Carnegie Mellon University

# Database Systems

Database Storage: Tuple Organization

#### **ADMINISTRIVIA**

**Homework #1** is due January 29<sup>th</sup> @ 11:59pm

**Project #1** is due on February 9<sup>th</sup> @ 11:59pm

Project recitation on Monday, February 3rd, from 5-6pm in GHC 4303.



#### **PREVIOUSLY**

We presented a disk-oriented architecture where the DBMS assumes that the primary storage location of the database is on non-volatile disk.

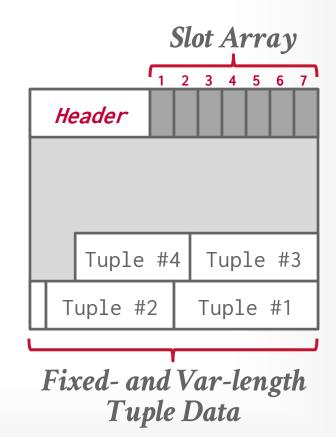
We then discussed a page-oriented storage scheme for organizing tuples across heap files.



The most common layout scheme is called <u>slotted pages</u>.

The slot array maps "slots" to the tuples' starting position offsets.

- $\rightarrow$  The # of used slots
- → The offset of the starting location of the last slot used.

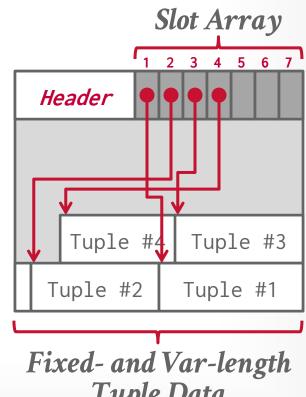




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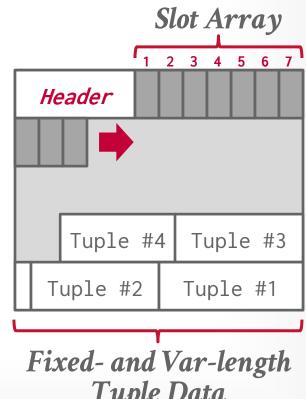
Tuple Data



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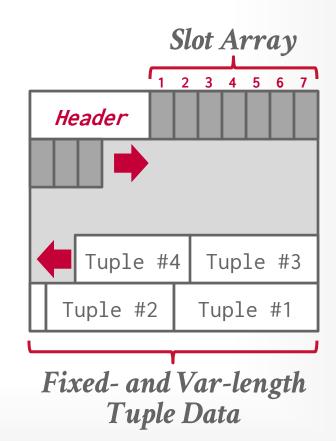
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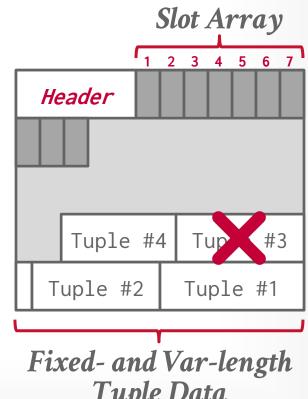




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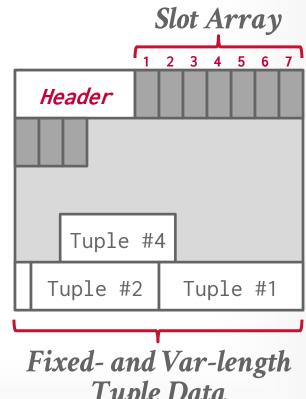
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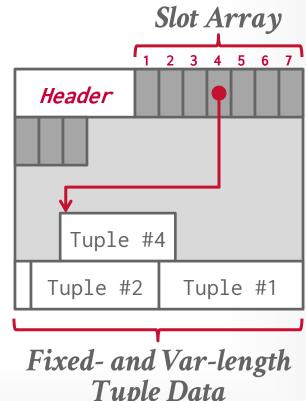
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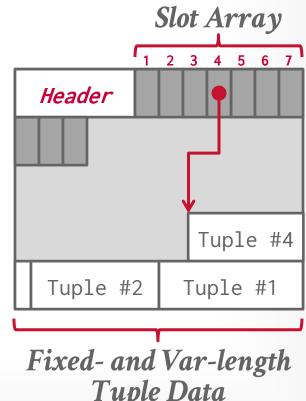




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# **RECORD IDS**

The DBMS assigns each logical tuple a unique <u>record identifier</u> that represents its physical location in the database.

- → File Id, Page Id, Slot #
- → Most DBMSs do not store ids in tuple.
- → SQLite uses <u>ROWID</u> as the true primary key and stores them as a hidden attribute.

Applications should <u>never</u> rely on these IDs to mean anything.











#### **TUPLE-ORIENTED STORAGE**

#### Insert a new tuple:

- → Check page directory to find a page with a free slot.
- $\rightarrow$  Retrieve the page from disk (if not in memory).
- → Check slot array to find empty space in page that will fit.

# Update an existing tuple using its record id:

- → Check page directory to find location of page.
- $\rightarrow$  Retrieve the page from disk (if not in memory).
- $\rightarrow$  Find offset in page using slot array.
- → If new data fits, overwrite existing data.
   Otherwise, mark existing tuple as deleted and insert new version in a different page.



#### **TUPLE-ORIENTED STORAGE**

#### Problem #1: Fragmentation

→ Pages are not fully utilized (unusable space, empty slots).

#### Problem #2: Useless Disk I/O

→ DBMS must fetch entire page to update one tuple.

#### Problem #3: Random Disk I/O

→ Worse case scenario when updating multiple tuples is that each tuple is on a separate page.

# What if the DBMS <u>cannot</u> overwrite data in pages and could only create new pages?

→ Examples: Some object stores, <u>HDFS</u>, <u>Google Colossus</u>



# **TODAY'S AGENDA**

Log-Structured Storage Index-Organized Storage Data Representation



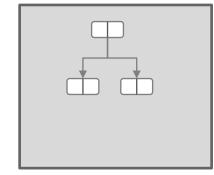
Instead of storing tuples in pages and updating the in-place, the DBMS maintains a log that records changes to tuples.

- → Each log entry represents a tuple **PUT/DELETE** operation.
- → Originally proposed as <u>log-structure merge trees</u> (LSM Trees) in 1996.

The DBMS applies changes to an in-memory data structure (*MemTable*) and then writes out the changes sequentially to disk (*SSTable*).







