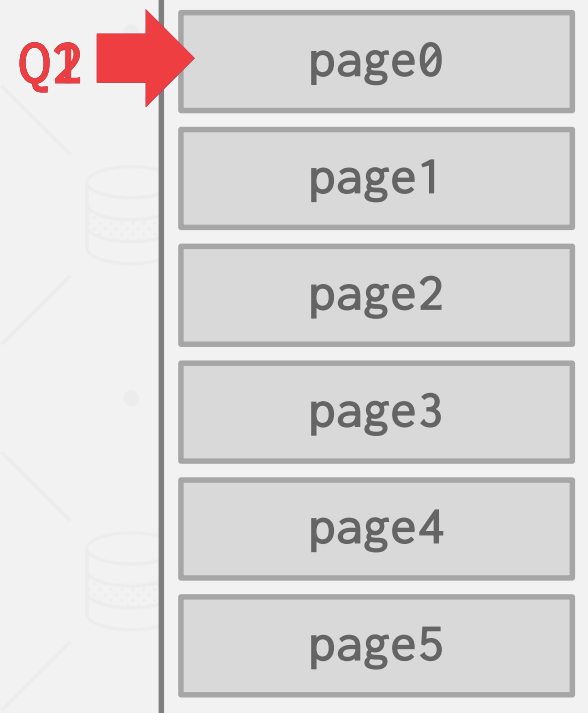
**Q2** SELECT AVG(val) FROM A

**Q3** SELECT \* FROM A WHERE id = 1

## Buffer Pool



## Disk Pages



## BETTER POLICIES: LRU-K

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Track the history of last  $K$  references to each page as timestamps and compute the interval between subsequent accesses.

The DBMS then uses this history to estimate the next time that page is going to be accessed.

## BETTER POLICIES: LOCALIZATION

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The DBMS chooses which pages to evict on a per query basis. This minimizes the pollution of the buffer pool from each query.

→ Keep track of the pages that a query has accessed.

Example: Postgres maintains a small ring buffer that is private to the query.

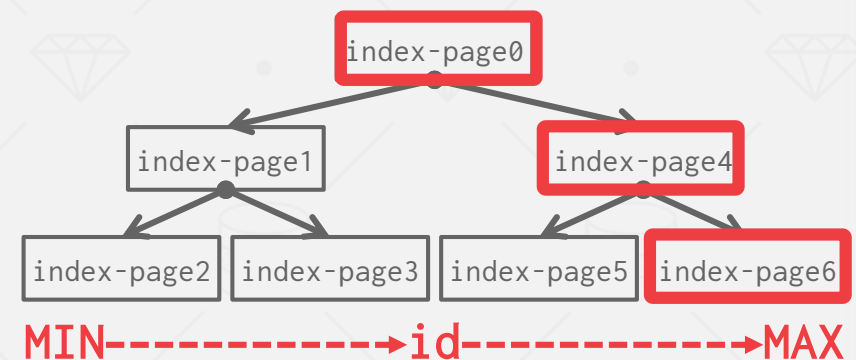
## BETTER POLICIES: PRIORITY HINTS

The DBMS knows about the context of each page during query execution.

It can provide hints to the buffer pool on whether a page is important or not.

**Q1** INSERT INTO A VALUES (*id++*)

**Q2** SELECT \* FROM A WHERE id = ?



## DIRTY PAGES

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**Fast Path:** If a page in the buffer pool is not dirty, then the DBMS can simply "drop" it.

**Slow Path:** If a page is dirty, then the DBMS must write back to disk to ensure that its changes are persisted.

Trade-off between fast evictions versus dirty writing pages that will not be read again in the future.

## BACKGROUND WRITING

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The DBMS can periodically walk through the page table and write dirty pages to disk.

When a dirty page is safely written, the DBMS can either evict the page or just unset the dirty flag.

Need to be careful that the system doesn't write dirty pages before their log records are written...



## OTHER MEMORY POOLS

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The DBMS needs memory for things other than just tuples and indexes.

These other memory pools may not always be backed by disk. Depends on implementation.

- Sorting + Join Buffers
- Query Caches
- Maintenance Buffers
- Log Buffers
- Dictionary Caches

# CONCLUSION

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The DBMS can almost always manage memory better than the OS.

Leverage the semantics about the query plan to make better decisions:

- Evictions
- Allocations
- Pre-fetching

# NEXT CLASS

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Hash Tables