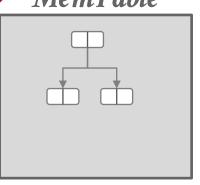










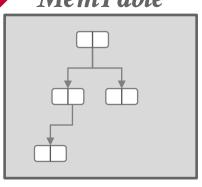










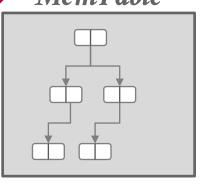










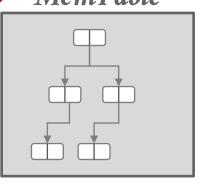










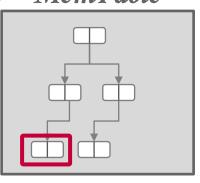










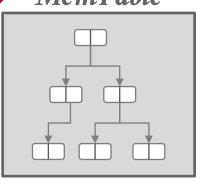








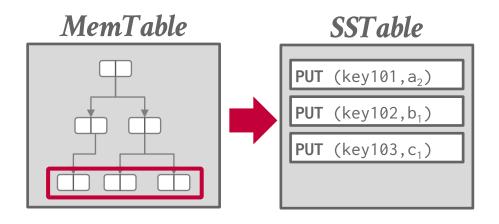








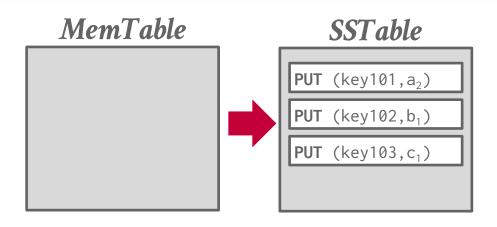








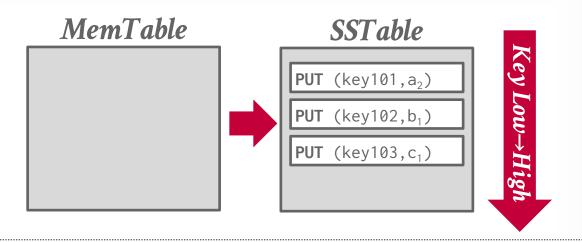




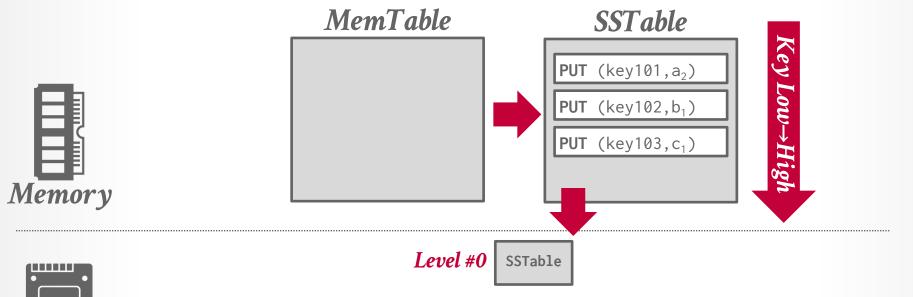






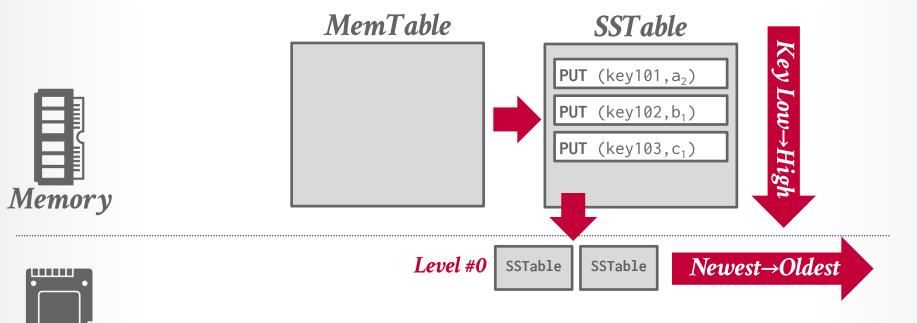






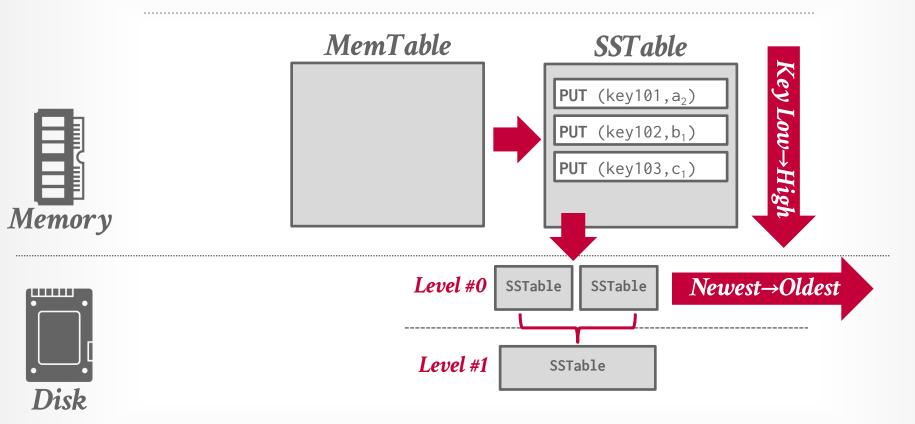


Disk

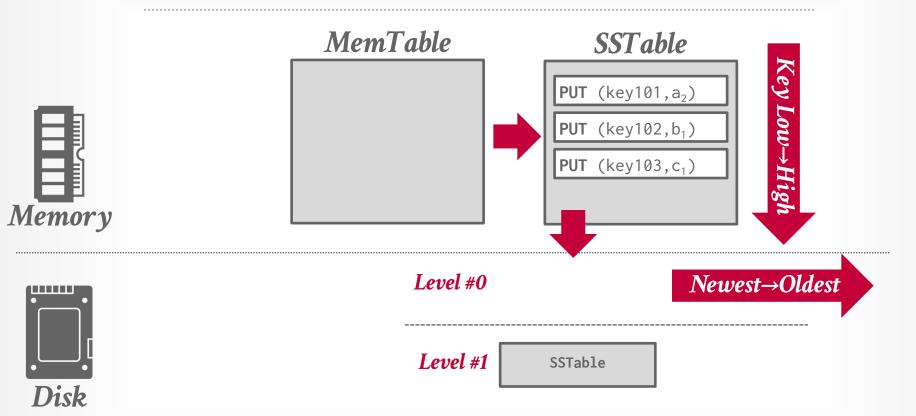




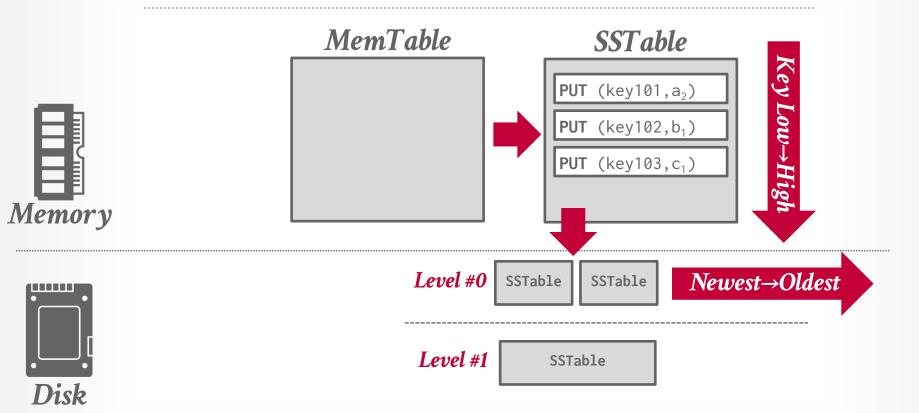
Disk



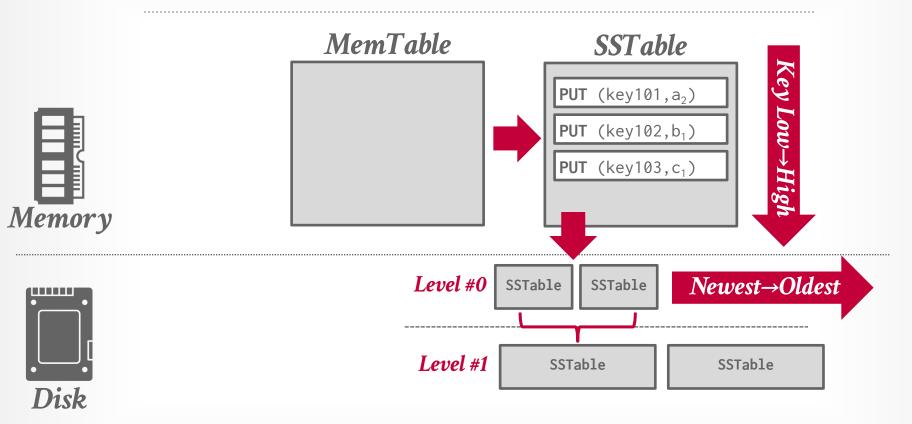




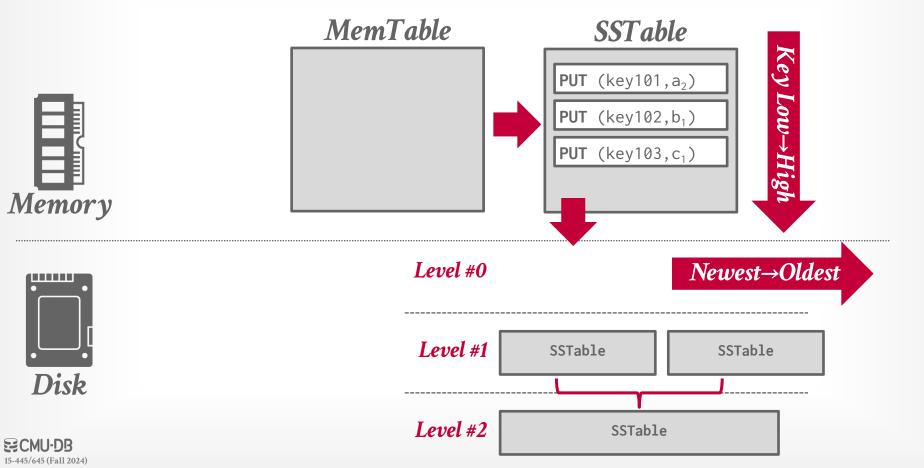


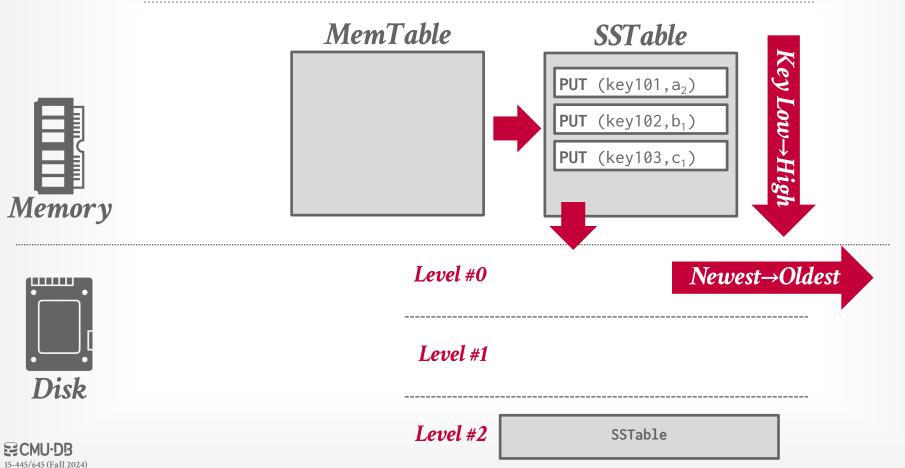




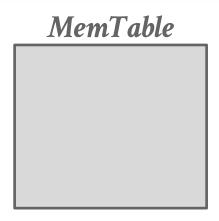






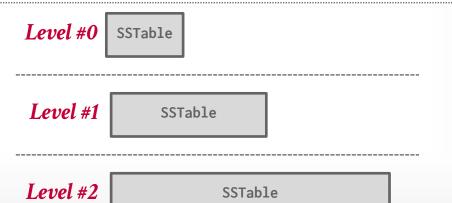






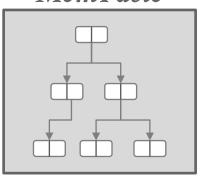
















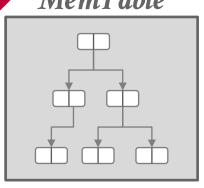
Level #0 SSTable

Level #1 SSTable

Level #2 SSTable

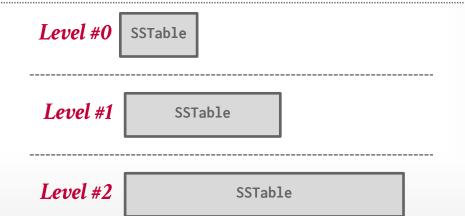






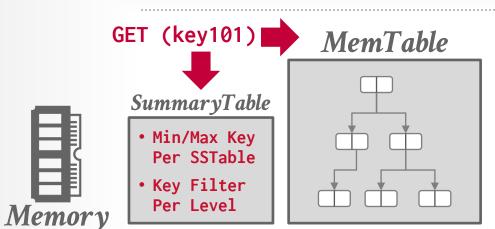




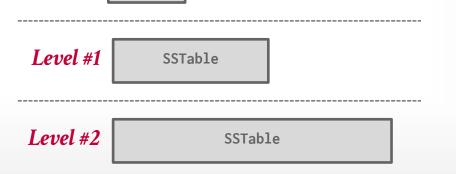


Level #0

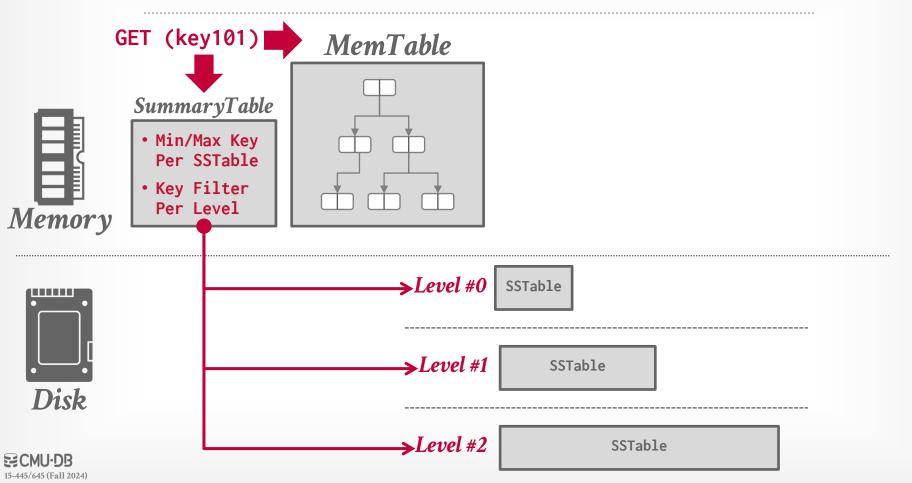
SSTable







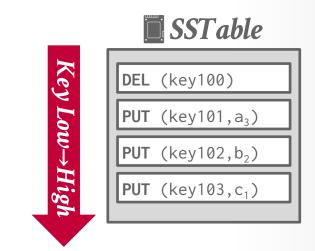




Key-value storage that appends log records on disk to represent changes to tuples (**PUT**, **DELETE**).

- → Each log record must contain the tuple's unique identifier.
- → Put records contain the tuple contents.
- → Deletes marks the tuple as deleted.

As the application makes changes to the database, the DBMS appends log records to the end of the file without checking previous log records.

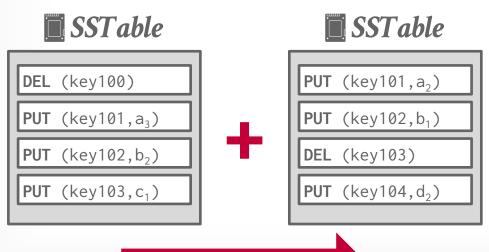




## LOG-STRUCTURED COMPACTION

Periodically compact SSTAbles to reduce wasted space and speed up reads.

→ Only keep the "latest" values for each key using a sortmerge algorithm.



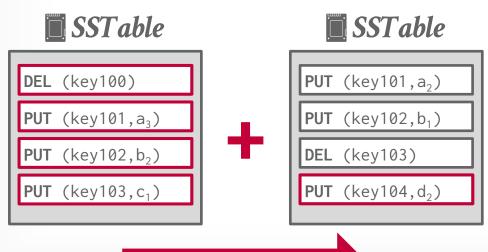


*Newest→Oldest* 

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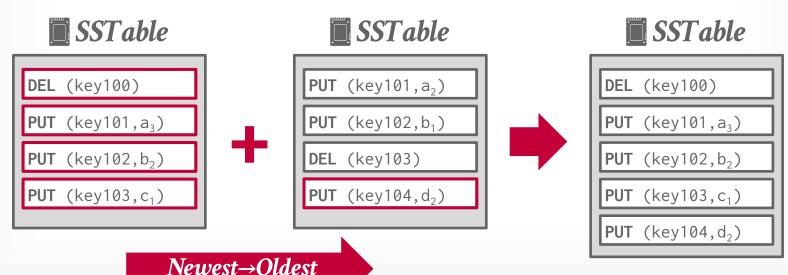


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15-445/645 (Fall 2024)

## **DISCUSSION**

Log-structured storage managers are more common today than in previous decades.

→ This is partly due to the proliferation of RocksDB.





















## What are some downsides of this approach?

- $\rightarrow$  Write-Amplification.
- $\rightarrow$  Compaction is expensive.



## **OBSERVATION**

The two table storage approaches we've discussed so far rely on <u>indexes</u> to find individual tuples.

→ Such indexes are necessary because the tables are inherently unsorted.

But what if the DBMS could keep tuples sorted automatically using an index?



DBMS stores a table's tuples as the value of an index data structure.









- → Still use a page layout that looks like a slotted page.
- $\rightarrow$  Tuples are typically sorted in page based on key.

B+Tree pays maintenance costs upfront, whereas LSMs pay for it later.



DBMS stores a table's tuples as the value of an index data structure.





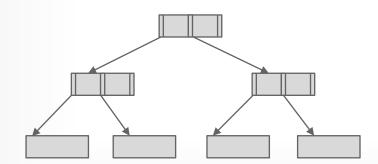




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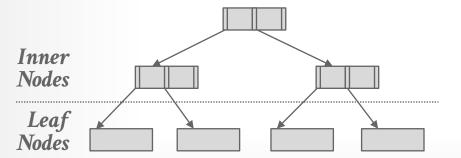




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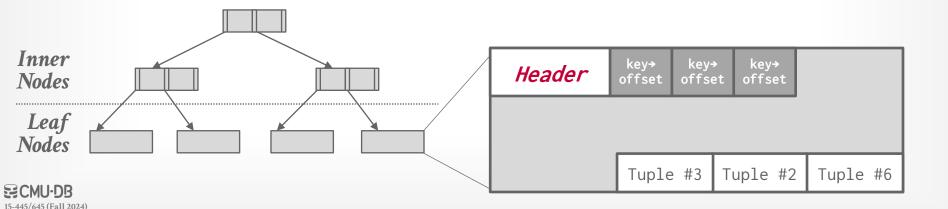


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SQL Server



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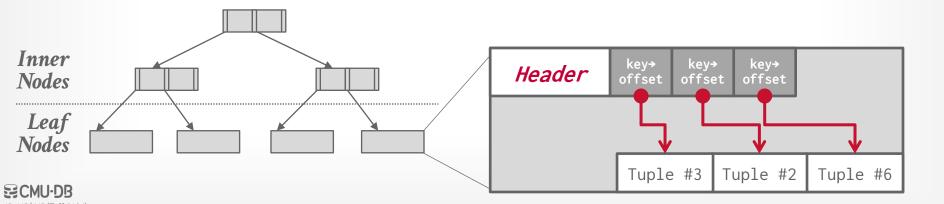


- ORACLE"
- SOL Server
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LSMs pay for it later.



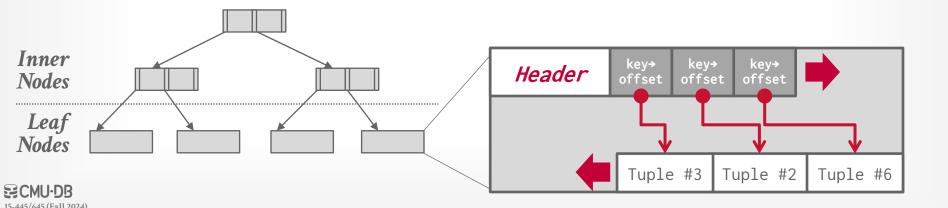
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## **TUPLE STORAGE**

A tuple is essentially a sequence of bytes prefixed with a **header** that contains meta-data about it.

It is the job of the DBMS to interpret those bytes into attribute types and values.

The DBMS's catalogs contain the schema information about tables that the system uses to figure out the tuple's layout.



```
CREATE TABLE foo (
   id INT PRIMARY KEY,
   value BIGINT
);
```

```
unsigned char[]
```



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```

#### unsigned char[]

*header* id value



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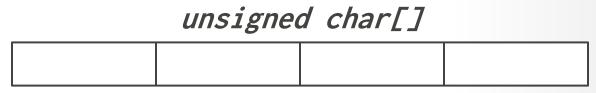
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reinterpret\_cast<int32\_t\*>(address)

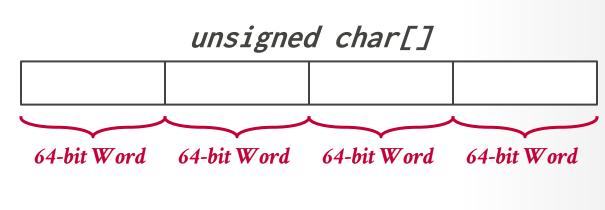


```
CREATE TABLE foo (
  id INT PRIMARY KEY,
  cdate TIMESTAMP,
  color CHAR(2),
  zipcode INT
);
```





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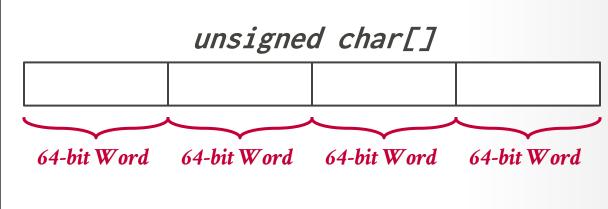
```
CREATE TABLE foo (

32-bits id INT PRIMARY KEY,

cdate TIMESTAMP,

color CHAR(2),

zipcode INT
);
```





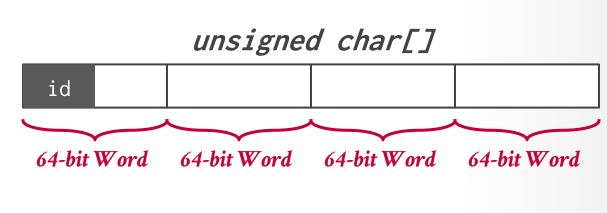
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CREATE TABLE foo (

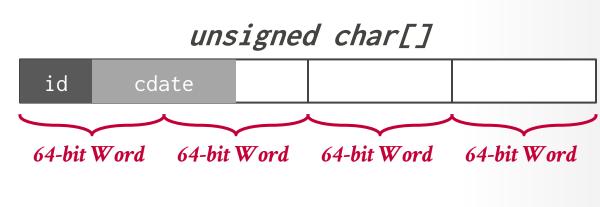
32-bits id INT PRIMARY KEY,

64-bits cdate TIMESTAMP,

color CHAR(2),

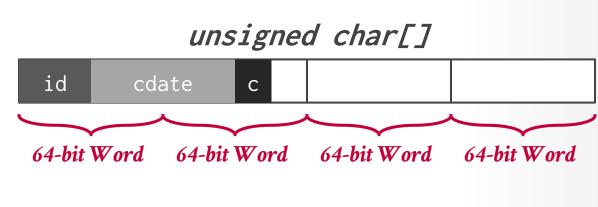
zipcode INT

);
```



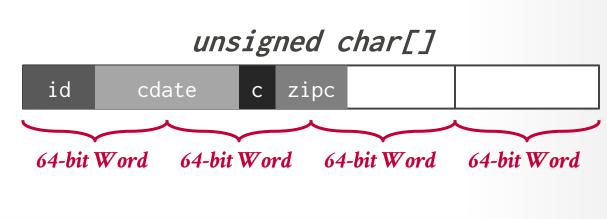


```
CREATE TABLE foo (
32-bits id INT PRIMARY KEY,
64-bits cdate TIMESTAMP,
16-bits color CHAR(2),
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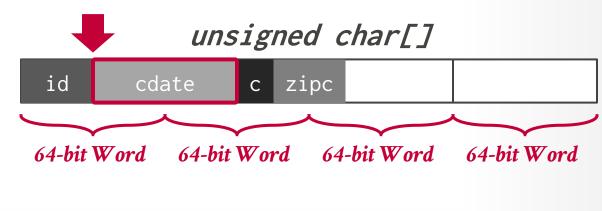


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```





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);
```





### **WORD-ALIGNMENT: PADDING**

Add empty bits after attributes to ensure that tuple is word aligned. Essentially round up the storage size of types to the next largest word size.

```
CREATE TABLE foo (

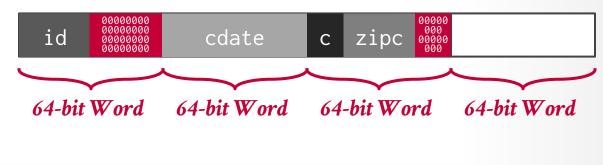
32-bits id INT PRIMARY KEY,

64-bits cdate TIMESTAMP,

16-bits color CHAR(2),

32-bits zipcode INT

);
```





Switch the order of attributes in the tuples' physical layout to make sure they are aligned.

```
CREATE TABLE foo (

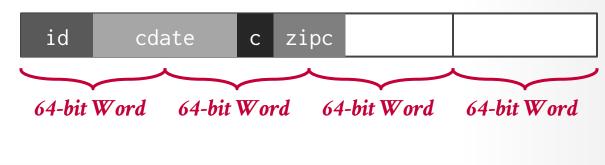
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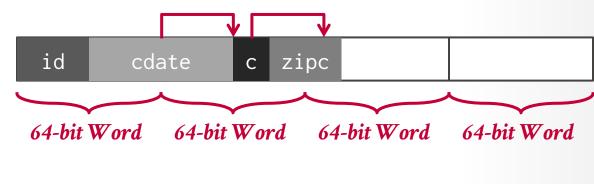
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```





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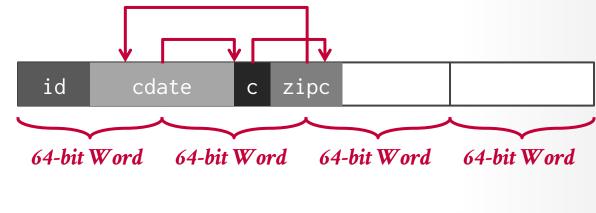
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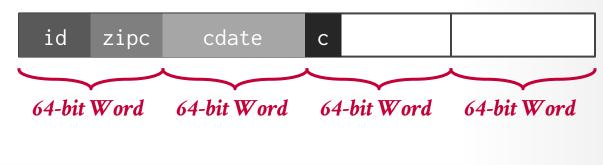
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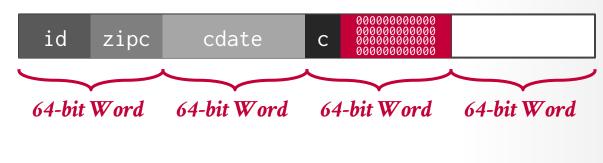
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### **DATA REPRESENTATION**

#### INTEGER/BIGINT/SMALLINT/TINYINT

 $\rightarrow$  Same as in C/C++.

#### FLOAT/REAL vs. NUMERIC/DECIMAL

→ IEEE-754 Standard / Fixed-point Decimals.

#### VARCHAR/VARBINARY/TEXT/BLOB

- → Header with length, followed by data bytes <u>OR</u> pointer to another page/offset with data.
- → Need to worry about collations / sorting.

### TIME/DATE/TIMESTAMP/INTERVAL

→ 32/64-bit integer of (micro/milli)-seconds since Unix epoch (January 1<sup>st</sup>, 1970).



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### VARIABLE PRECISION NUMBERS

Inexact, variable-precision numeric type that uses the "native" C/C++ types.

Store directly as specified by <u>IEEE-754</u>.

→ Example: FLOAT, REAL/DOUBLE

These types are typically faster than fixed precision numbers because CPU ISA's (Xeon, Arm) have instructions / registers to support them.

But they do not guarantee exact values...



### VARIABLE PRECISION NUMBERS

# Rounding Example

```
#include <stdio.h>
int main(int argc, char* argv[]) {
    float x = 0.1;
    float y = 0.2;
    printf("x+y = %f\n", x+y);
    printf("0.3 = %f\n", 0.3);
}
```

### Output

```
x+y = 0.300000

0.3 = 0.300000
```

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      float x = 0.1;
      float y = 0.2;
      printf("x+y = \%.20f\n", x+y);
      printf("0.3 = \%.20f\n", 0.3);
```

### Output

```
x+y = 0.300000
0.3 = 0.300000
```



### FIXED PRECISION NUMBERS

Numeric data types with (potentially) arbitrary precision and scale. Used when rounding errors are unacceptable.

→ Example: **NUMERIC**, **DECIMAL** 

### Many different implementations.

- → Example: Store in an exact, variable-length binary representation with additional meta-data.
- → Can be less expensive if the DBMS does not provide arbitrary precision (e.g., decimal point can be in a different position per value).



### **POSTGRES: NUMERIC**

```
typedef unsigned char NumericDigit;
typedef struct {
  int ndigits;
  int weight;
  int scale;
  int sign;
  NumericDigit *digits;
  numeric;
```



# **POSTGRES: NUMERIC**

```
# of Digits
                               typedef unsigned char NumericDigit;
                               typedef struct {
    Weight of 1st Digit
                                 int ndigits;
           Scale Factor
                                 int weight;
                                int scale;
Positive/Negative/NaN
                                ▶int sign;
                                 NumericDigit *digits;
          Digit Storage
                                 numeric;
```



### **POSTGRES: NUMERIC**

```
# of Digits
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                                 int scale;
Positive/Negative/NaN
                                ▶int sign;
                                 NumericDigit *digits;
          Digit Storage
                                 numeric;
```



```
Full version of add functionality on variable level (handling signs).
                                            result might point to one of the operands too without danger.
                                        PGTYPESnumeric_add(numeric *var1, numeric *var2, numeric *result)
                                             * Decide on the signs of the two variables what to do
                                            if (var1->sign == NUMERIC_POS)
                                                if (var2->sign == NUMERIC_POS)
                                  #
                                                    * Both are positive result = +(ABS(var1) + ABS(var2))
                                                                                                                             lumericDigit;
                                                   if (add_abs(var1, var2, result) != 0)
                                                       return -1;
                                                   result->sign = NUMERIC_POS;
                Weight of
                                               else
                                                   * var1 is positive, var2 is negative Must compare absolute values
                                                  switch (cmp_abs(var1, var2))
                                                       case 0:
                                                           * ABS(var1) == ABS(var2)
                                                           * result = ZERO
                                                          zero var(result);
                                                          result->rscale = Max(var1->rscale, var2->rscale);
                                                          result->dscale = Max(var1->dscale, var2->dscale);
      Positive/Negat
                                                      case 1:
                                                          * ABS(var1) > ABS(var2)
                                                          * result = +(ABS(var1) - ABS(var2))
                                                         if (sub_abs(var1, var2, result) != 0)
                                                             return 1;
                                                         result->sign = NUMERIC_POS;
                                                         break;
                                                     case -1:
                                                          * ABS(var1) < ABS(var2)</pre>
                                                          * result = -(ABS(var2) - ABS(var1))
15-445/645 (Fall 2024)
```

\* add var() -

# **NULL DATA TYPES**

# Choice #1: Null Column Bitmap Header

- → Store a bitmap in a centralized header that specifies what attributes are null.
- $\rightarrow$  This is the most common approach in row-stores.

### Choice #2: Special Values

→ Designate a placeholder value to represent **NULL** for a data type (e.g., **INT32\_MIN**). More common in column-stores.

# Choice #3: Per Attribute Null Flag

- $\rightarrow$  Store a flag that marks that a value is null.
- → Must use more space than just a single bit because this messes up with word alignment.



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- → Must use more space than just a sin messes up with word alignment.

#### NULLS!

### Revisiting Null Representation in Modern Columnar Formats

Xinyu Zeng Tsinghua University zeng-xy21@mails.tsinghua.edu.cn

Ruijun Meng Tsinghua University mrj21@mails.tsinghua.edu.cn Andrew Pavlo Carnegie Mellon University pavlo@cs.cmu.edu

Wes McKinney Posit PBC wes@posit.co

#### ABSTRACT

Nulls are common in real-world data sets, yet recent research on columnar formats and encodings rarely address Null representations. Popular file formats like Parquet and ORC follow the same design as C-Store from nearly 20 years ago that only stores non-Null values contiguously. But recent formats store both non-Null and Null values, with Nulls being set to a placeholder value. In this work, we analyze each approach's pros and cons under different data distributions, encoding schemes (with different best SIMD ISA). and implementations. We optimize the bottlenecks in the traditional approach using AVX512. We also propose a Null-filling strategy called SmartNull, which can determine the Null values best for compression ratio at encoding time. From our micro-benchmarks, we argue that the optimal Null compression depends on several factors: decoding speed, data distribution, and Null ratio. Our analysis shows that the Compact layout performs better when Null ratio is high and the Placeholder layout is better when the Null ratio is low or the data is serial-correlated.

#### ACM Reference Format:

Xiryu Zeng, Buijun Meng, Andrew Pavlo, Wes McKinney, Huanchen Zhang, 2024. NILLS: Revisiting Null Representation in Modern Columnar Formats. In 20th Interface of Data Management on New Hardware (DataMon '24), June 10, 2024. Santiago, Ad., Chile, ACM, New York, NY, USA, 10 pages. https://doi.org/10.1145/86.2010.2064532

#### 1 INTRODUCTION

Codd first mentioned how to use Null values to represent missing data in a relational database in 1975 [17]. A subsequent paper in 1970 described in the proposal configuration of the terrary logic feer NQL as arithmetic and comparison operations [18]. Every major DBMS Add file format [27, 36] supports Nulls today and they are widely do in real-world applications: a recent survey showed that + 500 [24] developers reconstruct Nulls in their databases [34].

Despite the prevalence of Nulls, there has not been a deep investigation into how to best handle them in a modern file format that is designed for analytical workloads processing columnar data.



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https://doi.org/10.1145/3662010.3663452

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Figure 1: Null Representations – Examples of Compact and Placeholder representation schemes for a logical data set.

Today's most widely used columnar file formats (i.e., Apache Parquet [7], Apache ODE (ed) collow the same Compact Inyout as the seminal C-Store DBMS from the 2000s [13]. For each mullable attribute in a table. C-Store's scheme stores non-Yull (fixed-width) values in devalue packed contiguous columns. To handle Nulls, the scheme maintains a separate bitmap to record whether the value for an attribute at a given position is Null or not. Storing values in this manner enables better compression and improves query performance and the provent performance of the performance of

An alternative approach is to to the the Null values in place. That is, instead of pruning the Null bulk of this scheme uses a default value (e.g., zero, Irt., RIQ) as a place that is the proposed to indicate whether a position contains Null or not been such as place to indicate whether a position contains Null or not been the placeholder value may collide with a nor-mull value. Without a placeholder layout always uses the same enther compression, this Placeholder layout always uses the same article contractions of the placeholder layout always uses the same enther or not values are Null, But facilitates rar already such as the placeholder layout always uses the same after the placeholder layout always uses the same article and the placeholder layout always uses the same article and the placeholder layout always to the same article and the placeholder layout. Figure 1 shows the difference belower Compress and Placeholder layout. Figure 1 shows the difference belower Compress and Placeholder layout.

Many DBMSs use a combination of Parquet and Arrow storage to represent data on disk and in-memory, respectively [5, 9, 10]. However, the different representation of Nulls between Compact (Parquet) and Placeholder (Arrow) introduces performance overhead, as shown in Figure 2, the time spent on format conversion from Parquet to Arrow, which represents a common describilation from Parquet to Arrow, which represents a common describilation

'Huanchen Zhang is also affiliated with Shanghai Qi Zhi Institute.

The Arrow format does not specify Nulls to be any particular placeholder value, but implementations (C++ and Rust) fill it as zero to make the memory fully initialized.

Most DBMSs do not allow a tuple to exceed the size of a single page.

To store values that are larger than a page, the DBMS uses separate **overflow** storage pages.

- → Postgres: TOAST (>2KB)
- → MySQL: Overflow (>½ size of page)
- → SQL Server: Overflow (>size of page)

Lots of potential optimizations:

→ Overflow Compression, German Strings

```
CREATE TABLE foo (
  id INT PRIMARY KEY,
  data INT,
  contents TEXT
);
```

```
Header INT INT TEXT
```



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VARCHAR DATA



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```
CREATE TABLE foo (
  id INT PRIMARY KEY,
  data INT,
  contents TEXT
);
```

```
Header INT INT size location
```

Overflow Page

VARCHAR DATA



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Lots of potential optimizations:

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```
CREATE TABLE foo (
      id INT PRIMARY KEY,
      data INT,
      contents TEX1
        INT | INT | size | location
Header
       Overflow Page
         VARCHAR DATA
```



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        INT | INT | size | location
Header |
       Overflow Page
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```



### **EXTERNAL VALUE STORAGE**

Some systems allow you to store a large value in an external file.

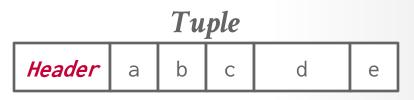
Treated as a **BLOB** type.

→ Oracle: **BFILE** data type

→ Microsoft: **FILESTREAM** data type

The DBMS <u>cannot</u> manipulate the contents of an external file.

- $\rightarrow$  No durability protections.
- $\rightarrow$  No transaction protections.



### External File

Data



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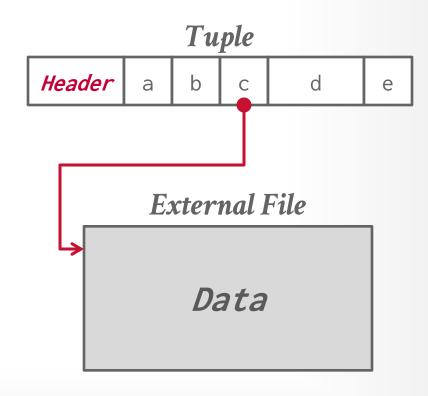
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#### To BLOB or Not To BLOB: Large Object Storage in a Database or a Filesystem?

Russell Sears<sup>2</sup>, Catharine van Ingen<sup>1</sup>, Jim Gray<sup>1</sup>
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MSR-TR-2006-45
April 2006 Revised June 2006

#### Abstract

Application designers must decide whether to store large objects (BLOBs) in a filesystem or in a database. Generally, this decision is based on factors such as application simplicity or manageability. Often, system performance affects these factors.

Folklore tells us the control of the property of the control of the co

Folklore tells us that databases efficiently handle large numbers of small objects, while filesystems are more efficient for large objects. Where is the break-even point? When is accessing a BLOB stored as a file cheaper than accessing a BLOB stored as a database record?

Of course, this depends on the particular filesystem, database system, and workload in question. This study shows that when comparing the NTFS file system and SQL Server 2005 database system on a create, (read, replace)\* delete workload, BLOBs smaller than 256KB are more efficiently handled by SQL Server, while NTFS is more efficiently handled by SQL Server, while NTFS is more efficient BLOBS larger than IMB. Of course, this break-even point will vary among different database systems, filesystems, and workloads.

By measuring the performance of a storage server workload typical of whe applications which the get/plut protocols such as WebDAV | WebDAV |, we found many factors. However, our experiments suggest that storage age, the ratio of bytes in deleted replaced objects to bytes in live objects, is dominant. Sorroga age increases, fingmentation needs to increase. The filesystem we study has better fragmentation motivated than the database we used, suggested that the database we used, suggested the storage age increases, representations of the storage age increases, and the storage age in the storage age

Surprisingly, for these studies, when average object size is held constant, the distribution of object sizes did not significantly affect performance. We also found that, in addition to low percentage free space, a low ratio of free space to average object size leads to fragmentation and performance degradation.

#### 1. Introduction

Application data objects are getting larger as digital media becomes ubiquitous. Furthermore, the increasing popularity of web services and other network applications means that systems that once managed static archives of "finished" objects now manage frequently modified versions of application data as it is being created and updated. Rather than updating these objects, the archive either stores multiple versions of objects (the V of WebDAV stands for "versioning"), or simply does wholesale replacement (as in SharePoint).

Application designers have the choice of storing large objects as files in the filesystem, as BLOBs (binary large objects) in a database, or as a combination of better than the design decision is based on which technology the designer knows best. Most designers will tell you for the design decision is based on which technology the designer knows best. Most designers will tell you for the designer from the file of the designer for the designer will tell you for the designer for the designer will tell you for the designer for the designer will tell you for the designer for the designer will tell you for the designer for the designer will be designer for the desig

This article characterizes the performance of an abstracted with intensive web application that deals with relatively large objects. Two versions of the system are compared, one uses a relational database to store large objects while the other version stores the objects as files with the other version stores the objects as files with the other version stores the objects as files with the objects as files of the objects as files of the objects as files of the objects of the objects

One surprising (to us at least) conclusion of our work is that storage fragmentation is the main determinant of the break-even point in the tradeoff. Therefore, much of our work and much of this article focuses on storage fragmentation issues. In essence, filesystems seem to have better fragmentation handling than databases and this drives the break-even point down from about LMB to about 256/BB.

2

# **SYSTEM CATALOGS**

A DBMS stores meta-data about databases in its internal catalogs.

- → Tables, columns, indexes, views
- → Users, permissions
- → Internal statistics

Almost every DBMS stores the database's catalog inside itself (i.e., as tables).

- → Wrap object abstraction around tuples.
- → Specialized code for "bootstrapping" catalog tables.



# **SYSTEM CATALOGS**

You can query the DBMS's internal **INFORMATION\_SCHEMA** catalog to get info about the database.

→ ANSI standard set of read-only views that provide info about all the tables, views, columns, and procedures in a database

DBMSs also have non-standard shortcuts to retrieve this information.



### **ACCESSING TABLE SCHEMA**

*List all the tables in the current database:* 

```
SQL-92
SELECT *
  FROM INFORMATION_SCHEMA.TABLES
 WHERE table_catalog = '<db name>';
                              Postgres
          \d;
                               MySQL
          SHOW TABLES;
                               SQLite
          .tables
```



### **ACCESSING TABLE SCHEMA**

List all the tables in the student table:

```
SQL-92
SELECT *
  FROM INFORMATION_SCHEMA.TABLES
 WHERE table_name = 'student'
                              Postgres
          \d student;
                               MySQL
          DESCRIBE student;
                               SQLite
          .schema student
```



### **SCHEMA CHANGES**

### ADD COLUMN:

- → **NSM**: Copy tuples into new region in memory.
- → **DSM**: Just create the new column segment on disk.

### **DROP COLUMN:**

- → **NSM** #1: Copy tuples into new region of memory.
- → **NSM #2**: Mark column as "deprecated", clean up later.
- → **DSM**: Just drop the column and free memory.

### **CHANGE COLUMN:**

→ Check whether the conversion is allowed to happen. Depends on default values.



# **INDEXES**

### **CREATE INDEX:**

- $\rightarrow$  Scan the entire table and populate the index.
- → Have to record changes made by txns that modified the table while another txn was building the index.
- → When the scan completes, lock the table and resolve changes that were missed after the scan started.

### **DROP INDEX:**

- $\rightarrow$  Just drop the index logically from the catalog.
- → It only becomes "invisible" when the txn that dropped it commits. All existing txns will still have to update it.



# **CONCLUSION**

Log-structured storage is an alternative approach to the tuple-oriented architecture.

→ Ideal for write-heavy workloads because it maximizes sequential disk I/O.

The storage manager is not entirely independent from the rest of the DBMS.



# **NEXT CLASS**

Breaking your preconceived notion that a DBMS stores everything as rows...

